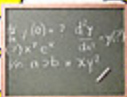


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# **CALCULUS FOR THE UTTERLY CONFUSED**

**Robert M. Oman**

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**McGraw-Hill**

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# A SPECIAL MESSAGE TO THE UTTERLY CONFUSED CALCULUS STUDENT

Our message to the utterly confused calculus student is very simple: You don't have to be confused anymore.

We were once confused calculus students. We aren't confused anymore. We have taught many utterly confused calculus students both in formal class settings and one-on-one. They aren't confused anymore. All this experience has taught us what causes utter confusion in calculus and how to eliminate that confusion. The topics we discuss here are aimed right at the heart of those topics that we know cause the most trouble. Follow us through this book, and you won't be confused anymore either.

Anyone who has taught calculus will tell you that there are two problem areas that prevent students from learning the subject. The first problem is a lack of algebra skills. Sometimes it's not a lack of algebra skills but a lack of confidence in applying recently learned algebra skills. We attack this problem two ways. One of the largest chapters in this book is the one devoted to a review of the algebra skills you need to be successful in working calculus problems. Don't pass by this chapter. Spend time there and refer back to it as needed. There are insights for even those who consider themselves good at algebra. When we do a problem we take you through the steps, the calculus steps and all those pesky little algebra steps, tricks some might call them. When we present a problem it is a complete presentation. Not only do we do the problem completely but also we explain along the way why things are done a certain way.

The second problem of the utterly confused calculus student is the inability to set up the problems. In most problems the calculus is easy, the algebra possibly tedious, but writing the problem in mathematical statements the most difficult step of all. Translating a word problem into a math problem (words to equation) is not easy. We spend time in the problems showing you how to make word sentences into mathematical equations. Where there are patterns to problems we point them out so when you see similar problems, on tests perhaps, you will remember how to do them.

To aid you in referring back to important parts of the book we use a collection of icons as described on the next page.

Our message to utterly confused calculus students is simple. You don't have to be confused anymore. We have been there, done that, know what it takes to remove the confusion, and have written it all down for you.

# Icons



This icon highlights things you should memorize. Right before a test, go over these items to keep them fresh in your mind.



This icon appears next to the "deeper" insights into a problem. If you have trouble understanding the details of why a problem makes physical sense, then this is the icon to follow.



This icon highlights trouble spots and common traps that students encounter. If you are worried about making frustrating little mistakes or feel you are losing points on tests due to missing little "tricks" then this is the icon to follow.



The intention of this icon is to help you identify a pattern of solving one problem that works for a general category of problems. In many cases the pattern is reviewed in a step by step summary along with examples of similar problems.



Items next to this icon can be skipped if you are really struggling. On a second pass through the book, or for the more advanced student, this icon is intended to show a few extra tricks that will allow you to do problems faster. These items are included since speed is many times important to success on calculus tests.

# How To Study Calculus

Calculus courses are different from most courses in other disciplines. One big difference is in testing. There is very little writing for a calculus tests. There is a lot of mathematical manipulation.

In many disciplines you learn the material by reading and listening and demonstrate mastery of that material by writing about it. In mathematics there is some reading, and some listening, but demonstrating mastery of the material is by doing problems.

Another example of the difference between learning and demonstrating mastery of a subject is history. There is a great deal of reading in a history course, but mastery of the material is demonstrated by writing about history. If you are not already doing this you can improve your grades on history exams by practicing writing the answers to questions you expect to encounter on those exams. Guess the questions on the test, practice writing answers to those questions and watch your grades go up and your study time go down in your history course or any other read-to-learn, write-to-demonstrate-mastery course.

In your calculus course practicing working potential problems as test preparation is even more important than practicing writing the answers to potential questions in a history course. Writing is more familiar to most people than performing mathematical manipulations. You can almost always say something about a topic, but it is not at all unusual to have no clue as to how to start a calculus problem. Practicing writing for a history test will improve your grades. Practicing problems, not just reading them but actually writing them down, may be the only way for you to achieve the most modest of success on a calculus test.

To succeed on your calculus tests you need to do three things, PROBLEMS, PROBLEMS and PROBLEMS. Practice doing problems typical of what you expect on the exam and you will do well on that exam. This book contains explanations of how to do many problems that we have found to be the most confusing to our students. Understanding these problems will help you to understand calculus and do well on the exams.

## General guidelines for effective calculus study

1. If at all possible avoid last minute cramming. It is inefficient.
2. Concentrate your time on your best estimate of those problems that are going to be on the tests.
3. Review your lecture notes regularly, not just before the test.

4. Keep up. Do the homework regularly. Watching your instructor do a problem that you have not even attempted is not efficient.
5. Taking a course is not a spectator event. Try the problems, get confused if that's what it takes, but don't expect to absorb calculus. What you absorb doesn't matter on the test. It is what comes off the end of your pencil that counts.
6. Consider starting an informal study group. Pick people to study with who study and don't whine. When you study with someone agree to stick to the topic and help one other.

## **Preparing for Tests**

1. Expect problems similar to the ones done in class. Practice doing them. Don't just read the solutions.
2. Look for modifications of problems discussed in class.
3. If old tests are available, work the problems.
4. Make sure there are no little mathematical "tricks" that will cause you problems on the test.

## **Test Taking Strategies**

1. Avoid prolonged contact with fellow students just before the test. The nervous tension, frustration and defeatism expressed by fellow students are not for you.
2. Decide whether to do the problems in order or look over the entire test and do the easiest first. This is a personal preference. Do what works best for you.
3. Know where you are time wise during the test.
4. Do the problems as neatly as you can.
5. Ask yourself if an answer is reasonable. If a return on investment answer is 0.03%, it is probably wrong.



# PREFACE

The purpose of this book is to present basic calculus concepts and show you how to do the problems. The emphasis is on problems with the concepts developed within the context of the problems. In this way the development of the calculus comes about as a means of solving problems. Another advantage of this approach is that performance in a calculus course is measured by your ability to do problems. We emphasize problems.

This book is intended as a supplement in your formal study and application of calculus. It is not intended to be a complete coverage of all the topics you may encounter in your calculus course. We have identified those topics that cause the most confusion among students and have concentrated on those topics. Skill development in translating words to equations and attention to algebraic manipulation are emphasized.

This book is intended for the non-engineering calculus student. Those studying calculus for scientists and engineers may also benefit from this book. Concepts are discussed but the main thrust of the book is to show you how to solve applied problems. We have used problems from business, medicine, finance, economics, chemistry, sociology, physics, and health and environmental sciences. All the problems are at a level understandable to those in different disciplines.

This book should also serve as a reference to those already working in the various disciplines where calculus is employed. If you encounter calculus occasionally and need a simple reference that will explain how problems are done this book should be a help to you.

It is the sincere desire of the authors that this book help you to better understand calculus concepts and be able to work the associated problems. We would like to thank the many students who have contributed to this work, many of whom started out utterly confused, by offered suggestions for improvements. Also the fine staff at McGraw-Hill, especially our editor, Barbara Gilson, have contributed greatly to the clarity of presentation. It has been a pleasure to work with them.

Robert M. Oman  
*St. Petersburg, Florida*

Daniel M. Oman  
*Orlando, Florida*

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# **CALCULUS FOR THE UTTERLY CONFUSED**

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# 1

## MATHEMATICAL BACKGROUND

The purpose of this chapter is to provide you with a review and reference for the mathematical techniques you will need in your calculus course. Some topics may be familiar to you while others may not. Depending on the mathematical level of your course, some topics may not be of interest to you.

Each topic is covered in sufficient depth to allow you to perform the mathematical manipulations necessary for a particular problem without getting bogged down in lengthy derivations. The explanations are, of necessity, brief. If you are totally unfamiliar with a topic it may be necessary for you to consult an algebra or calculus text for a more thorough explanation.

The most efficient use of this chapter is for you to do a brief review of the chapter, spending time on those sections that are unfamiliar to you and that you know you will need in your course, then refer to specific topics as they are encountered in the solution to problems. With this reference you should be able to perform all the mathematical operations necessary to complete the problems in your calculus course.

### Solving Equations

The simplest equations to solve are the linear equations of the form  $ax + b = 0$ , which have as their solution  $x = -b/a$ . The next most complicated equations are the quadratics. The simplest quadratic is the type that can be solved by taking square roots directly.

**1-1** Solve for  $x$  :  $4x^2 = 36$

**Solution:** Divide by 4, then take the square root of both sides.

$$\frac{4x^2}{4} = \frac{36}{4} \Rightarrow x^2 = 9 \Rightarrow x = \pm 3$$

## 2 CHAPTER 1

Both plus and minus values are legitimate solutions. The reality of the problem producing the equation may dictate that one of the solutions be discarded.

---

The next complication in quadratic equations is the factorable equation.

**1-2** Solve  $x^2 - x - 6 = 0$  by factoring.

**Solution:**  $x^2 - x - 6 = 0 \Rightarrow (x - 3)(x + 2) = 0$  The solutions, the values of  $x$  that make each parentheses equal to zero, and satisfy the factored equation, are  $x = 3$  and  $x = -2$ .

---

If the quadratic cannot be solved by factoring, the most convenient solution is by quadratic formula, a general formula for solution of any quadratic equation in the form  $ax^2 + bx + c = 0$ . The solution according to the quadratic formula is

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

The problems in your course should rarely produce square roots of negative numbers. If your solution to a quadratic produces any square roots of negative numbers, you are probably doing something wrong in the problem.

**1-3** Solve  $x^2 - 5x + 3 = 0$  by using the quadratic formula.

**Solution:** Substitute the constants into the formula and perform the operations. Writing  $ax^2 + bx + c = 0$  above the equation you are solving helps in identifying the constants and keeping track of the algebraic signs.

$$x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} = \frac{+5 \pm \sqrt{25 - 4(1)(3)}}{2(1)} = \frac{5 \pm \sqrt{13}}{2} = 4.30, 0.70$$

---

The quadratic formula comes from a generalized solution to quadratics known as "completing the square." Completing the square is rarely used in solving quadratics. The formula is much easier. It is, however, used in certain calculus problems, so we will give an explanation of the technique here. A completing the square approach is also used in graphing certain functions.

The basic procedure for solving by completing the square is to make the equation a perfect square, much as was done with the simple example  $4x^2 = 36$ . Work with the  $x^2$  and  $x$  coefficients so as to make a perfect square of both sides of the equation and then solve by direct square root. This is best seen by example. Look first at the equation  $x^2 + 6x + 5 = 0$ , which can be factored and has solutions of  $-5$  and  $-1$ , to see how completing the square produces these solutions.

**1-4** Solve  $x^2 + 6x + 5 = 0$  by completing the square.

**Solution:** The equation can be made into a perfect square by adding 4 to both sides of the equation to read  $x^2 + 6x + 9 = 4$  or  $(x + 3)^2 = 4$  which, upon direct square root, yields  $x + 3 = \pm 2$ , producing solutions  $-5$  and  $-1$ .

As you can imagine the right combination of coefficients of  $x^2$  and  $x$  can make the problem awkward. Most calculus problems involving completing the square are not especially difficult. The general procedure for completing the square is the following:

- If necessary, divide to make the coefficient of the  $x^2$  term equal to 1.
- Move the constant term to the right side of the equation.
- Take  $1/2$  of the  $x$  coefficient, square it, and add to both sides of the equation. This makes the left side a perfect square and the right side a number.
- Write the left side as a perfect square and take the square root of both sides for the solution.



**1-5** Solve  $x^2 + 4x + 1 = 0$  by completing the square.

**Solution:** Move the 1 to the right side:  $x^2 + 4x = -1$

Add  $1/2$  of 4 (the coefficient of  $x$ ) squared to both sides:  $x^2 + 4x + 4 = 4 - 1$

The left side is a perfect square and the right side a number:  $(x + 2)^2 = 3$

Take square roots for the solutions:  $x + 2 = \pm\sqrt{3}$  or  $x = -2 + \sqrt{3}$ ,  $-2 - \sqrt{3}$

Certain cubic equations such as  $x^3 = 8$  can be solved directly producing the single answer  $x = 2$ . Cubic equations with quadratic ( $x^2$ ) and linear ( $x$ ) terms can be solved by factoring (if possible) or approximated using graphical techniques. Calculus will allow you to apply graphical techniques to solving cubics.

## Binomial Expansions

Squaring  $(a+b)$  is done so often that most would immediately write  $a^2 + 2ab + b^2$ .

Cubing  $(a+b)$  is not so familiar but easily accomplished by multiplying  $(a^2 + 2ab + b^2)$  by  $(a+b)$  to obtain  $a^3 + 3a^2b + 3ab^2 + b^3$ .

There is a simple procedure for finding the  $n^{\text{th}}$  power of  $(a+b)$ . Envision a string of  $(a+b)$ s multiplied together,  $(a+b)^n$ . Notice that the first term has coefficient 1 with  $a$  raised to the  $n^{\text{th}}$  power, and the last term has coefficient 1 with  $b$  raised to the  $n^{\text{th}}$  power. The terms in between contain  $a$  to progressively decreasing powers,  $n, n-1, n-2, \dots$ , and  $b$  to progressively increasing powers. The coefficients can be obtained from an array of numbers or more conveniently from the binomial expansion or binomial theorem

$$(a+b)^n = \frac{a^n}{0!} + \frac{na^{n-1}b}{1!} + \frac{n(n-1)a^{n-2}b^2}{2!} + \dots$$

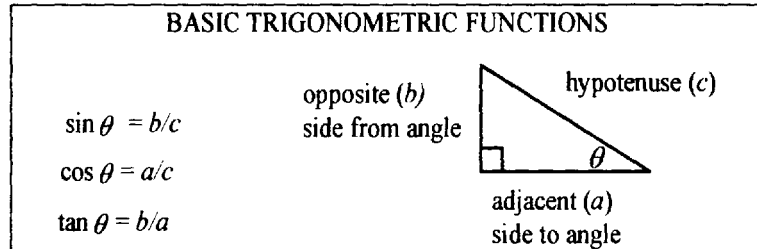
The factorial notation may be new to you. The definitions are

$$0! = 1, \quad 1! = 1, \quad 2! = 2 \cdot 1, \quad 3! = 3 \cdot 2 \cdot 1, \quad \text{etc.}$$

As an exercise use the binomial expansion formula to verify  $(a+b)^3$ .

## Trigonometry

The trigonometric relations can be defined in terms of right angle trigonometry or through their functions. The basic trigonometric relations, as they relate to right triangles, are shown in the box below.



Graphs of the trigonometric relations are shown in Fig. 1-1.



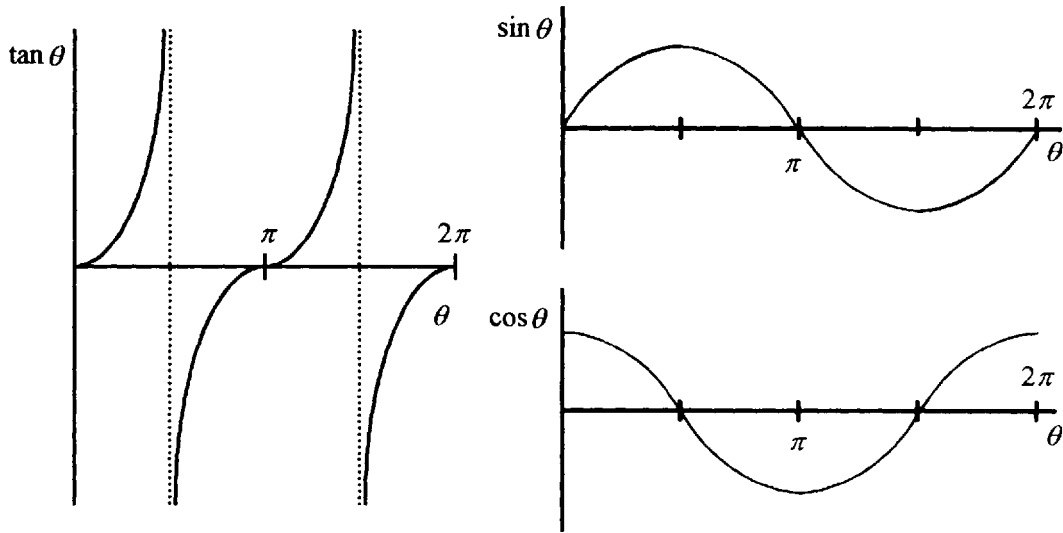


Fig. 1-1

The tangent function is also defined in terms of sine and cosine:  $\tan \theta = \sin \theta / \cos \theta$

Angles are measured in radians and degrees. Radian measure is a pure number, the ratio of arc length to radius to produce the desired angle. Figure 1-2 shows the relationship of arc length to radius to define the angle.

$$\theta = s/r \quad \text{or} \quad s = r\theta$$

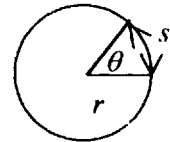


Fig. 1-2

The relation between radians and degrees is  $2\pi \text{ rad} = 360^\circ$ .

**1-6** Convert  $\pi/6$  and  $0.36 \text{ rad}$  to degrees and  $270^\circ$  to radians.

**Solution:**  $\frac{\pi}{6} \text{ rad} \frac{360^\circ}{2\pi \text{ rad}} = 30^\circ$ ,  $0.36 \text{ rad} \frac{360^\circ}{2\pi \text{ rad}} = 20.6^\circ$ ,  $270^\circ \frac{2\pi \text{ rad}}{360^\circ} = \frac{3\pi}{2} \text{ rad} = 4.7 \text{ rad}$

#### TRIGONOMETRIC IDENTITIES

$$a^2 + b^2 = c^2$$

$$\sin \theta = \cos(90^\circ - \theta)$$

$$\sin(\alpha \pm \beta) = \sin \alpha \cos \beta \pm \cos \alpha \sin \beta$$

$$\cos(\alpha \pm \beta) = \cos \alpha \cos \beta \mp \sin \alpha \sin \beta$$

$$\tan(\alpha \pm \beta) = \tan \alpha \pm \tan \beta / 1 \mp \tan \alpha \tan \beta$$

$$\sin^2 \theta + \cos^2 \theta = 1$$

$$\cos \theta = \sin(90^\circ - \theta)$$

$$\tan \theta = 1 / \tan(90^\circ - \theta)$$

There are a large number of trigonometric identities that can be derived using geometry and algebra. Several of the more common are in the preceding box.

## Coordinate Systems

The standard two-dimensional coordinate system works well for most calculus problems. In working problems in two dimensions do not hesitate to arrange the coordinate system for your convenience. The  $x$ -coordinate does not have to be horizontal and increasing to the right. It is best, however, to maintain the  $x$ - $y$  orientation. With the fingers of the right hand pointed in the direction of  $x$  they should naturally curl in the direction of  $y$ .

Positions in the standard right angle coordinate system are given with two numbers. In a polar coordinate system positions are given by a number and an angle. In Fig. 1-3 it is clear that any point  $(x, y)$  can also be specified by  $(r, \theta)$ . Rather than moving distances in mutually perpendicular directions, the  $r$  and  $\theta$  locate points by moving a distance  $r$  from the origin along what would be the  $+x$  direction, then rotating counter-clockwise through an angle  $\theta$ . The relationship between rectangular and polar coordinates is also shown in Fig. 1-3.

$$x = r \cos \theta$$

$$y = r \sin \theta$$

$$r = \sqrt{x^2 + y^2}$$

$$\theta = \tan^{-1}(y/x)$$

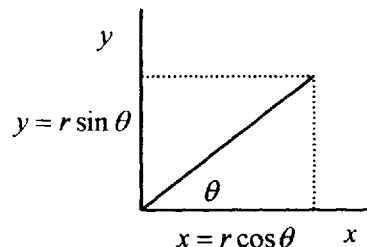


Fig. 1-3

**1-7** Find the polar coordinates for the point  $(3, 4)$ .

**Solution:**  $r = \sqrt{3^2 + 4^2} = 5$  and  $\theta = \tan^{-1}(4/3) = 53^\circ$

Be sure that you understand how to calculate  $\theta = \tan^{-1}(4/3) = 53^\circ$  on your calculator. This is not  $1/\tan(4/3)$ . This is the inverse tangent. Instead of the ratio of two sides of a right triangle (the regular tangent function), the inverse tangent does the opposite: it calculates the angle from a number, the ratio of the two sides of the triangle. On most calculators you need to hit a 2<sup>nd</sup> function key or "inv" key to perform this "inverse" operation.

**1-8** Find the rectangular points for  $(3, 120^\circ)$ .

**Solution:**  $x = 3 \cos 120^\circ = -1.5$  and  $y = 3 \sin 120^\circ = 2.6$

As a check, you can verify that  $(-1.5)^2 + 2.6^2 = 3^2$ .

Three-dimensional coordinate systems are usually right-handed. In Fig. 1-4 imagine your right hand positioned with fingers extended in the  $+x$  direction closing naturally so that your fingers rotate into the direction of the  $+y$  axis while your thumb points in the direction of the  $+z$  axis. It is this rotation of  $x$  into  $y$  to produce  $z$  with the right hand that specifies a right-handed coordinate system. Points in the three-dimensional system are specified with three numbers  $(x, y, z)$ .

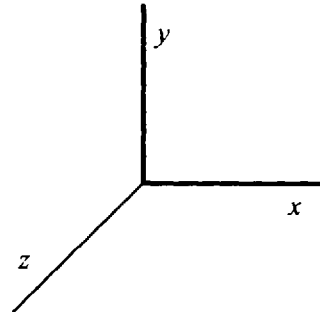


Fig. 1-4

For certain types of problems, locating a point in space is more convenient with a cylindrical coordinate system, as shown in Fig. 1-5. Notice that this is also a right-handed coordinate system with the central axis of the cylinder as the  $z$ -axis.

$$\begin{aligned} x &= r \cos \theta \\ y &= r \sin \theta \\ r &= \sqrt{x^2 + y^2} \\ \theta &= \tan^{-1}(y/x) \end{aligned}$$

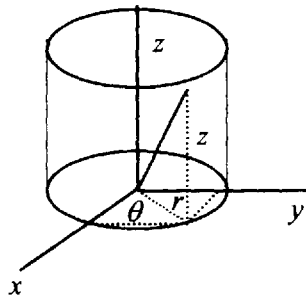


Fig. 1-5

A point is located by specifying a radius measured out from the origin in the  $+x$  direction, an angle in the  $x$ - $y$  plane measured from the  $x$ -axis, and a height above the  $x$ - $y$  plane. Thus the coordinates in the cylindrical system are  $(r, \theta, z)$ . The relation of these coordinates to  $x, y, z$  is given in Fig. 1-5.

## Logarithms and Exponents

Logarithms and exponents are used to describe several physical phenomena. The exponential function  $y = a^x$  is a unique one with the general shape shown in Fig. 1-6.

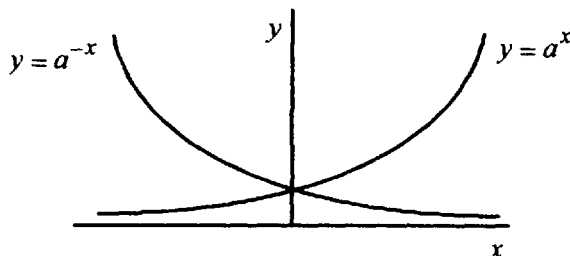


Fig. 1-6

This exponential equation  $y = a^x$  cannot be solved for  $x$  using normal algebraic techniques. The solution to  $y = a^x$  is one of the definitions of the logarithmic function:

$$y = a^x \Rightarrow x = \log_a y$$



The language of exponents and logarithms is much the same. In exponential functions we say " $a$  is the base raised to the power  $x$ ." In logarithm functions we say " $x$  is the logarithm to the base  $a$  of  $y$ ." The laws for the manipulation of exponents and logarithms are similar. The manipulative rules for exponents and logarithms are summarized in the box below.

The term "log" is usually used to mean logarithms to the base 10, while "ln" is used to mean logarithms to the base  $e$ . The terms "natural" (for base  $e$ ) and "common" (for base 10) are frequently used.

### LAWS OF EXPONENTS AND LOGARITHMS

$$(a^x)^y = a^{xy}$$

$$y \log_a x = \log_a x^y$$

$$a^x a^y = a^{x+y}$$

$$\log_a x + \log_a y = \log_a xy$$

$$\frac{a^x}{a^y} = a^{x-y}$$

$$\log_a x - \log_a y = \log_a \frac{x}{y}$$

**1-9** Convert the exponential statement  $100 = 10^2$  to a logarithmic statement.

**Solution:**  $y = a^x$  is the same statement as  $x = \log_a y$ , so  $100 = 10^2$  is  $2 = \log_{10} 100$ .

**1-10** Convert the exponential statement  $e^2 = 7.4$  to a (natural) logarithmic statement.

**Solution:**  $e^2 = 7.4$  so  $\ln 7.4 = 2$

---

**1-11** Convert  $\log 2 = 0.301$  to an exponential statement.

**Solution:**  $10^{0.301} = 2$

---

**1-12** Find  $\log(2.1)(4.3)^{1.6}$ .

**Solution:** On your hand calculator raise 4.3 to the 1.6 power and multiply this result by 2.1. Now take the log to obtain 1.34.

**Second Solution:** Applying the laws for the manipulation of logarithms write:

$$\log(2.1)(4.3)^{1.6} = \log 2.1 + \log 4.3^{1.6} = \log 2.1 + 1.6 \log 4.3 = 0.32 + 1.01 = 1.33$$

(Note the round-off error in this second solution.) This second solution is rarely used for numbers. It is, however, used in solving equations.

---

**1-13** Solve  $4 = \ln 2x$ .

**Solution:** Apply a manipulative rule for logarithms:  $4 = \ln 2 + \ln x$  or  $3.31 = \ln x$ .

Now switch to exponentials:  $x = e^{3.31} = 27.4$

---

A very convenient phrase to remember in working with logarithms is "a logarithm is an exponent." If the logarithm of something is a number or an expression, then that number or expression is the exponent of the base of the logarithm.



Remember: A logarithm is an exponent!

## Functions and Graphs

Functions can be viewed as a series of mathematical orders. The typical function is written starting with  $y$ , or  $f(x)$ , read as "f of x," short for function of  $x$ . The mathematical function  $y$  or  $f(x) = x^2 + 2x + 1$  is a series of orders or operations to be performed on an as yet to be specified value of  $x$ . This set of orders is: square  $x$ , add 2 times  $x$ , and add 1. The operations specified in the function can be performed on individual values of  $x$  or graphed to show a continuous "function." It is the graphing that is most encountered in calculus. We'll look at a variety of algebraic functions eventually leading into the concept of the limit.

**1-14** Perform the functions  $f(x) = x^3 - 3x + 7$  on the number 2, or, find  $f(2)$ .

**Solution:** Performing the operations on the specified function

$$f(2) = 2^3 - 3(2) + 7 = 8 - 6 + 7 = 9$$


---

In visualizing problems it is very helpful to know what certain functions look like. You should review the functions described in this section until you can look at a function and picture "in your mind's eye" what it looks like. This skill will prove valuable to you as you progress through your calculus course.

**Linear** The linear algebraic function (see Fig. 1-7) is  $y = mx + b$ , where  $m$  is the slope of the straight line and  $b$  is the intercept, the point where the line crosses the  $y$ -axis. This is not the only form for the linear function, but it is the one that is used in graphing and is the one most easily visualized.

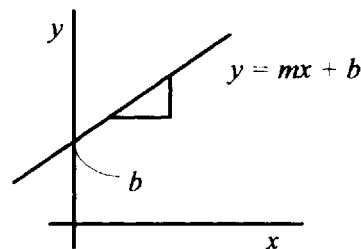


Fig. 1-7

**1-15** Graph the function  $y = 2x - 3$ .

**Solution:** This is a straight line, and it is in the correct form for graphing. Because the slope is positive, the curve rises with increasing  $x$ . The coefficient 2 tells you that the curve is steeper than a slope 1, (which has a  $45^\circ$  angle). The constant 3 is the intercept, the point where the line crosses the  $y$ -axis. (See Fig. 1-8.)

You should go through this little visualization exercise with every function you graph. Knowing the general shape of the curve makes graphing much easier. With a little experience you should look at this function and immediately visualize that (1) it is a straight line (first power), (2) it has a positive slope greater than 1 so it is a rather steep line rising to the right, and (3) the constant term means that the line crosses the  $y$ -axis at  $-3$ .

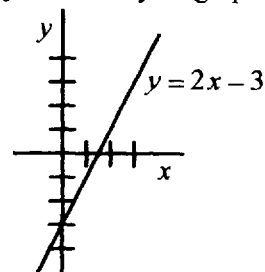


Fig. 1-8

Knowing generally what the line looks like, place the first (easiest) point at  $x = 0, y = -3$ . Again knowing that the line rises to the right, pick  $x = 2, y = 1$ , and as a check  $x = 3, y = 3$ .

If you are not familiar with visualizing the function before you start calculating points graph a few straight lines, but go through the exercise outlined above before you place any points on the graph.

**Quadratics** The next most complicated function is the quadratic (see Fig. 1-9), and the simplest quadratic is  $y = x^2$ , a curve of increasing slope, symmetric about the  $y$ -axis ( $y$  has the same value for  $x = +$  or  $-1$ ,  $+$  or  $-2$ , etc.). This symmetry property is very useful in graphing. Quadratics are also called parabolas. Adding a constant to obtain  $y = x^2 + c$  serves to move the curve up or down the  $y$ -axis in the same way the constant term moves the straight line up and down the  $y$ -axis.

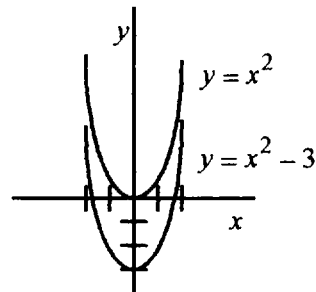


Fig. 1-9

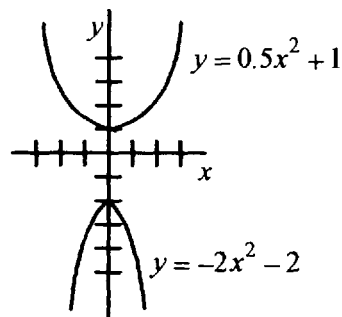
### 1-16 Graph $y = x^2 - 3$ .

**Solution:** First note that the curve is a parabola with the symmetry attendant to parabolas and it is moved down on the  $y$ -axis by the  $-3$ . The point  $x = 0, y = -3$  is the key point, being the apex, or lowest point for the curve, and the defining point for the symmetry line, which is the  $y$ -axis. Now, knowing the general shape of the curve add the point  $x = \pm 2, y = 1$ . This is sufficient information to construct the graph as shown in Fig. 1-9. Further points can be added if necessary.

Adding a constant  $a$  in front of the  $x^2$  either sharpens ( $a > 1$ ) or flattens ( $a < 1$ ) the graph. A negative value causes the curve to open down.

**1-17** Graph  $y = 0.5x^2 + 1$ .

**Solution:** Looking at the function, note that it is a parabola ( $x^2$  term), it is flatter than normal (0.5 coefficient), it opens up (positive coefficient of the  $x^2$  term), and it is moved up the axis one unit. Now put in some numbers:  $x = 0, y = 1$  is the apex, and the  $y$ -axis is the symmetry line. Add the points  $x = \pm 2, y = 3$  and sketch the graph (Fig. 1-10).

**Fig. 1-10****1-18** Graph  $y = -2x^2 - 2$ .

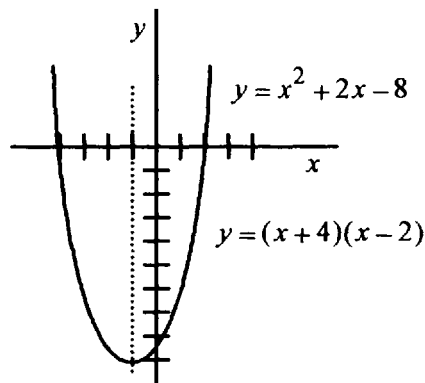
**Solution:** Look at the function and verify the following statement. This is a parabola that opens down, is sharper than normal, and is displaced two units in the negative direction. Put in the two points  $x = 0$  and  $x = \pm 1$  and verify the graph shown in Fig. 1-10.

Adding a linear term, a constant times  $x$ , so that the function has the form  $y = ax^2 + bx + c$  produces the most complicated quadratic. The addition of this constant term moves the curve both up and down and sideways. If the quadratic function is factorable then the places where it crosses the  $x$ -axis are obtained directly from the factored form.

**1-19** Graph the function  $y = f(x) = x^2 + 2x - 8$ .

**Solution:** This is a parabola that opens up, and is displaced up or down and sideways. This quadratic is factorable to  $y = (x + 4)(x - 2)$ . The values  $x = 2$  and  $x = -4$  make  $y = 0$  so these are the points where the curve crosses the  $x$ -axis. Place these points on the graph.

Now here is where the symmetry property of parabolas is used. Because of the symmetry, the parabola must be symmetric about a line halfway between  $x = 2$  and  $x = -4$ , or about the line  $x = -1$ . The apex of the parabola is on this  $x = -1$  line so substitute to find the appropriate value of  $y$ :  $f(-1) = (-1 + 4)(-1 - 2) = -9$ . These three points are sufficient to sketch the curve (see Fig. 1-11).

**Fig. 1-11**



Before moving on to the graphing of quadratics that are not factorable there is one other quadratic that is rather simple yet it illustrates the method necessary for rapid graphing of non-factorable quadratics.

### 1-20 Graph $y = x^2 + 4x + 4$ .

**Solution:** Notice in Fig. 1-12 that the right side of this equation is a perfect square and the equation can be written as  $y = (x + 2)^2$ . The apex of the curve is at  $x = -2$ , and any variation of  $x$  from  $-2$  is positive and symmetric about the line  $x = -2$ . If  $x = -1$  or  $x = -3$ ,  $y = 1$ . If  $x = 0$  or  $x = -4$ ,  $y = 4$ . This is sufficient information to sketch the curve. Notice, however, in the second solution an even easier means for graphing the function.

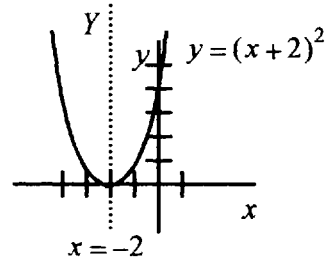


Fig. 1-12

**Second Solution:** The curve can be written in the form  $y = X^2$  if  $X$  is defined as  $X = x + 2$ . At  $x = -2$ ,  $X = 0$  and the line  $x = -2$  effectively defines a new axis. Call it the  $Y$ -axis. This is the axis of symmetry determined in the previous solution. Drawing in the new axis allows graphing of the simple equation  $y = X^2$  about this new axis.

Now apply this approach to a slightly more difficult problem.

### 1-21 Graph $y = x^2 - 6x + 11$ .

**Solution:** Based on experience with the previous problem subtract 2 from both sides to at least get the right side a perfect square:  $y - 2 = x^2 - 6x + 9 = (x - 3)^2$ . This form of the equation suggests the definitions  $Y = y - 2$  and  $X = x - 3$ , so that the equation reads  $Y = X^2$ . This is a parabola of standard shape on the new coordinate system with origin at  $(3, 2)$ . The new coordinate axes are the lines  $x = 3$  and  $y = 2$ . This rather formidable looking function can now be drawn quite easily with the new coordinate axes. (See Fig. 1-13)

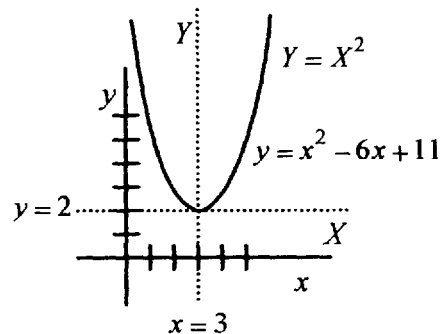


Fig. 1-13

The key step in getting going on problem 1-21 was recognizing that subtracting 2 from both sides would make a perfect square on the right. This step is not always obvious so we need a method of converting the right-hand side into a perfect square. This method is a variation of the "completing the square" technique for solving quadratic equations. If you are not very familiar with completing the square (this should include nearly everyone) go back in this chapter and review the process before going on. Now that you have "completing the square" clearly in your mind we'll graph a non-factorable quadratic with a procedure that always works.

### 1-22 Graph $y = x^2 + 4x + 7$ .



Pattern

**Solution: 1.** Move the constant to the left side of the equation:  $y - 7 = x^2 + 4x$ .

Next, determine what will make the right-hand side a perfect square. In this case +4 makes a perfect square on the right so add this to both sides:  $y - 3 = x^2 + 4x + 4$  or  $y - 3 = (x + 2)^2$ .

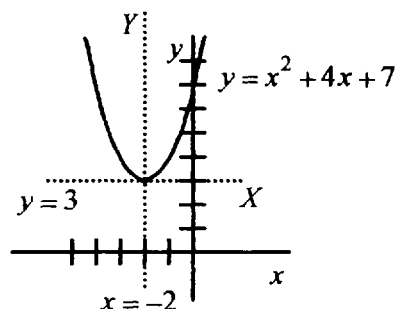


Fig. 1-14

**2.** Now, make the shift in axes with the definitions  $Y = y - 3$ , and  $X = x + 2$ . The origin of the "new" coordinate axes is  $(-2, 3)$ . Determining the origin from these defining equations helps to prevent scrambling the  $(-2, 3)$  and getting the origin in the wrong place. The values  $(-2, 3)$  make  $X$  and  $Y$  zero and this is the apex of the curve  $Y = X^2$  on the new coordinate axes.

**3.** Graph the curve as shown in Fig. 1-14.

**Higher Power Curves** The graphing of cubic and higher power curves requires techniques you will learn in your calculus course. There are, however, some features of higher power curves that can be learned from an "algebraic" look at the curves.

The simple curves for  $y = x^3$  and  $y = -x^3$  are shown in Fig. 1-15. Adding a constant term to either of these curves serves to move them up or down on the  $y$ -axis the same as it does for a quadratic or straight line. Cubics plus a constant are relatively easy to sketch. Adding a quadratic or linear term adds complications that are almost always easiest met by learning the calculus necessary to help you graph the curve. If a curve contains an  $x^3$  term, this term will eventually

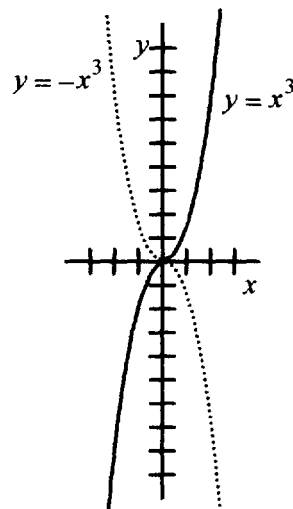


Fig. 1-15

predominate for sufficiently large  $x$ . Operationally this means that if you have an expression  $y = x^3 + ()x^2 + ()x + ()$ , while there may be considerable gyration of the curve near the origin, for large (positive or negative)  $x$  the curve will eventually take the shape shown in Fig. 1-15.

The same is true for other higher power curves. The curve  $y = x^4$  is similar in shape to  $y = x^2$ , it just rises more rapidly. The addition of other (lower than 4) power terms again may add some interesting twists to the curve but for large  $x$  it will eventually rise sharply.

The next general category of curves is called **conics**, because they have shapes generated by passing a plane through a cone. They contain  $x$  and  $y$  terms to the second power. The simplest of these curves is generated with  $x^2$  and  $y^2$  equal to a constant. More complicated curves have positive coefficients for these terms, and the most complicated conics have positive and negative coefficients.

**Circles** Circles are functions in the form  $x^2 + y^2 = \text{const.}$  with the constant written in what turns out to be a convenient form  $x^2 + y^2 = r^2$ . The curve  $x^2 + y^2 = r^2$  is composed of a collection of points in the  $x$ - $y$  plane whose squares equal  $r^2$ . Look at Fig. 1-16 and note that for each  $(x, y)$  point that satisfies the equation, a right triangle can be constructed with sides  $x$ ,  $y$ , and  $r$  and the Pythagorean Theorem defines the relationship  $x^2 + y^2 = r^2$ . A circle is a collection of points equal distance from a point called the center.

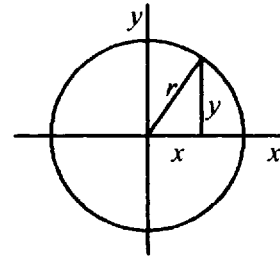


Fig. 1-16

**1-23** Graph  $x^2 + y^2 = 9$ .

**Solution:** Look at the function and recognize that it is a circle. It has radius 3 and it is centered about the origin. At  $x = 0$ ,  $y = \pm 3$ , and at  $y = 0$ ,  $x = \pm 3$ . Now draw the circle (Fig 1-17). Note that someone may try to confuse you by writing this function as  $y^2 = 9 - x^2$ . Don't let them.

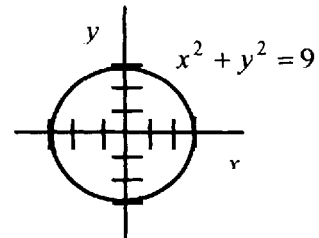


Fig. 1-17

**1-24** Graph  $x^2 - 6x + 9 + y^2 = 16$ .

**Solution:** At first glance it looks as though a page is missing between problems 1-23 and 1-24. But if you make the identification that  $x^2 - 6x + 9$  is the perfect square of  $(x - 3)$  then the equation reads  $X^2 + Y^2 = 16$  if  $X = x - 3$  and  $Y = y$ . This is the identification

# 16 CHAPTER 1

that worked so well for parabolas. In the new coordinate system with origin at (3,0) this curve is a circle of radius 4, centered on the point (3,0) (Fig. 1-18). Set up the new coordinate system and graph the circle. At  $X = 0$ ,  $Y = \pm 4$ , and at  $Y = 0$ ,  $X = \pm 4$ .

If the function were written  $y^2 = 6x - x^2 + 7$  it would not have been quite so easy to recognize the curve. Looking at this latter rearrangement, the clue that this is a circle is that the  $x^2$  and  $y^2$  terms are both positive when they are together on the same side of the equation. No matter how scrambled the terms are, if you can recognize that the curve is a circle you can separate out the terms and make some sense out of them by making perfect squares. This next problem will give you an example that is about as complicated as you will encounter.

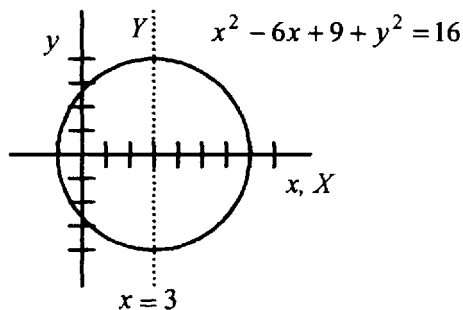


Fig. 1-18

## 1-25 Graph $x^2 + 6x + y^2 + 2y = 10$ .

**Solution:** Notice that the  $x$  and  $y$  terms are at least grouped together and further that the constant has been moved to the right side of the equation. This is similar to the first step in solving an equation by completing the square. Now with the equation written in this form write the perfect squares that satisfy the  $x^2$  and  $x$  terms and the  $y^2$  and  $y$  terms adding the appropriate constants to the right side.

$$\begin{aligned} x^2 + 6x + y^2 + 2y &= 10 \\ (x+3)^2 + (y+1)^2 &= 10 + 9 + 1 \\ (x+3)^2 + (y+1)^2 &= 20 \end{aligned}$$

Make the identification

$$X = x + 3 \quad \text{and} \quad Y = y + 1 \quad \text{so}$$

$$X^2 + Y^2 = 20$$

This is a circle of radius  $\sqrt{20}$  centered about the point  $(-3, -1)$  (Fig. 1-19). A rather formidable function is not so difficult when viewed properly.

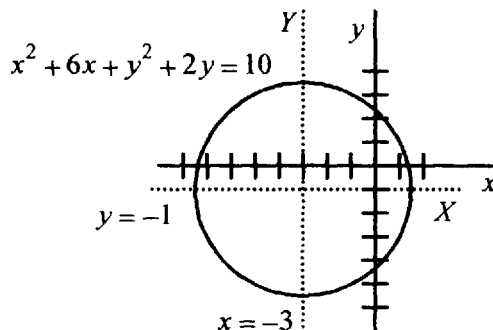


Fig. 1-19

Circles can at first be very confusing. If the  $x^2$  and  $y^2$  coefficients can be made equal to 1 and they are positive, then you are dealing with a circle. Knowing the curve is a circle is a long way toward drawing it correctly.

**Ellipses** Ellipses have  $x^2$  and  $y^2$  terms with positive but different coefficients. The two forms for the equation of an ellipse are

$$ax^2 + by^2 = c^2 \quad \text{or} \quad \frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$$

Each form has its advantages with the latter form being the more convenient for graphing.

**1-26** Graph  $4x^2 + 9y^2 = 36$ .

**Solution:** This is an ellipse because the  $x$  and  $y$  terms are squared and have different positive coefficients. The different coefficients indicate a stretching or compression of the curve in the  $x$  or  $y$  direction. It is not necessary to know the direction. That comes out of the graphing technique. Rewrite the equation into a more convenient form for graphing by dividing by 36.

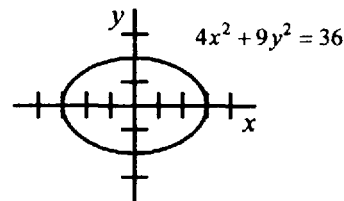


Fig. 1-20

$$\frac{x^2}{9} + \frac{y^2}{4} = 1$$

Now in this form set first  $x = 0$ , so  $y = \pm 2$ , and then  $y = 0$ , so  $x = \pm 3$ . With these points and the knowledge that it is a circle compressed in one direction, sketch the curve (Fig. 1-20).

**1-27** Graph  $\frac{(x+1)^2}{16} + \frac{(y-4)^2}{36} = 1$ .

**Solution:** The problem is presented in this somewhat artificial form to illustrate the axis shifting used so effectively in the graphing of parabolas and circles.

Based on this experience immediately write

$$\frac{X^2}{16} + \frac{Y^2}{36} = 1$$

with the definitions  $X = x + 1$ ,  $Y = y - 4$ .

The origin of the new coordinate system is at  $(-1, 4)$ , and in this new coordinate system when  $X = 0$ ,  $Y = \pm 6$  and when  $Y = 0$ ,  $X = \pm 4$ .

Sketch the curve (Fig. 1-21).

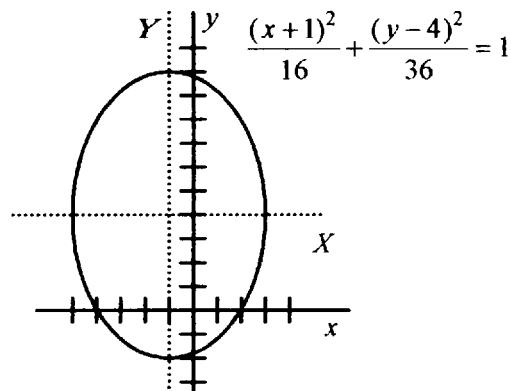


Fig. 1-21

**1-28** Graph  $x^2 + 4x + 9y^2 - 18y = -4$ .

**Solution:** The different positive coefficients of the  $x^2$  and  $y^2$  terms tell us this is an ellipse. The linear terms in  $x$  and  $y$  tell us it is displaced off the  $x$ - $y$  axis. Graphing this curve is going to require a completing the square approach with considerable attention to detail.

First write  $x^2 + 4x + 9(y^2 - 2y) = -4$ .

Now do the completing the square exercise, being very careful of the 9 outside the parentheses:  $(x + 2)^2 + 9(y - 1)^2 = -4 + 4 + 9 = 9$

Now divide to reach  $\frac{(x + 2)^2}{9} + \frac{(y - 1)^2}{1} = 1$

Define  $X = x + 2$  and  $Y = y - 1$  to achieve

$$\frac{X^2}{9} + \frac{Y^2}{1} = 1$$

Graph on the new coordinate system: when  $X = 0$ ,  $Y = \pm 3$ , and when  $Y = 0$ ,  $X = \pm 3$ .

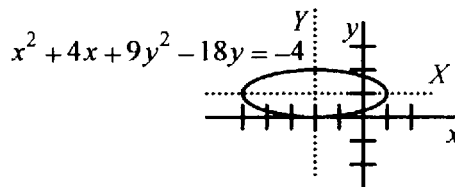


Fig. 1-22

**Alternate Solution:** An alternative to graphing in the new coordinate system is to go back to the original coordinate system. When  $X = \pm 3$ , substitute and write  $x + 2 = \pm 3$  or  $x = -2 \pm 3$ , and when  $Y = \pm 1$ , substitute and write  $y - 1 = \pm 1$  or  $y = 1 \pm 1$ . Either way gives the same points on the graph (Fig. 1-22).

**Hyperbolas** Ellipses are different from circles because of numerical coefficients for the  $x^2$  and  $y^2$  terms. Hyperbolas are different from ellipses and circles because one of the coefficients of these  $x^2$  and  $y^2$  terms is negative. This makes the analysis somewhat more complicated. Hyperbolas are written in one of two forms, both of which are sometimes needed in the graphing.

$$\pm ax^2 \mp by^2 = c^2 \quad \text{or} \quad \pm \frac{x^2}{a^2} \mp \frac{y^2}{b^2} = 1$$

**1-29** Graph  $-4x^2 + 25y^2 = 100$ .

**Solution:** The form of the equation tells us this is a hyperbola. Now proceed as if this were a circle or ellipse: If  $x = 0$ ,  $y = \pm 2$ , and if  $y = 0$ , there are no real values of  $x$ . If the curve goes through the points  $(0, 2)$  and  $(0, -2)$  and does not exist along the line  $y = 0$ , then the curve must have two separate parts! Rearrange the equation to  $4x^2 = 25y^2 - 100$  and note immediately that for real values of  $x$ ,  $y$  has to be greater than 2 or less than  $-2$ . The curve does not exist in the region bounded by the lines  $y = 2$  and  $y = -2$ .

At this point in the analysis we have two points and a region where the curve does not exist. Further analysis requires a departure from the usual techniques applied to conics. Rewrite the equation again, but this time in the form  $y = \dots$

$$25y^2 = 4x^2 + 100$$

$$y^2 = \frac{4x^2}{25} + 4$$

$$y = \pm \sqrt{\frac{4x^2}{25} + 4}$$

How this helps in graphing is that for large values of  $x$ , the function begins to look like a straight line,  $y \approx \pm(2/5)x$  (for large  $x$  the  $+4$  is

small compared to  $4x^2/25$ ). Use these two straight lines, one of slope  $(2/5)$  and the other of slope  $-(2/5)$ , as guides in drawing the curve. With the points  $(0, 2)$  and  $(0, -2)$  and these lines as guides, the curve can be sketched (Fig. 1-23). In the language of mathematics these straight lines are asymptotes or asymptote lines. **Asymptotes** are lines the curve approaches but does not touch.

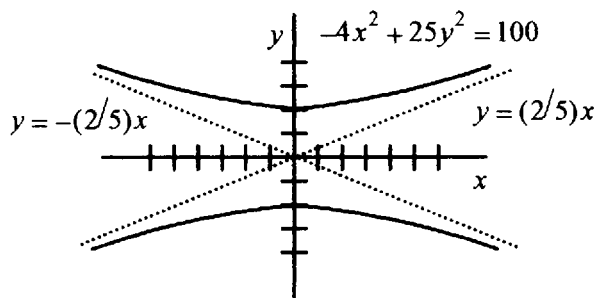


Fig. 1-23

Now that you know the general shape of hyperbolas, we can look at some hyperbolas that are not symmetric about the origin. The next problem is somewhat artificial, but it is instructive and illustrates a situation that comes up in the graphing of hyperbolas.

**1-30** Graph  $\frac{(x-1)^2}{9} - \frac{(y-3)^2}{4} = 1$ .

**Solution:** This function is in a convenient form for graphing, especially if we make the identification  $X = x - 1$  and  $Y = y - 3$ . This hyperbola is displaced up and down and sideways to the new coordinate system with origin at  $(1,3)$ . In this new coordinate system at  $X = 0$ ,  $Y$  does not have any real values. At  $Y = 0$ ,  $X = \pm 3$ . Place these points on the graph.

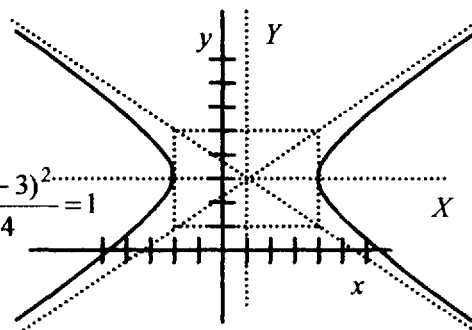
The asymptote lines are most easily drawn in the new coordinate system.

The transformed function is

$$\frac{X^2}{9} - \frac{Y^2}{4} = 1$$

$$9Y^2 = 4X^2 - 36$$

$$\frac{(x-1)^2}{9} - \frac{(y-3)^2}{4} = 1$$



**Fig. 1-24**

$$Y^2 = (4/9)X^2 - 4 \quad \text{and for large values of } X, \quad Y \approx \pm(2/3)X$$

Straight lines of slope  $+(2/3)$  and  $-(2/3)$  are drawn in the new coordinate system. With the two points and these asymptote lines the curve can be sketched.

In Fig. 1-24 you will see a rectangle. This is used by some as a convenient construct for drawing the asymptote lines and finding the critical points of the curve. Two sides of the rectangle intersect the  $X$ -axis at the points where the curve crosses this axis and the diagonals of the rectangle have slopes  $\pm(2/3)$ .

**1-31** Graph  $9x^2 - 4y^2 - 54x - 32y = 19$ .

**Solution:** This is a hyperbola, and the presence of the linear terms indicates it is moved up and down and sideways. Graphing requires a completing the square approach. Follow



the completing the square approach through the equations below. Watch the multiplication of the parentheses very carefully.

$$\begin{aligned} 9x^2 - 4y^2 - 54x - 32y &= 19 \\ 9(x^2 - 6x) - 4(y^2 + 8y) &= 19 \\ 9(x-3)^2 - 4(y+4)^2 &= 19 + 81 - 64 = 36 \end{aligned}$$

Make the identification  $X = x - 3$  and  $Y = y + 4$  so the function can be written

$$9X^2 - 4Y^2 = 36 \quad \text{or} \quad \frac{X^2}{4} - \frac{Y^2}{9} = 1$$

Draw in the new axes with origin at  $(3, -4)$ . When  $X = 0$ , there are no real  $Y$  values. When  $Y = 0$ ,  $X = \pm 2$ . Place these points on the graph. The asymptotes come out of the  $y = \dots$  equation. Follow along the rearrangement to find the asymptote lines. (See Fig. 1-25.)

$$Y^2 = (9/4)X^2 - 9$$

$$Y = \pm \sqrt{(9/4)X^2 - 9}$$

For large values of  $X$ ,  $Y \approx \pm(3/2)X$ . The addition of these asymptote lines allows completion of the graph.

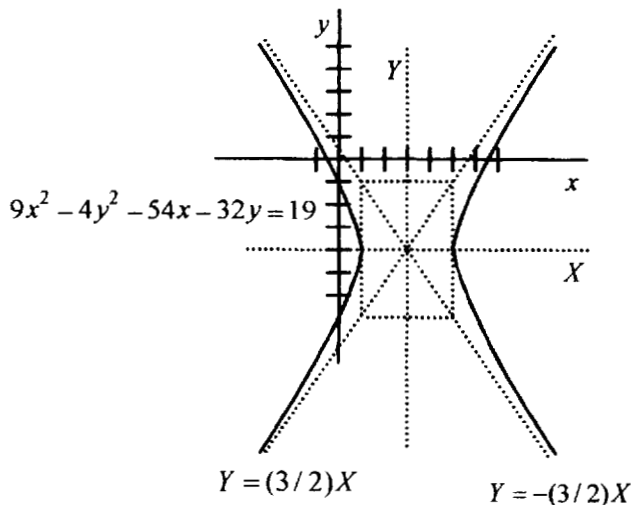


Fig. 1-25

In graphing conics the first thing to determine is whether the equation is a circle, ellipse, or hyperbola. This is accomplished by looking at the numerical coefficients, their algebraic signs, and whether they are (numerically) different. Knowing the curve, the analytical techniques begin by looking for the values of  $x$  when  $y = 0$ , and the values of  $y$  when  $x = 0$ . The answers to these questions give the intercepts for the circle and ellipse, and the square root of a negative number for one determines that the curve is a hyperbola. The addition of linear terms moves the conics up and down and sideways and almost always requires a completing the square type of analysis, complete with axis shifting.



Pattern

If you can figure out what the curve looks like and can find the intercepts ( $x = 0$  and  $y = 0$ ) you are a long way toward graphing the function. The axes shifting just takes attention to detail.

## Graphing Trigonometric Functions



Graphing the trigonometric functions does not usually present any problems. There are a few pitfalls, but with the correct graphing technique these can be avoided. Before graphing the functions you need to know their general shape. The trigonometric relations are defined in an earlier section and their functions shown graphically. If you are not very familiar with the shape of the sine, cosine, and tangent functions draw them out on a 3 x 5 card and use this card as a bookmark in your text or study guide and review it every time you open your book (possibly even more often) until the word sine projects an image of a sine function in your mind, and likewise for cosine and tangent.

Let's look first at the sine function  $y = \sin \theta$  and its graph in Fig. 1-26. The  $\theta$ , called the argument of the function, is cyclic in  $2\pi$ ; whenever  $\theta$  goes from 0 to  $2\pi$  the sine function goes through one cycle. Also notice that there is a symmetry in the function. The shape of the curve from 0 to  $\pi/2$  is mirrored in the shape from  $\pi/2$  to  $\pi$ . Similarly the shape of the curve from 0 to  $\pi$  is mirrored in the shape from  $\pi$  to  $2\pi$ . In order to draw the complete sine curve we only need to know the points defining the first quarter cycle. This property of sine curves that allows construction of the entire curve if the points for the first quarter cycle are known will prove very valuable in graphing sine functions with complex arguments. Operationally, the values of the function are determined by "punching them up" on a hand calculator.

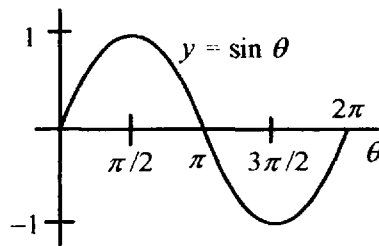


Fig. 1-26

### 1-32 Graph $y = 2 \sin x$ .

**Solution:** The 2 here is called the **amplitude** and simply scales the curve in the  $y$  direction. It is handled simply by labeling the  $y$ -axis, as shown in Fig. 1-27.

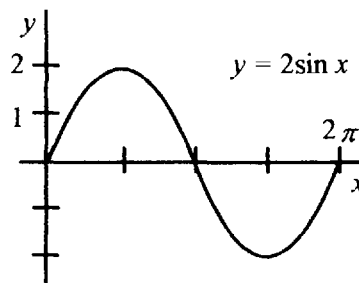
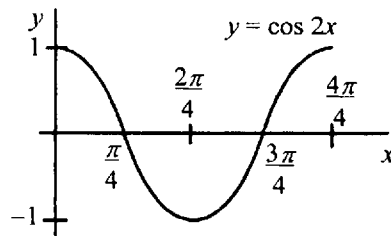


Fig. 1-27

**1-33** Graph  $y = \cos 2x$ .

**Solution:** The phrase "cos" describes the general shape of the curve, the unique cosine shape. The  $2x$  is the hard part. Look back at the basic shape of the cosine curve and note that when  $\theta = \pi/2$ , the cosine curve has gone through  $1/4$  of its cycle. The values of  $x$  for the points where  $2x$  is zero and  $\pi/2$  define the first quarter cycle. (One-quarter of a cycle is all that is necessary to graph the function.) To graph this function ( $y$  vs.  $x$ ) we need to know only those values of  $x$  where the argument of the function ( $2x$ ) is zero and  $\pi/2$ . The chart in Fig. 1-28 shows the values necessary for graphing the function.

| $2x$    | $x$     | $\cos 2x$ |
|---------|---------|-----------|
| 0       | 0       | 1         |
| $\pi/2$ | $\pi/4$ | 0         |



**Fig. 1-28**

Do not start this chart with values of  $x$ ; start with values for  $2x$ . Read the previous sentence again. It is the key to correctly graphing trigonometric functions. Notice that the points on the  $x$ -axis are written as multiples of the first quarter cycle. It is a cumbersome way of writing the points, but it helps prevent mistakes in labeling the  $x$ -axis.



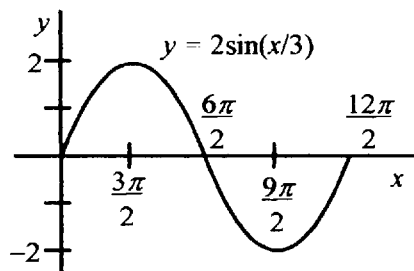
Pattern

Go back over the logic of graphing trigonometric functions in this way. It is the key to always getting them graphed correctly. As the functions become more complicated, the utility and logic of this approach will become more evident.

**1-34** Graph  $y = 2 \sin(x/3)$ .

**Solution:** This is a sine function: the general shape of which can be seen clearly in your mind's eye. The amplitude of 2 is no problem. The argument  $x/3$  requires setting up a chart to find the values of  $x$  defining the first quarter cycle of the sine function. Numbers associated with the argument of the function, the 3 (in the denominator) in this case, define the **frequency** of the function. While interesting in some contexts, knowing the frequency is not important in graphing. (Discussion of the frequency of periodic functions is contained in Physics for the Utterly Confused.)

| $x/3$   | $x$      | $\sin(x/3)$ |
|---------|----------|-------------|
| 0       | 0        | 0           |
| $\pi/2$ | $3\pi/2$ | 1           |



**Fig. 1-29**

Remember, in setting up the chart set  $x/3$  equal to zero and solve for  $x$ . The sine of zero is zero. Next set  $x/3$  equal to  $\pi/2$  and solve for  $x$ . The sine of  $\pi/2$  is 1. These two points

define the first quarter cycle of the function. The remainder of the function is drawn in (Fig. 1-29) using the symmetry properties of sine functions.

### 1-35 Graph $y = \sin(2x + \pi)$ .

**Solution:** The introduction of the  $\pi$  in the argument of the function is the final complication in graphing trigonometric functions. This constant in the argument is called the **phase** and the sign of this constant moves the function to the left or right on the  $x$ -axis. It is not necessary to remember which sign moves the function which way. The placement of the function on the  $x$ -axis comes out of the analysis.

| $2x + \pi$ | $x$        | $\sin(2x + \pi)$ |
|------------|------------|------------------|
| 0          | $-(\pi/2)$ | 0                |
| $\pi/2$    | $-(\pi/4)$ | 1                |

Figure 1-30 shows a sine function with amplitude 1. The 2 affects the frequency and the  $\pi$  moves the function right or left. Set up the chart again forcing the argument to be zero or  $\pi/2$  and determining the appropriate  $x$  value. Set  $2x + \pi = 0$  and solve for  $x = -(\pi/2)$ . Set  $2x + \pi = \pi/2$  and solve for  $x = -(\pi/4)$ . Draw the graph starting with the first quarter cycle of the sine function in the region from  $-(\pi/2)$  to  $-(\pi/4)$ .

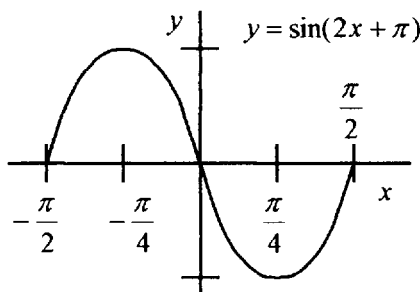


Fig. 1-30

### 1-36 Graph $y = (1/3)\cos(2x - \pi/3)$ .

**Solution:** The function shown in Fig. 1-31 has another little twist to it, which has to do with the minus sign. Set up the chart and make  $2x - \pi/3 = 0$  for the first point. This point is  $x = \pi/6$  or  $2\pi/12$ . The next point is for  $2x - \pi/3 = \pi/2$ . This (second) point is then at  $x = 5\pi/12$ .

Set up the  $x$ - $y$  coordinate system and place the first quarter of the cosine function between  $2\pi/12$  and  $5\pi/12$ . With this section of the cosine function complete,

| $2x - \pi/3$ | $x$               | $\cos(2x - \pi/3)$ |
|--------------|-------------------|--------------------|
| 0            | $\pi/6 = 2\pi/12$ | 1                  |
| $\pi/2$      | $5\pi/12$         | 0                  |

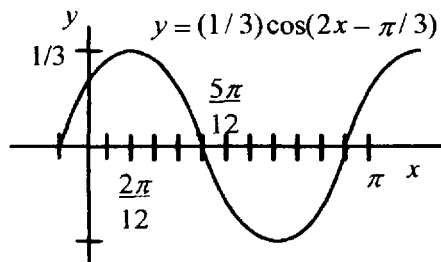


Fig. 1-31

draw in the remainder of the curve.

**1-37** Graph  $y = \tan(x - \pi/4)$ .

**Solution:** If you are at all unfamiliar with the tangent function go back and review it in the trigonometry section. The important features as far as graphing is concerned are that  $\tan \theta$  is zero when  $\theta$  is zero and  $\tan \theta$  is 1 when  $\theta$  is  $\pi/4$ . The tangent curve goes infinite when  $\theta$  goes to  $\pi/2$ , but a point at infinity is not an easy one to deal with.

For the function shown in Fig. 1-32, set up a chart and find the values of  $x$  that make  $x - \pi/4$  equal zero and  $\pi/4$ . These two points allow construction of the function.

| $x - \pi/4$ | $x$      | $\tan(x - \pi/4)$ |
|-------------|----------|-------------------|
| 0           | $\pi/4$  | 0                 |
| $\pi/4$     | $2\pi/4$ | 1                 |

Solve  $x - \pi/4 = 0$  for  $x = \pi/4$ .

Solve  $x - \pi/4 = \pi/4$  for  $x = \pi/2 = 2\pi/4$ .

Be careful graphing the tangent function, especially this one. This tangent function is zero when  $x = \pi/4$ , and 1 when  $x = 2\pi/4$ . The standard mistake is to take the function to infinity at  $x = 2\pi/4$ .

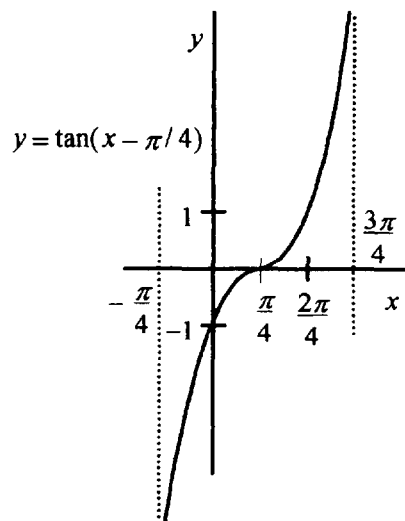


Fig. 1-32

My favorite is completing the square.



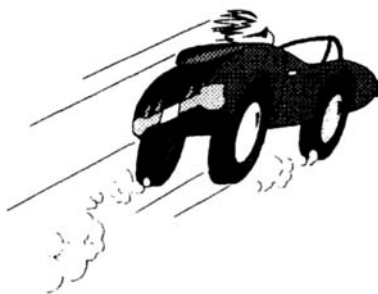
My favorite is graphing hyperbolas.



# 2

## LIMITS AND CONTINUITY

The concept of the limit in calculus is very important. It describes what happens to a function as a particular value is approached. The derivative, one of the major themes of calculus, is defined in limit terms. This short chapter will help you to think in terms of limits. The first thing to understand about limits is that a limit of a function is not the value of the function. The change in thinking (from value to limit) is important because most functions are understood as a series of mathematical operations that can be evaluated at certain points simply by substitution.



The (polynomial) function  $y = x^2 + 2x + 3$  can be evaluated for any real number: replace  $x$  with the number and perform the indicated operations. Asking the limit of this function as  $x$  approaches 2, for example, is an uninteresting question. The function can be evaluated at 2 or any point arbitrarily close to 2 by substituting and performing the operations.

Other functions, such as polynomial fractions, cannot be evaluated at certain points and these functions are best understood by thinking in terms of limits. The function  $y = (x^2 - 4)/(x + 2)$  can be evaluated for any real number except  $-2$ . Replacing  $x$  by  $-2$  produces the meaningless statement  $0/0$ . Remember that any number times 0 is 0, but any number divided by 0 is "meaningless" (including  $0/0$ ). Looking at the limit of the function, as  $x$  approaches  $-2$ , tells us about the function in the vicinity of  $-2$ . The limit of the function is a convenient phrase for the question, "What happens to the function as a certain value is approached?" Writing this in mathematical notation we get the following:

$$\lim_{x \rightarrow -2} \frac{x^2 - 4}{x + 2} = \lim_{x \rightarrow -2} \frac{(x + 2)(x - 2)}{x + 2} = \lim_{x \rightarrow -2} (x - 2) = -4$$

The notation in front of the functions is read "the limit, as  $x$  approaches minus two." In the case of rational functions, factoring and reducing the fraction helps in finding the limit.

Finding the limit of this function as  $x \rightarrow -2$  helps in understanding the function. Since the original function gives the meaningless  $0/0$  at the point where  $x = -2$ , the function cannot exist, "does not have meaning," at  $x = -2$ . Graphing the function illustrates this point. The (simplified) function  $y = x - 2$  is a straight line of slope 1 and intercept  $-2$ . The function  $y = (x^2 - 4)/(x + 2)$  is also a straight line of slope 1 and intercept  $-2$ , but it does not exist at the point where  $x = -2$ . This non-existence at  $x = -2$  is illustrated on the graph in Fig. 2-1 with the open circle.

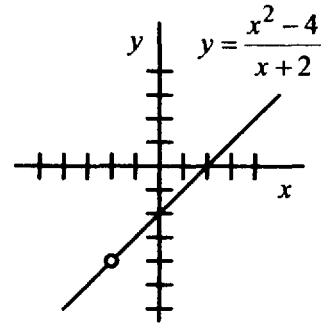


Fig. 2-1

**2-1** Find the limit of  $y = (x^2 + x - 2)/(x - 1)$  as  $x \rightarrow 1$ .

**Solution:** At  $x = 1$  the fraction is  $0/0$ , so perform some algebra on the fraction before taking the limit.

$$\lim_{x \rightarrow 1} \frac{x^2 + x - 2}{x - 1} = \lim_{x \rightarrow 1} \frac{(x + 2)(x - 1)}{x - 1} = \lim_{x \rightarrow 1} (x + 2) = 3$$

As an exercise graph the original function, showing the non-existence at  $x = 1$ .

Another category of function that is understood with the help of limits is polynomial fractions, where the higher power polynomial is in the denominator rather than the numerator. The simplest function to look at is  $y = 1/x$ . (The product of two variables equaling a constant describes certain relationships. For example, pressure and volume for a fixed amount of gas at constant temperature is described by  $pV = \text{const}$ ; the cost of comparable real estate times the commuting distance from a major commercial center is described by  $RD = \text{const}$ .)

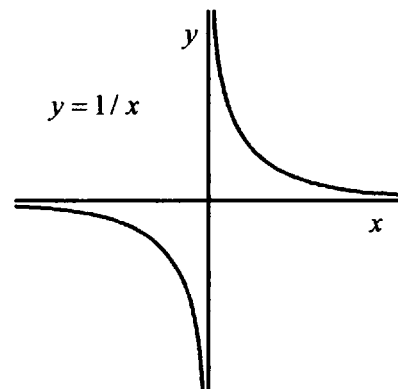


Fig. 2-2

This relationship  $xy = 1$  or  $y = 1/x$  is best understood in the context of its graph. Numbers can be assigned to  $x$ , and  $y$  values calculated but note how the concept and language of limits make graphing so much easier.



Refer to Fig. 2-2 during this discussion. First consider positive values. The point  $x = 1$ ,  $y = 1$  is so easy to calculate it should not be ignored. The curve goes through this point. Now as  $x$  is made a larger and larger positive number,  $y$  approaches zero, but remains positive. This can be expressed in a simple sentence,

As  $x$  approaches plus infinity,  $y$  approaches zero, but remains positive  
or in mathematical symbolism,

$$\text{As } x \rightarrow +\infty, y \rightarrow 0+$$

Write the situation for small values of  $x$  directly in mathematical symbolism,

$$\text{As } x \rightarrow 0+, y \rightarrow +\infty$$

What we are saying here is that if  $x$  is a very, very, very small number, even smaller than 0.000000001, the 1 divided by this number is a very, very, very large number. So as  $x \rightarrow 0+$ ,  $1/0+ \rightarrow +\infty$ .

With this information, the positive portion of the graph can be drawn. In the case of pressure and volume or cost of real estate and distance, the problem dictates only positive values. In the function  $y = 1/x$  no such restriction exists. Refer to the graph in Fig. 2-2 and follow the logic and symbolism in the statements

$$\text{As } x \rightarrow 0-, y \rightarrow -\infty \text{ and } \text{As } x \rightarrow -\infty, y \rightarrow 0-$$

## 2-2 Graph the function $y = 1/(x - 1)$ using limit concepts and notation.

**Solution:** At  $x = 1$ , the function has value  $1/0$ , which is hard to interpret. Using the limit concept the behavior of the function as  $x$  approaches 1 is easily understood. Note first that if  $x$  is greater than 1, the function is positive, and if  $x$  is less than 1 the function is negative. Apparently the function behaves differently as  $x = 1$  is approached from either the positive or negative side. Remember that on the number line, positive is to the right and negative is to the left. In taking the limit it is necessary, in this case, to specify the direction of approach to 1. Notice how this is done in the notation.

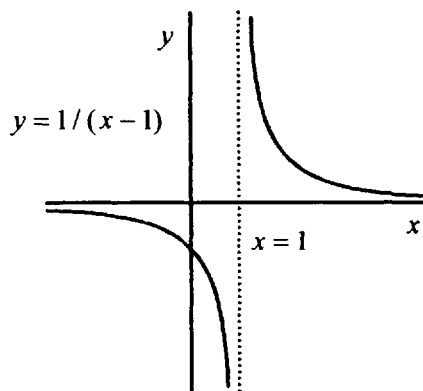


Fig. 2-3

$$\lim_{x \rightarrow 1(\text{pos})} \frac{1}{x - 1} = \infty$$

$$\lim_{x \rightarrow 1(\text{neg})} \frac{1}{x - 1} = -\infty$$



Pattern

Based on experience with  $y = 1/x$ , this function has the same shape, it is just displaced (or translated) 1 unit to the right. In  $y = 1/x$ ,  $x = 0$  is the asymptote line, but in  $y = 1/(x - 1)$ ,  $x = 1$  is the asymptote line. Follow the logic of the limit calculations and verify the graph as shown in Fig. 2-3.

**2-3** Graph  $y = 1/x^2$  using limit concepts and notation.

**Solution:** Think limits and write the symbolic statements.

$$\text{As } x \rightarrow +\infty, y \rightarrow 0+ \quad \text{As } x \rightarrow 0+, y \rightarrow +\infty$$

$$\text{As } x \rightarrow 0-, y \rightarrow +\infty \quad \text{As } x \rightarrow -\infty, y \rightarrow 0+$$

Now draw in the curve. (See Fig. 2-4.)

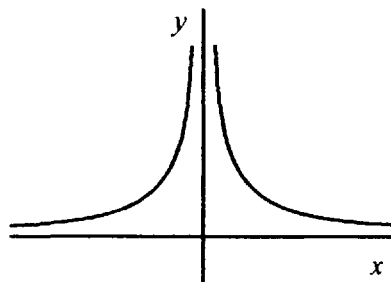


Fig. 2-4

**2-4** Discuss the function  $y = \frac{x+2}{x-5}$  in the vicinity of  $x = 5$ .

**Solution:** The numerator of the function presents no problem. Even at  $x = -2$ , the function is  $0/-7 = 0$ , perfectly understandable. Based on past experience, the  $x - 5$  in the denominator produces a vertical asymptote at  $x = 5$ . Place the asymptote line on the graph. Now, using limit language, describe the behavior of the function in the vicinity of  $x = 5$ .

$$\text{As } x \rightarrow 5+, y \rightarrow +\infty \quad \text{As } x \rightarrow 5-, y \rightarrow -\infty$$

There is an additional complication as  $x$  becomes large, either positive or negative. For large  $x$  the function becomes large number over large number.

If, however, the fraction is multiplied by  $1/x$  over  $1/x$  the limit can be calculated easily:

$$\lim_{x \rightarrow \infty} \frac{x-2}{x+5} \left[ \frac{1/x}{1/x} \right] = \lim_{x \rightarrow \infty} \frac{1-2/x}{1+5/x} = 1$$

This limit produces a horizontal asymptote. When  $x$  is greater than 5 (refer to the original function statement), the fraction is positive so this horizontal asymptote is approached from the positive side. When  $x$  is less than 5, but greater than  $-2$ , the function is negative. At  $x = 0$ ,  $y = -2/5$ . For values of  $x$  less than (to the left of)  $-2$ , the function is

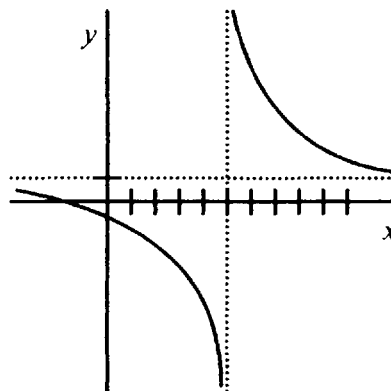


Fig. 2-5

always positive and for larger and larger negative  $x$ , the function approaches the limit 1 from the negative side. Go through the logic and verify the graph of Fig. 2-5.

As the powers of the polynomials increase, the functions become harder to graph. In chapter 4 more complicated polynomials will be graphed with the aid of calculus.

**2-5** Find the limit of the function  $y = \frac{3x^2 + 2x + 1}{x^2 + x + 1}$  as  $x$  goes to infinity.

**Solution:** Attempting to evaluate the function for large  $x$  produces the result large number over large number. Taking the limit with a little inventive algebra (multiplying the fraction by  $1/x^2$  over  $1/x^2$ ) produces

$$\lim_{x \rightarrow \infty} \frac{3x^2 + 2x + 1}{x^2 + x + 1} \left[ \frac{1/x^2}{1/x^2} \right] = \lim_{x \rightarrow \infty} \frac{3 + 2/x + 1/x^2}{1 + 1/x + 1/x^2} = 3$$

**2-6** Find the limit of  $\frac{x^4 + 3x^2}{x^5 + 2}$  as  $x$  goes to infinity.

**Solution:** Again use a little inventive algebra. Factor an  $x^4$  out of the numerator and an  $x^5$  out of the denominator:

$$\lim_{x \rightarrow \infty} \frac{x^4 + 3x^2}{x^5 + 2} = \lim_{x \rightarrow \infty} \frac{x^4(1 + 3/x^2)}{x^5(1 + 2/x^5)} = \lim_{x \rightarrow \infty} \left[ \frac{1}{x} \right] \left[ \frac{1 + 3/x^2}{1 + 2/x^5} \right] = 0$$

The first fraction has limit zero and the second limit 1. The product is zero.

This problem illustrates a manipulative rule for limits.  $\lim_{x \rightarrow c} A \cdot B = \left[ \lim_{x \rightarrow c} A \right] \left[ \lim_{x \rightarrow c} B \right]$

Similarly  $\lim_{x \rightarrow c} \frac{A}{B} = \frac{\lim_{x \rightarrow c} A}{\lim_{x \rightarrow c} B}$  and  $\lim_{x \rightarrow c} A^n = \left[ \lim_{x \rightarrow c} A \right]^n$



Remember

Continuous functions are defined mathematically, usually over specific intervals. The requirements of a continuous function are: 1) it exists at every point in the defined interval, and 2) the limit exists at every point and is equal to the value of the function at that point. Operationally, continuous functions are ones that can be drawn without lifting your pencil.

A discontinuous function is one that either: 1) doesn't exist at some point over the defined interval, or 2) the limits from the positive and negative directions are different. Problems 2-1 through 2-4 are examples of discontinuous functions.

Another often used sample of a discontinuous function is the integer function,  $y = [x]$ , where the  $[x]$  symbolism is read as "the largest integer contained in  $x$ ." For example, the largest integer contained in 2 is 2. The largest integer contained in 2.99 is 2. Add as many 9's as you like and the largest integer is still 2. The limit of the function as  $x$  approaches 3 from the negative side (slightly less than 3) is 2. The limit of the function as  $x$  approaches 3 from the positive side (slightly greater than 3) is 3. This discontinuity at each integer is shown in Fig. 2-6.

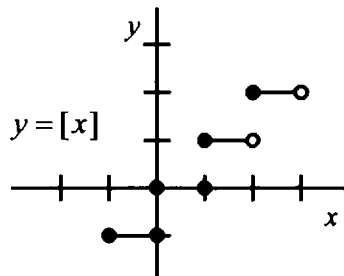


Fig. 2-6

Look back over problems 2-1 through 2-4 and note that the discontinuity occurs at the vertical asymptote.

Another example of a discontinuous function is one defined on certain intervals such as

$$f(x) = \begin{cases} x + 3 & \text{for } -3 \leq x < 0 \\ 1.5x + 4 & \text{for } x \geq 0 \end{cases}$$

This is a discontinuous function. Though it is defined everywhere over the interval, the limit as zero is approached from the positive side is 4, and the limit as zero is approached from the negative side is 3. The function is shown graphically in Fig. 2-7.

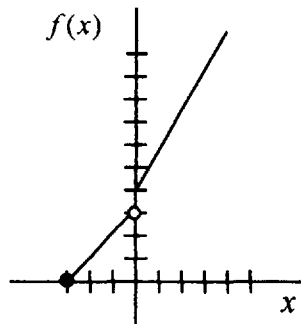


Fig. 2-7

An example of a function that is discontinuous from one side only is the square root function. The function  $y = \sqrt{x}$  is not defined for negative  $x$  because there are no real square roots of negative numbers. In mathematical symbolism

$$\lim_{x \rightarrow 0^+} \sqrt{x} = 0 \quad \text{and} \quad \lim_{x \rightarrow 0^-} \sqrt{x} \text{ does not exist}$$

The function is continuous to zero from the right side but not from the left side.

The cube root function,  $y = \sqrt[3]{x}$ , behaves differently. There are real cube roots of both positive and negative numbers as well as zero. This function is continuous over the entire range of real numbers for  $x$ .

# 3

## DERIVATIVES

The derivative of a function is the slope of that function anywhere the function is well behaved. A function is well behaved in a region where there is a unique slope at every point. A constant function,  $y = 2$ ,  $y = -3$ , is a straight line of slope zero. A linear equation,  $y = 2x - 3$ ,  $y = -x + 5$ , has a constant slope (2 and  $-1$  in these cases).

The simplest function that does not have a constant slope is the quadratic,  $y = x^2$  (see page 11 for a discussion of quadratics). The slope of the quadratic, considering only positive values of  $x$ , increases as  $x$  increases. Look at a magnified portion of the  $y = x^2$  curve and approximate the slope of the curve at any point by writing a general expression for the slope of the straight line connecting two points  $x$  and  $x + \Delta x$ . The notation  $\Delta x$  means a small change in  $x$  so the point  $x + \Delta x$  is very close to  $x$ .

Figure 3-1 shows the curve  $y = x^2$  and the straight line connecting the points  $(x, y = x^2)$  and  $(x + \Delta x, y = (x + \Delta x)^2)$ .

The slope of the line between these adjacent points is

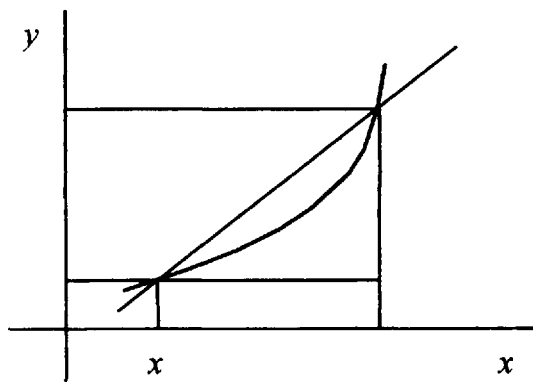


Fig. 3-1

The general expression for the slope of this curve at any point  $x$  is the limit of this approximate slope as  $\Delta x$  goes to zero. Using the mathematical symbolism of limits, the general expression for the slope of  $y = x^2$  is

This defining equation for the derivative is called  $dy/dx$ , where the  $d$  notation indicates the limit of  $\Delta y/\Delta x$ , or  $y'$ , or  $f'(x)$ . For the quadratic, we have the following:

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{(x^2 + 2x\Delta x + (\Delta x)^2) - x^2}{\Delta x} = \lim_{\Delta x \rightarrow 0} (2x + \Delta x) = 2x$$

This general expression for the derivative is used to determine the slope of the curve  $y = x^2$  at any point. When  $x = 3$ , the function has value 9 and slope 6. When  $x = 4$ , the function has value 16 and slope 8.

Another, more general, way of writing this definition is

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{y(x + \Delta x) - y(x)}{\Delta x} \quad (3-1)$$

where the expression  $y(x + \Delta x)$  means the value of  $y$  at  $x + \Delta x$  and  $y(x)$  means the value of  $y$  at  $x$ .

**3-1** Use the definition of the derivative to find the derivative of  $y = x^3$ .

**Solution:** Follow the definition of the derivative in equation 3-1.

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{(x + \Delta x)^3 - x^3}{\Delta x} = \frac{x^3 + 3x^2\Delta x + 3x\Delta x^2 + \Delta x^3 - x^3}{\Delta x}$$

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} (3x^2 + 3x\Delta x + \Delta x^2) = 3x^2$$

**Polynomials** There is a pattern to these derivatives as illustrated in the adjacent table. The pattern suggests a general rule for differentiating polynomials.

| $f(x)$ | $f'(x)$ |
|--------|---------|
| const  | 0       |
| $mx$   | $m$     |
| $x^2$  | $2x$    |
| $x^3$  | $3x^2$  |

If  $f(x) = cx^n$ , then  $f'(x) = cnx^{n-1}$ .



**Remember**

This power law works for positive and negative exponents, as well as fractions.

**3-2** Differentiate  $y = 3x^3 + x + 2$ .**Solution:** Following the general definition of the derivative (equation 3-1) write:

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{[3(x + \Delta x)^3 + (x + \Delta x) + 2] - [3x^3 + x + 2]}{\Delta x}$$

Looking at the parentheses, the 2's add to zero and the  $x$ 's add to zero. The  $(x + \Delta x)^3$  term is in the previous problem as well so

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{3x^3 + 9x^2\Delta x + 9x\Delta x^2 + 3\Delta x^3 + \Delta x - 3x^3}{\Delta x} = \lim_{\Delta x \rightarrow 0} 9x^2 + 9x\Delta x + 3\Delta x^2 + 1 = 9x^2 + 1$$

Look at the general power law rule (If  $f(x) = cx^n$ , then  $f'(x) = cnx^{n-1}$ ) and notice that if this law were applied to each of the terms, first the  $3x^3$ , then the  $x$ , this result would be achieved.

The previous problem is an example of a simple rule: The derivative of a collection of terms is the sum of the derivatives of the individual terms. In mathematical language

$$\begin{aligned} \text{If } f(x) &= u(x) + v(x) \text{ then } f'(x) = u'(x) + v'(x), \text{ and} \\ \text{if } f(x) &= u(x) - v(x) \text{ then } f'(x) = u'(x) - v'(x). \end{aligned}$$

**Remember****3-3** Using the general power law rule and the sum and difference rules (above) find the derivative of  $y = 4x^3 + 3x^2 - 2x - 3$ .

**Solution:**  $y' = 3(4x^2) + 2(3x) - 2(1) - 0 = 12x^2 + 6x - 2$

**3-4** Find the slope of  $y = 4x^3 + 3x^2 - 2x - 3$  at  $x = 2$ .**Solution:** Using the expression for  $y'$  from the previous problem we can solve as follows:

$$y'(2) = 12(2)^2 + 6(2) - 2 = 48 + 12 - 2 = 58$$

**3-5** The cost in dollars to manufacture a certain item is  $CM = 120 + 0.02x^2$ . This relation is valid for up to 70 items (maximum capacity of the facility) per month. Find the cost to manufacture the 10<sup>th</sup>, 40<sup>th</sup>, and 70<sup>th</sup> items. This is called the marginal cost.

**Solution:** The general expression for the cost per item is the derivative of the cost function,  $d(CM)/dx$ .

$$\frac{d(CM)}{dx} = 0.04x \quad \left. \frac{d(CM)}{dx} \right|_{10} = \$0.40 \quad \left. \frac{d(CM)}{dx} \right|_{40} = \$1.60 \quad \left. \frac{d(CM)}{dx} \right|_{70} = \$2.80$$


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The derivative can be thought of as a rate. A most convenient way to illustrate this is with velocity and acceleration. One of the easiest rates to visualize is velocity, distance divided by time. If something moves 200 meters (m) in 50 seconds (s) we say it has a velocity of 4 m/s. This 200 m in 50 s produces an average velocity,  $\Delta x/\Delta t$  in calculus language. The velocity at any instant during the 50 s may, however, be quite different from the average. To find the instantaneous velocity we first need to know how  $x$  varies with time, or  $x = f(t)$ . Then  $dx/dt$ , the limit as the time interval becomes shorter and shorter, is an expression for the instantaneous velocity,  $v$ , that can be evaluated at any time.

If something is changing velocity as it moves then we can take the difference in velocity between the beginning and end of a time interval and calculate the average acceleration over that time interval. The instantaneous acceleration ( $a = dv/dt$ ), the rate at which the velocity changes, is the derivative of the velocity-time relation evaluated at any time.

**3-6** Find the expression for the instantaneous velocity for the distance-time function  $x = kt^3 - lt^2 + mt$  and evaluate the velocity at  $t = 1$  s. Take  $k = 2 \text{ m/s}^3$ ,  $l = 4 \text{ m/s}^2$ , and  $m = 5 \text{ m/s}$ .

**Solution:** The general expression for velocity is the time derivative of the  $x = f(t)$ , or

$$v = \frac{dx}{dt} = 3kt^2 - 2lt + m$$

and the velocity evaluated at  $t = 1$  is

$$v|_{t=1} = (3)(2 \text{ m/s}^3)(1 \text{ s})^2 - (2)(4 \text{ m/s}^2)(1 \text{ s}) + 5 \text{ m/s} = 3 \text{ m/s}$$



**3-7** Continue problem 3-6 by finding the acceleration at  $t = 2$  s.

**Solution:** The general expression for the instantaneous acceleration is the time derivative of the expression for  $v$ . (See the previous problem for  $v$ .)

$$a = \frac{dv}{dt} = 2 \cdot 3kt - 2l$$

and the acceleration at  $t = 2$  s is

$$a|_{t=2} = (6)(2 \text{ m/s}^3)(2 \text{ s}) - 2(4 \text{ m/s}^2) = 16 \text{ m/s}^2$$

Velocity and acceleration problems are excellent test problems. Be sure you know that given position as a function of time  $x = f(t)$ , the velocity is the first derivative, and the acceleration is the second derivative.

Given  $x = 4 + 6t - 5t^2$  know how to find velocity ( $v = 6 - 10t$ ) and acceleration ( $a = -10$ ) and be able to evaluate velocity and acceleration at any time.

The velocity is the first derivative of position,  $v = dx/dt$ . The acceleration is the first derivative of the velocity,  $a = dv/dt$ . Both derivatives come from the same function. The velocity is the first derivative and the acceleration the second derivative. It is common to write  $v$  as a first derivative and  $a$  as a second derivative:

$$v = \frac{dx}{dt} \quad \text{and} \quad a = \frac{d^2x}{dt^2} = \frac{d}{dt} \left( \frac{dx}{dt} \right)$$



You will encounter second and third derivatives of the same function in other areas.

**Product and Quotient Rule** Having established the derivatives of polynomials and worked some sample problems let's move on to fractions and products.

**3-8** Find the derivative of the function  $y = x^2(x + 2)$ .

**Solution:**

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{(x + \Delta x)^2(x + \Delta x + 2) - x^2(x + 2)}{\Delta x}$$

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{(x^3 + x^2 \Delta x + 2x^2 + 2x^2 \Delta x + 2x \Delta x^2 + 4x \Delta x + x \Delta x^2 + \Delta x^3 + 2\Delta x^2) - (x^3 + 2x^2)}{\Delta x}$$

$$\frac{dy}{dx} = 3x^2 + 4x$$

**Second Solution:** The solution could have been obtained much easier by applying the rule for differentiating a product. The derivative of a product is the first term times the derivative of the second term plus the second term times the derivative of the first term. In mathematical symbolism,

$$\text{If } f(x) = u(x)v(x) \text{ then } f'(x) = u(x)v'(x) + u'(x)v(x)$$

For this problem, then,

$$\frac{dy}{dx} = x^2 \frac{d}{dx}(x+2) + (x+2) \frac{d}{dx} x^2 = x^2(1) + (x+2)(2x) = 3x^2 + 4x$$

A similar, though somewhat more complicated rule applies for fractions. Again, use the basic definition of the derivative to find the differential of a fraction and see how the differentiation can be performed much easier with the fraction rule.

**3-9** Use the basic definition of the derivative to find  $\frac{dy}{dx}$  of  $y = \frac{x+1}{x^2}$ .

**Solution:**

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{\frac{x + \Delta x + 1}{(x + \Delta x)^2} - \frac{x + 1}{x^2}}{\Delta x}$$

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{x^2(x + \Delta x + 1) - (x + 1)(x^2 + 2x\Delta x + \Delta x^2)}{(x + \Delta x)^2(x^2)(\Delta x)}$$

$$\frac{dy}{dx} = \lim_{\Delta x \rightarrow 0} \frac{x^3 + x^2 \Delta x + x^2 - (x^3 + 2x^2 \Delta x + x \Delta x^2 + x^2 + 2x \Delta x + \Delta x^2)}{(x + \Delta x)^2(x^2)(\Delta x)}$$

$$\frac{dy}{dx} = \frac{-x^2 - 2x}{x^4} = -\frac{x+2}{x^3}$$



**Remember**

**Second Solution:** The general rule for differentiating a fraction is:

$$\text{If } f(x) = \frac{u(x)}{v(x)} \text{ then } f'(x) = \frac{v(x)u'(x) - u(x)v'(x)}{[v(x)]^2}$$



**Remember**

By applying this rule the problem becomes much easier:

$$\frac{dy}{dx} = \frac{x^2 \frac{d}{dx}(x+1) - (x+1) \frac{d}{dx}(x^2)}{(x^2)^2} = \frac{x^2(1) - (x+1)(2x)}{x^4} = \frac{-x^2 - 2x}{x^4} = -\frac{x+2}{x^3}$$

**Trigonometric Functions** There is no general rule for determining the derivative of trigonometric functions. Each trigonometric function has a unique derivative. One will be done to demonstrate the approach. Consult the table of derivatives (pg. 182) for the derivative of each specific trigonometric function.

**3-10** Apply the basic definition to find the derivative of the sine function,  $y = \sin \theta$ .

**Solution:**

$$\frac{dy}{d\theta} = \lim_{\Delta\theta \rightarrow 0} \frac{\sin(\theta + \Delta\theta) - \sin \theta}{\Delta\theta}$$

The  $\sin(\theta + \Delta\theta)$  can be replaced with the sum of two angles identity (see page 5 or the Mathematical Tables at the back of the book for trigonometric identities).

$$\frac{dy}{d\theta} = \lim_{\Delta\theta \rightarrow 0} \frac{\sin \theta \cos \Delta\theta + \cos \theta \sin \Delta\theta - \sin \theta}{\Delta\theta}$$

As  $\Delta\theta$  goes to zero,  $\cos \Delta\theta$  goes to 1 (see the graph of the cosine function on pg. 5) so the problem reduces to

$$\frac{dy}{d\theta} = \cos \theta \lim_{\Delta\theta \rightarrow 0} \frac{\sin \Delta\theta}{\Delta\theta}$$

As  $\Delta\theta$  approaches zero,  $\sin \Delta\theta$  approaches  $\Delta\theta$ . For small angles the sine function looks like a straight line of slope 1. Check this out with your hand calculator.

Take the sine of 0.2 (rad), a little over  $11^\circ$ , and notice how close the sine of 0.2 is to 0.2. Now decrease the angle to 0.1, 0.01, 0.001, until your calculator no longer displays a difference between the sine and the angle. The limit of  $\sin \Delta\theta$  over  $\Delta\theta$  as  $\Delta\theta$  goes to zero is 1 so:

The derivative of the sine function is the cosine function.

The approximation  $\sin \theta = \theta$  for small  $\theta$  is used in many problems in physics and engineering.



Pattern

**Implicit Differentiation** The general procedure for differentiating a polynomial function  $y = x^2 + 2x$  is to apply the power law rule to each term and write  $dy/dx = 2x + 2$ . Another and often very convenient way of looking at the problem would be to differentiate the entire equation term by term,  $dy = 2xdx + 2dx$ , and then write  $dy/dx = 2x + 2$ . You should notice that most differential tables are written in this manner. As functions become more complicated implicit differentiation becomes more convenient. Suppose you have a function  $x^4 + x^2y^2 - xy^3 = 18$  where it is impossible to solve for  $x$  in terms of  $y$  or  $y$  in terms of  $x$ . Implicit differentiation is the only way to find  $dy/dx$ .

**3-11** Find  $dy/dx$  for  $x^4 + x^2y^2 - xy^3 = 18$  by implicit differentiation.

**Solution:** The  $x^2y^2$  and  $xy^3$  terms are treated as products.

$$4x^3dx + x^2d(y^2) + y^2d(x^2) - xd(y^3) - y^3dx = 0$$

$$4x^3dx + x^2(2ydy) + y^2(2xdx) - x(3y^2dy) - y^3dx = 0$$

Separate out the terms multiplying  $dy$  and  $dx$ .

$$(2x^2y - 3xy^2)dy = -(4x^3 + 2xy^2 - y^3)dx$$

and solve for  $dy/dx$ .

$$\frac{dy}{dx} = -\frac{4x^3 + 2xy^2 - y^3}{2x^2y - 3xy^2}$$

**3-12** Continue problem 3-11 by finding the value of the slope at  $x = 2, y = 1$ .

**Solution:** The  $dy/dx$  is from the previous problem so

$$\left. \frac{dy}{dx} \right|_{\substack{x=2 \\ y=1}} = -\frac{4(2)^3 + 2(2)(1) - 1}{2(2)^2(1) - 3(2)(1)} = -\frac{32 + 4 - 1}{8 - 6} = -\frac{35}{2} = -17.5$$

The graph of  $x^4 + x^2 y^2 - xy^3 = 18$  goes through the point (2,1) and has a slope at this point of -17.5.

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**Change of Variable** Implicit differentiation and a change of variable become essential when functions become complicated and more than one rule is needed to perform a differentiation.

**3-13** Find the derivative of  $y = (x+3)^{25}$ .

**Solution:** You could try to find someone to raise  $x+3$  to the 25<sup>th</sup> power *or* you could view the  $x+3$  as a variable and apply the power rule. Implicit differential also helps to simplify the problem.

$$dy = 25(x+3)^{24} d(x+3) \quad \text{so} \quad \frac{dy}{dx} = 25(x+3)^{24}$$

**Second Solution:** Instead of just thinking of the  $x+3$  as the variable you can define a new variable,  $u = (x+3)$  so the function reads  $y = u^{25}$  with implicit derivative  $dy = 25u^{24} du$ . The derivative of  $u$  is from the definition of  $u$ , so  $du = dx$  and

$$dy = 25(x+3)^{24} dx \quad \text{and} \quad \frac{dy}{dx} = 25(x+3)^{24}$$


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**3-14** Find the derivative of  $y = \cos^3(x^2 + 2)$ .

**Solution:** From the Mathematical Tables (pg. 184), the derivative of the cosine is negative sine. Use the power rule first to obtain  $dy = 3 \cos^2(x^2 + 2) d \cos(x^2 + 2)$ .

View the  $x^2 + 2$  as the variable and take (the derivative of)

$$d \cos(x^2 + 2) = -\sin(x^2 + 2) d(x^2 + 2) = -2x \sin(x^2 + 2) dx$$

Combining,

$$\frac{dy}{dx} = [3 \cos^2(x^2 + 2)][-2x \sin(x^2 + 2)] = -6x \cos^2(x^2 + 2) \sin(x^2 + 2)$$

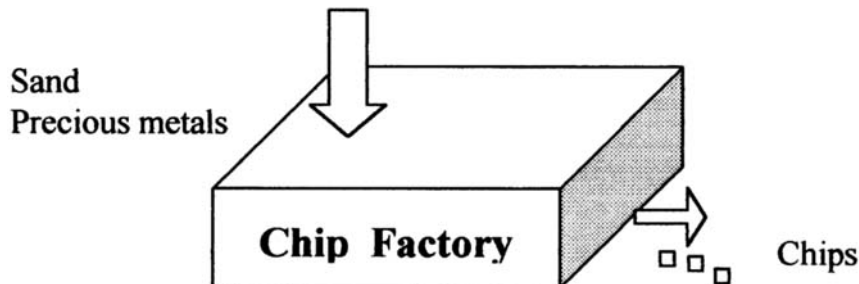
**Second Solution:** Notice how much easier and less susceptible to error the problem becomes when a change of variable is made early on in the problem. First set  $u = x^2 + 2$  so  $du = 2x dx$ . Now write the problem as  $y = \cos^3 u$  and differentiate implicitly.

$$dy = 3(\cos^2 u) d \cos u = 3(\cos^2 u)(-\sin u) du = 3[\cos^2(x^2 + 2)][-\sin(x^2 + 2)] 2x dx$$

$$\frac{dy}{dx} = -6x \cos^2(x^2 + 2) \sin(x^2 + 2)$$


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**Chain Rule** In many practical situations a quantity is given in terms of a variable and then this variable is expressed in terms of a third variable. A problem may be described this way because the first variable is not easily written in terms of the third or perhaps it is conceptually easier to understand the process in two steps.



Suppose the cost of manufacturing a certain item, say a computer chip, depends on the number of items produced. The number of items produced depends on the length of time the "fab" facility operates to produce the chips, the length of time for the production run. If the cost per unit (dollars per chip) is  $dC/dN$  and the rate of production (chips per hour) is  $dN/dt$ , then cost per unit of time is the product of these two derivatives.

$$\frac{dC}{dt} = \frac{dC}{dN} \frac{dN}{dt}$$

**3-15** Find  $du/dt$  for  $u = x^2 + 2x$  and  $x = t^3 - 3$ .

**Solution:** This requires a chain derivative:  $\frac{du}{dt} = \frac{du}{dx} \frac{dx}{dt}$

$$\frac{du}{dt} = \frac{du}{dx} \frac{dx}{dt} = (2x + 2)(3t^2)$$

**Logarithms and Exponents** The differentials of several logarithms and exponents are listed below.

If  $y = e^x$  then  $dy = e^x dx$

If  $y = a^x$  and  $a > 0$  and  $a \neq 1$  then  $dy = (\ln a)a^x dx$

If  $y = \ln x$  then  $dy = \frac{1}{x} dx$

If  $y = \log_a x$  and  $a > 0$  and  $a \neq 1$  then  $dy = \frac{1}{(\ln a)x} dx$

**3-16** Find the derivative of  $y = e^{x^2 - 3}$ .

**Solution:** Think, or write,  $y = e^u$  and the derivative is

$$dy = e^u du = e^{x^2 - 3} (2x dx) \quad \text{or} \quad \frac{dy}{dx} = (2x)e^{x^2 - 3}$$


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**3-17** Find  $dy/dx$  of  $y = \ln x^2$ .

**Solution:**  $dy = \frac{1}{x^2} 2x dx$  or  $\frac{dy}{dx} = \frac{2}{x}$

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**3-18** Find the derivative of  $y = x^{1.5} \ln(x^2 + 2)$ .

**Solution:** This looks bad. But, if you proceed slowly, applying the rules one at a time,

the differentiation is not all that difficult. The hard part is proceeding logically. This is a product so write

$$dy = x^{1.5} d[\ln(x^2 + 2)] + \ln(x^2 + 2) dx^{1.5}$$

The differential of  $\ln(x^2 + 2)$  is, according to the table,  $\frac{1}{x^2 + 2} d(x^2 + 2) = \frac{2x dx}{x^2 + 2}$ .

The differential of  $x^{1.5}$  is  $(1.5)x^{0.5} dx$ .

Putting it all together we get the following:

$$dy = x^{1.5} \frac{2x dx}{x^2 + 2} + (1.5)x^{0.5} \ln(x^2 + 2) dx \quad \text{or} \quad \frac{dy}{dx} = \frac{2x^{2.5}}{x^2 + 2} + (1.5)x^{0.5} \ln(x^2 + 2)$$


---

**3-19** Find the derivative of  $y = \frac{\tan x}{x}$ .

**Solution:** Your first reaction to this problem probably is to apply a fraction rule. Apply the fraction rule.

$$\frac{dy}{dx} = \frac{x \frac{d}{dx}(\tan x) - (\tan x) \frac{d}{dx}x}{x^2} = \frac{x \sec^2 x - (\tan x)}{x^2}$$

**Second Solution:** Often viewing a fraction as a product makes for an easier differential. Switching to an implicit differential and viewing the problem as a product,

$$dy = x^{-1} d(\tan x) + (\tan x) d(x^{-1}) = x^{-1} \sec^2 x dx + (\tan x)(-x^{-2}) dx$$

$$\frac{dy}{dx} = \frac{x \sec^2 x - \tan x}{x^2}$$


---

**3-20** Find the derivative of  $y = e^{-x} \sin x$ .

**Solution:** This is a product. Proceed methodically and the problem is not difficult.

$$dy = e^{-x} d(\sin x) + \sin x d(e^{-x}) = e^{-x} (\cos x) dx - e^{-x} (\sin x) dx$$

$$\frac{dy}{dx} = e^{-x} (\cos x - \sin x)$$



Speed

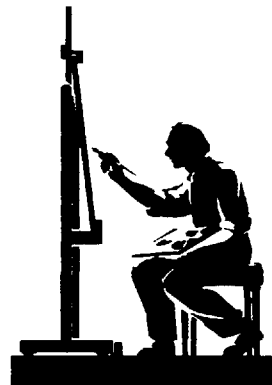


# 4

## GRAPHING

The next three chapters, graphing, max-min problems, and related rate problems, all deal with applications of the derivative. They are considered the most difficult topics in the first semester of calculus, particularly graphing.

Before going any farther in this chapter go back and review the graphing of parabolas, paying particular attention to visualizing the curve before plotting points and sketching the curve. Also go back and look over the concept of asymptotes in the chapter on limits. Many authors approach graphing in the calculus by using calculus only. We do not use that approach. Graphing is difficult enough without using exclusively new techniques. We use the graphing techniques of algebra; particularly those techniques discussed in the graphing of parabolas and higher power curves. Let's look at a couple of simple problems and see how the derivative can be used in curve sketching.



**4-1** Sketch the graph of  $y = 4$ .

**Solution:** This is a straight line parallel to the  $x$ -axis as shown in Fig. 4-1. Further, it is a horizontal line. The derivative of  $y = 4$  is zero.

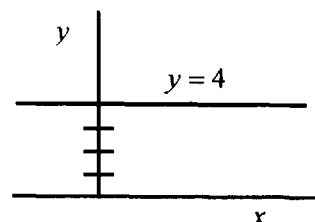


Fig. 4-1

Any curve in the form  $y = \text{const.}$  is a horizontal line parallel to the  $x$ -axis and has zero slope.

**4-2** Sketch the graph of  $y = 2x$ .

**Solution:** The derivative of  $y = 2x$  is 2. The slope is everywhere constant and equal to 2 (see Fig. 4-2). Any linear function has a constant derivative and a constant slope.

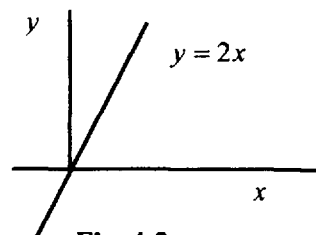
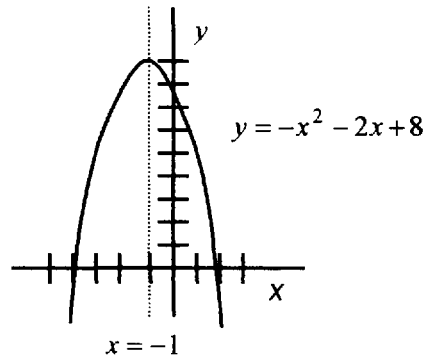


Fig. 4-2

**4-3** Sketch the graph of  $y = -x^2 - 2x + 8$ .

**Solution:** This is a parabola (the 2 in the exponent) that opens down (the minus sign in front of the squared term) and it is shifted up or down and sideways (the 8 means it is shifted up and down and the presence of an  $x$  term means it is shifted sideways). If you did not know this go back and review the sections relating to graphing parabolas.

Factoring,  $y = (-x+2)(x+4)$  tells us that the curve crosses the  $x$ -axis when  $x=2$  and  $x=-4$ . These are the values of  $x$  that make  $y=0$ . Place these two points on the graph and with the knowledge that the curve opens down, expect a positive value of  $y$  at the symmetry line,  $x=-1$ . Substituting  $x=-1$  into the original function produces  $y=9$ . These points and the knowledge that the curve is a parabola are sufficient for drawing the sketch shown in Fig. 4-3.

**Fig. 4-3**

There is another point that is so easy it is not worth passing up. Look at the original function and note that at  $x=0$ ,  $y=8$ .

So, where does calculus come in? At the point  $(-1,9)$  the slope of the curve is zero. This means that the derivative must be zero at the point  $x=-1$ . When the derivative of a function is zero, the slope is zero and the curve is flat (at that point). Setting the derivative of  $y = -x^2 - 2x + 8$  equal to zero should produce the value of  $x=-1$ .

The function  $y = -x^2 - 2x + 8$  has derivative  $y' = -2x - 2 = -2(x+1)$ . Setting  $-2(x+1) = 0$  produces the solution  $x=-1$  and we already know  $y=9$  for  $x=-1$ .



How does calculus help in graphing? When the derivative of a parabola is zero, the curve has a  $\cap$  or  $\cup$  shape. Zero slope means the curve is flat and the only place where a parabola is flat is at a peak or a valley. The broader application of this approach is very helpful in higher (than 2) power curves such as the one in the next problem.

**4-4** Sketch the graph of  $y = x^3 - 3x^2 + 2$ .

**Solution:** The dominant term is  $x^3$  so for large enough values of  $x$  the curve looks like a cubic. It goes up to the right and down to the left. If you have any trouble

understanding that last sentence go back to the chapter on graphing and look up cubics. For  $x = 0$ ,  $y = 2$ . With this most rudimentary analysis we know that the curve goes up to the right, down to the left, and passes through  $(0,2)$ .

The derivative of a cubic is a quadratic, and a quadratic has two solutions or, in this case, two points where the slope is zero.

The derivative of  $y = x^3 - 3x^2 + 2$  is  $y' = 3x^2 - 6x = 3x(x - 2)$  with solutions  $x = 0$  and  $x = 2$ . Substituting these values into the original function produces the points  $(2, -2)$  and  $(0, 2)$ . Place these points on the coordinate system, remembering that they are points on the curve where the slope is zero, and the curve is easily sketched.

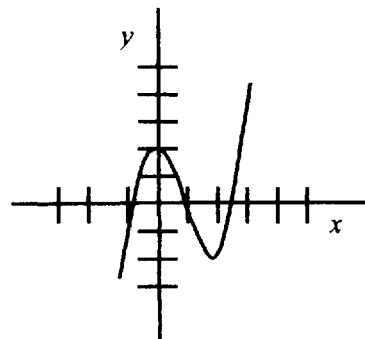


Fig. 4-4

The point  $(1, 0)$  is easy to calculate. And if more detail is desired the values of  $(2, 2)$  and  $(-1, -2)$  can be obtained easily. These last two points show an approximate position where the curve crosses the  $x$ -axis (Fig. 4-4).

A 3<sup>rd</sup> power curve has a 2<sup>nd</sup> power derivative. The 2<sup>nd</sup> power derivative has at most two points, solutions, where the derivative is zero. A 4<sup>th</sup> power curve has a 3<sup>rd</sup> power derivative and at most three points where the derivative is zero and so on for higher power curves. The number of points where a polynomial has zero slope is at most equal to one less than the power of the polynomial. There is, however, another little twist to this rule as illustrated in the following problem.



Pattern

#### 4-5 Sketch the graph of $y = 3x^4 - 4x^3 + 1$ .

**Solution:** This is a 4<sup>th</sup> degree equation. The  $3x^4$  term dominates for large  $x$  so the curve eventually rises to the right and the left. The point  $(0, 1)$  is easy. The point  $(1, 0)$  is almost as easy. Now apply some calculus analysis. Differentiate the function, set the derivative equal to zero, and find where the curve has zero slope.

Differentiating the function,  $y' = 12x^3 - 12x^2 = 12x^2(x - 1)$ , and setting the derivative equal to zero,  $12x^2(x - 1) = 0$ , produces two values of  $x$  where the slope is zero,  $x = 0$  and

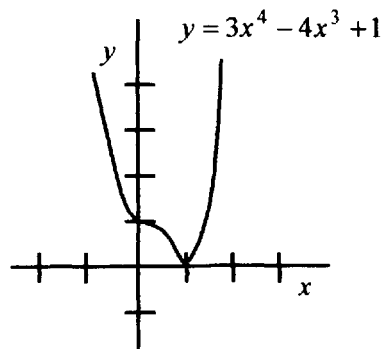


Fig. 4-5

$x = 1$ . We already have the coordinates of these points, and now we know the curve has zero slope at these points.



Watch  
Out!

This analysis has produced a dilemma. How can the curve go up to the right, go up to the left, and have two points such as  $\cup$  or  $\cap$ ? It can't! One of the points where the slope is zero must be a point where the curve, going up or down, becomes flat and continues on up or down. The point  $x = 1$  is lower than the point  $x = 0$  so the point at  $x = 1$  must be the one with shape  $\cup$  and the point at  $x = 0$  must be the one where the curve flattens out (Fig. 4-5). The exact shape in the vicinity of both  $x = 0$  and  $x = 1$  can be checked by trying some points in the original equation. There is, however, a better way. It involves calculus and it is easier.

The first derivative of a function set equal to zero determines where the function has zero slope. At these points the curve is either concave up or concave down, or has an inflection point where the slope is zero. The second derivative of the function produces the answers here. To get a feel for how the second derivative works look at the previous problems.

Problem 4-3 is the sketch of  $y = -x^2 - 2x + 8$  and algebra analysis indicates a parabola that looks like  $\cap$ , symmetric about the line  $x = -1$ . The first derivative of  $y$  is  $y' = -2x - 2 = -2(x + 1)$  and setting  $y' = 0$  produces the point (for zero slope) of  $x = -1$ . The second derivative of  $y = -x^2 - 2x + 8$  is  $y'' = -2$ . The second derivative is negative at  $x = -1$  and in fact everywhere on the curve.

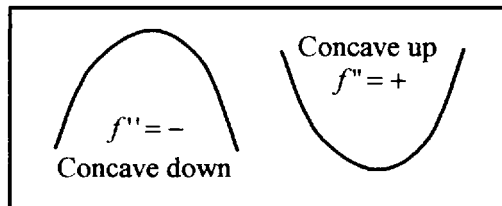
A simple parabola  $y = x^2 \pm ()x \pm ()$  opens up ( $\cup$ ). The first derivative is  $y' = 2x \pm ()$  and the second derivative is  $y'' = 2$ . For a parabola that opens up, the second derivative is positive at the minimum value.

Look at problem 4-4, the graph of  $y = x^3 - 3x^2 + 2$ . The first derivative  $y' = 3x^2 - 6x$  produces zero slopes at  $x = 0$  and  $x = 2$ . The second derivative  $y'' = 6x - 6$  is negative at  $x = 0$ , and positive at  $x = 2$ .



Pattern

Both of these problems illustrate the rule that at points where the first derivative goes to zero, the curves are concave up when the second derivative is positive and concave down when the second derivative is negative. This is a calculus method of determining where the curves are concave up and where they are concave down.



Now let's take a look at problem 4-5, the one with the horizontal inflection point. The original function is  $y = 3x^4 - 4x^3 + 1$  with first derivative  $y' = 12x^3 - 12x^2$  producing  $x = 0$  and  $x = 1$  as the points where the slope is zero. The second derivative is  $y'' = 36x^2 - 24x = 12x(3x - 2)$ . At  $x = 1$ , the second derivative is positive indicating the curve is concave up at this point. At  $x = 0$ , the second derivative has value 0 indicating neither concave up nor concave down, but a point of inflection.

These three problems illustrate the use of calculus in graphing. What we have learned so far can be summarized as follows:

- Take the first derivative. Set this first derivative equal to zero and solve the resulting equation to find points where the curve has zero slope.
- Take the second derivative and evaluate the second derivative at the points where the slope is zero.

If the second derivative is positive, the curve is concave up.

If the second derivative is negative, the curve is concave down.

If the second derivative is zero, the curve has a point of inflection.

#### 4-6 Sketch the graph of $y = x^3 + x^2 - 2x$ .

**Solution:** The dominant term is  $x^3$  so the curve eventually goes up to the right and down to the left. The point  $x = 0$ ,  $y = 0$  is easy. Before differentiating, note that the curve has zero slope at no more than two points because the highest power is 3. Follow along the rules as they are written above.

The first derivative is  $y' = 3x^2 + 2x - 2$ . Setting  $3x^2 + 2x - 2 = 0$  results in a quadratic that cannot be factored so apply the quadratic formula

$$x = \frac{-2 \pm \sqrt{2^2 - 4(3)(-2)}}{2(3)} = \frac{-2 \pm \sqrt{28}}{6} = 0.55, -1.2$$

Figure 4-6 is a first cut at the graph. It is based only on knowing that the curve goes up to the right, down to the left, passes through  $(0,0)$ , and has zero slope at  $x = 0.55$  and  $x = -1.2$ .

Is it possible to easily find the points where the curve  $y = x^3 + x^2 - 2x$  crosses the  $x$ -axis? Maybe,

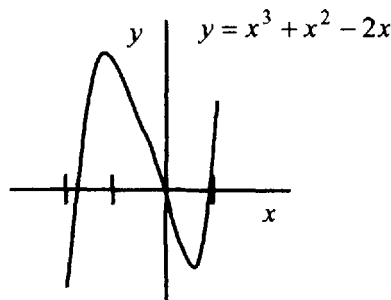


Fig. 4-6

maybe not, but it is at least worth trying a couple of obvious points:

At  $x = 1$ ,  $y(1) = 1 + 1 - 2(1) = 0$ .

At  $x = -1$ ,  $y(-1) = -1 + 1 + 2 = 2$ .

At  $x = -2$ ,  $y(-2) = -8 + 4 + 4 = 0$ .

There is no point in trying further numbers. A cubic only crosses the  $x$ -axis at most three times and we have the three places where it crosses. Finding the  $y$  values at the turning points, where the slope is zero, may or may not be important to you. With this added information, the curve can be sketched as in Fig. 4-7.

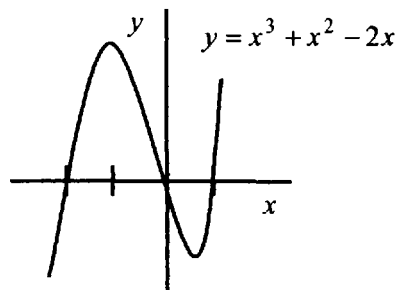


Fig. 4-7

**Second Solution:** There is another feature of this curve that can be analyzed using calculus. Look at the left part of the curve that looks like a parabola opening down and then the right part of the curve that looks like a parabola opening up. On this left part of the curve the slope becomes more and more negative until some point, between  $x = -1.2$  and  $x = 0.55$ , the slope of the curve, though still negative, starts becoming more positive. The point where this happens is also called a point of inflection. The strict definition of this point (of inflection) is that it is the point where the slope changes from becoming more negative to becoming more positive or vice versa.

The analysis of points of inflection can be confusing which is why these subtleties have been put off until now. There are two kinds of points of inflection, one where the curve goes to zero slope but does not have a  $\cup$  or  $\cap$  shape, and the other where the curve changes from having an increasingly negative slope to an increasingly positive slope.



The confusion does not end here, however. The first type of point of inflection is determined by evaluating the second derivative at the point where the first derivative goes to zero. The second type of point of inflection is found by setting the second derivative equal to zero. Read this paragraph again and again until the distinction is clear in your mind.

The first derivative of  $y = x^3 + x^2 - 2x$  is  $y' = 3x^2 + 2x - 2$  and this resulted in the points  $x = 0.55$  and  $x = -1.2$  where the curve crossed the  $x$ -axis. The second derivative is  $y'' = 6x + 2$  which is positive at  $x = 0.55$  and negative at  $x = -1.2$  confirming the previous analysis of this curve. The new feature is obtained by setting the second derivative equal to zero  $2(3x + 1) = 0$ . The second derivative is zero at  $x = -1/3$ . Look

again at the curve in Fig. 4-7 and see that this is a very reasonable point for the curve to change slope from becoming more and more negative to becoming more and more positive. This is another graphing tool involving calculus.

**4-7** Sketch the graph of  $y = 8x^5 - 5x^4 - 20x^3$ .

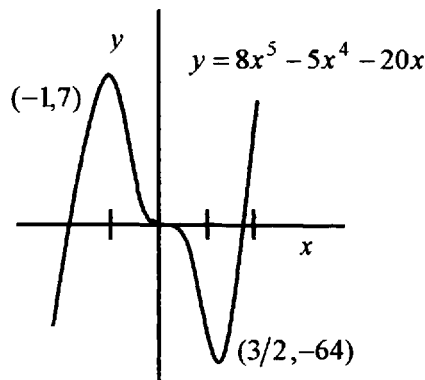
**Solution:** This is a 5<sup>th</sup> degree curve so it increases (rises) rapidly with large positive  $x$  and goes rapidly negative for large negative values of  $x$ . The function factors to  $y = x^3(8x^2 - 5x - 20)$  producing the points  $x = 0$ ,  $y = 0$ .

The first derivative is

$$y' = 40x^4 - 20x^3 - 60x^2$$

$$y' = 20x^2(2x^2 - x - 3)$$

$$y' = 20x^2(2x - 3)(x + 1)$$



**Fig. 4-8**

Setting the first derivative equal to zero ( $y' = 0$ ) produces three points  $x = 0$ ,  $3/2$ , and  $-1$ . These are the points where the curve has zero slope.

The second derivative is

$$y'' = 160x^3 - 60x^2 - 120x$$

$$y'' = 20x(8x^2 - 3x - 6)$$

To determine the shape of the curve where the slope equals zero, find  $y''$  at each point:  $y''(0) = 0$ , horizontal point of inflection;  $y''(3/2) = 225$ ,  $\cup$  shape;  $y''(-1) = -100$ ,  $\cap$  shape.

The value of the function at each turning point is found by putting the values of  $x$  in the function.

$$\text{At } x = 0, y(0) = 0$$

$$\text{At } x = 3/2, y(3/2) = (3/2)^3 [8(3/2)^2 - 5(3/2) - 20] \approx -64$$

$$\text{At } x = -1, y(-1) = -1(8 + 5 - 20) = 7$$

All the inflection points, both horizontal and vertical, are found by setting  $y'' = 0$ :

$20x(8x^2 - 3x - 6) = 0$ . The inflection points are at  $x = 0$  and the solutions to  $8x^2 - 3x - 6 = 0$  are

$$x = \frac{3 \pm \sqrt{9 - 4(8)(-6)}}{2(8)} = \frac{3 \pm 14.2}{16} = -0.70, 1.1$$

These are most reasonable points, being where we expect the points of inflection to occur. The function is sketched in Fig. 4-8.

So far we have looked at polynomials. This is the type of function you will encounter most often. Your course may or may not include the graphing of rational functions (polynomial fractions). Polynomial fractions introduce one more interesting twist to the use of derivatives in curve sketching, what happens to a curve when the derivatives are undefined. This is best illustrated by example.

#### 4-8 Sketch the graph of $y = x^2 / (x - 1)$ .

**Solution:** At  $x = 1$  this function is undefined ( $1/0$ ). Therefore draw a dashed vertical line on the coordinate axes at  $x = 1$  indicating that the curve may exist to the right or left of this line, but not on the line. There is no dominant term in the same manner as for polynomials but, applying similar reasoning, look what happens when  $x$  is a large positive or negative number. When  $x$  is large the  $x - 1$  in the denominator looks like  $x$  and the function looks like  $y \approx x$ . In the language of the chapter on limits: As  $x \rightarrow \pm\infty$ ,  $y \approx x$ .

Add a dashed line,  $y = x$ , to the coordinate axes remembering that this is an asymptote line.

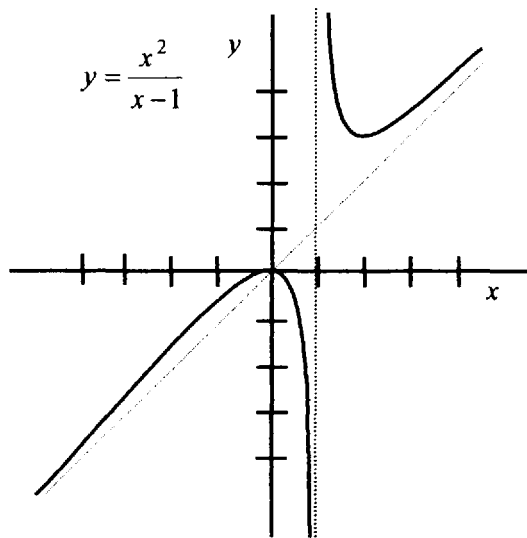


Fig. 4-9

Now apply some calculus analysis. The first derivative of the function is, using the quotient rule:



$$y' = \frac{(x-1)(2x) - x^2}{(x-1)^2} = \frac{2x^2 - 2x - x^2}{(x-1)^2} = \frac{x^2 - 2x}{(x-1)^2}$$

Before setting  $y' = 0$ , note that the derivative does not exist at  $x = 1$ . But we already knew that because the function does not exist at  $x = 1$  so it is not surprising that the derivative does not exist there. Note, however, that as  $x$  approaches 1 from either the positive or negative side, the slope of the curve is negative. This information may be helpful in sketching the graph. (See Fig. 4-9.)

Setting  $y' = 0$  produces  $x(x-2) = 0$ , and the two points where the slope equals zero,  $x = 0$ , and  $x = 2$ . The values of the function for these two points are:

$$y(0) = 0 \text{ and } y(2) = \frac{2^2}{2-1} = 4 \text{ so the slope of the curve is zero at } (0,0) \text{ and } (2,4).$$

If you are unsure of the shape of the curve in certain regions, check a point. With the information generated from the calculus and the concepts of limits you should get very close to the correct curve. As you gain more confidence you will not resort to checking specific areas of the curve by testing a point.

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The previous problem is typical of the more difficult ones you will encounter in your course. It is probably beyond what you will encounter on a test because of the complexity of the analysis and the potential for confusion. Sketches of the graphs of polynomials are much more popular as test problems. Know how to graph polynomials and you will be well along toward a good test score in graphing.

Having gone through examples of what you can expect to encounter in graphing problems, it is now time to write down some procedural guidelines for graphing curves of the general form  $y = f(x)$ .

### Guidelines for Graphing with Calculus

1. Look for the dominant term. If the function is a polynomial, the highest-power term gives the shape of the curve for large positive or negative numbers, and one less than this highest power gives the maximum number of points where the curve has zero slope.
2. If the function is a fraction ask how it behaves for large  $x$ . Does it look like a straight line, a parabola, or what? Also look for places where the curve does not exist.
3. Take the first derivative. Set the first derivative equal to zero and solve for values of  $x$  where the curve has zero slope. Determine the  $y$ -value at these points and add these points to the coordinate axes.



Remember

4. Take the second derivative. Evaluate the second derivative at the points where the slope is zero: If the second derivative is positive, the curve is concave up; if the second derivative is negative, the curve is concave down; if the second derivative is zero, the curve has a point of horizontal inflection.
5. Set the second derivative equal to zero and solve for values of  $x$  where the curve changes concavity. These are points where the slope of the curve changes from going more positive to going less positive or from going more negative to going less negative.
6. Sketch the curve. If you are unsure of the curve in certain places, plot a few points

These are guidelines for graphing functions. You may not always need all of the steps listed here. Depending on what you are looking for in the problem, you may not need to perform each step in detail. These guidelines will, however, allow you to graph just about any function you encounter. Now it is time for some application problems.

**4-9** The number of sales of a certain consumer item is growing in a quadratic way with time while the discard rate remains a constant over time. Analysts expect this trend to continue for five years. The number of these items in the hands of consumers as a function of time is  $N(t) = 3.2t^2 - 3t + 24$ . The  $3.2t^2$  term represents the quadratic growth in sales, the  $-3t$  term represents the discard rate, and the 24 represents the number now in consumer hands. Sketch the graph of  $N$  vs.  $t$ . Determine if there is anything else in the graph or the calculus analysis that will help in business planning.

**Solution:** This function is a quadratic that opens up. It starts at  $N = 24$  when  $t = 0$ . Only positive values of  $t$  have meaning. The equation cannot be factored so let's continue with the analysis remembering that we can always come back to the solution for  $N = 0$  if necessary.

The first derivative of the function  $N(t) = 3.2t^2 - 3t + 24$  is  $N' = 6.4t - 3$ .

Setting the first derivative equal to zero produces  $6.4t - 3 = 0$  and the value  $t = 0.47$  for zero slope of  $N$  vs.  $t$ . The value of the function at  $t = 0.47$  is

$$N(0.47) = 3.2(0.47)^2 - 3(0.47) + 24 = 23.3.$$

The second derivative is 6.4, a positive number so the shape of the curve at  $x = 0.47$ , and everywhere is  $\cup$ . With this information the curve can be plotted as in Fig. 4-10. The curve never crosses the  $t$ -axis.

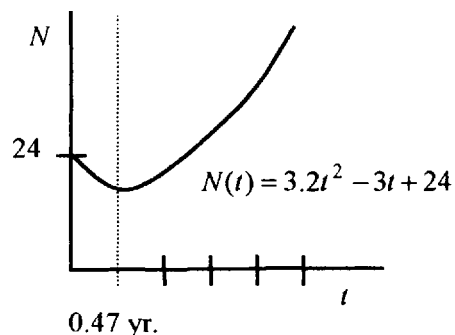


Fig. 4-10

In addition to showing graphically the number of units predicted as needed, the first derivative tells us something else. For the first half-year the number of items in consumer hands will decline (the minimum in the curve is at 0.47 yr.), then will rise. If the model is correct, suppliers need to be prepared for modest increase followed by a much greater increase in demand for the product.

**4-10** The volume of lumber available in a managed forest follows the formula  $V = (0.08)t^2 - (0.001)t^3$  over the first 60 years' life of the forest. Find the general shape of the curve from 0 to 60 years and determine the optimum time for harvesting the forest.



**Solution:** Only positive time from 0 to 60 years is interesting. The volume of lumber is in arbitrary units depending on the size of the forest. The curve starts out as a quadratic and then begins to flatten out with the growth of the  $t^3$  term. This is reasonable. Trees grow rapidly in their early years and then slow down as they reach maturity.

Take the first derivative of the function  $V = (0.08)t^2 - (0.001)t^3$  to obtain  $V' = (0.16)t - (0.003)t^2$ . Set this first derivative equal to zero to find the times when the curve has zero slope.  $t(0.16 - 0.003t) = 0$  produces values of  $t = 0$ , and  $t = 533$ . The time of 533 years is well beyond where the formula is valid. The time  $t = 0$  is very reasonable. The curve is flat at  $t = 0$  and rises throughout the 60 years when the formula is valid.

Take a second derivative:  $V'' = 0.16 - (0.006)t$  and set this equal to zero;  $0.16 - (0.006)t = 0$  produces a value of  $t = 0.16/0.006 = 27$  years. This second derivative test shows a change in concavity at 27 years. This means that the change in volume with time, the slope of the  $V$  vs.  $t$  curve, reaches a maximum at 27 years and after this time begins to drop off.

The most appropriate time to harvest this forest is at 27 years. A year or two more or less from this number won't make much difference because the slope is not changing rapidly around 27 years (Fig. 4-11).

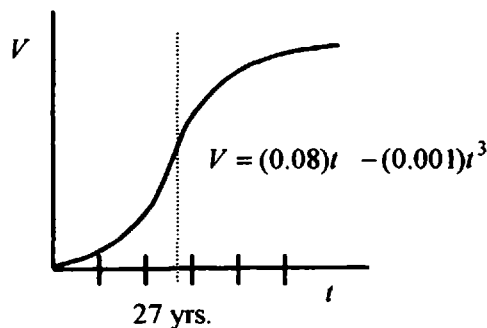
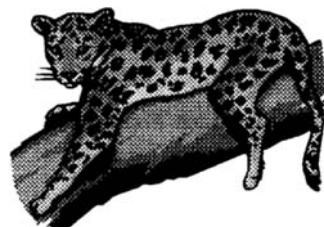


Fig. 4-11

**4-11** A certain disease is infecting an animal population. Experience with this disease shows that after injection with the appropriate antidote the number of animals infected with the disease follows the following formula:  $P(t) = (20t + 8)/(t^2 + 1)$  where  $t$  is measured in weeks. Find the time after the injection when the most animals will be affected by the disease and the total number affected.  $P(t)$  is measured in thousands.



**Solution:** The  $t^2$  term in the denominator insures that as time goes on the number of infected animals will eventually tend to zero. If it did not, we should be looking for another antidote! There are no positive values of  $t$  where the curve does not exist. If this model correctly predicts the total number of animals affected by the disease and the time when this maximum occurs, then the antidote is working as predicted and we are assured that all the animals will eventually be cured shortly after the disease peaks.

At  $t = 0$ ,  $P(t) = 8$ . This is when the antidote is administered to the animals. Finding the general shape of the  $P$  vs.  $t$  curve is ideally suited to calculus analysis.

The first derivative of  $P(t) = \frac{20t + 8}{t^2 + 1}$  is  $P' = \frac{(t^2 + 1)20 - (20t + 8)(2t)}{(t^2 + 1)^2} = \frac{-20t^2 - 16t + 20}{(t^2 + 1)^2}$

Set the first derivative equal to zero and obtain

$$\frac{-5t^2 - 4t + 5}{(t^2 + 1)^2} = 0 \quad \text{or} \quad 5t^2 + 4t - 5 = 0$$

This equation cannot be factored, so solve by quadratic formula:

$$t = \frac{-4 \pm \sqrt{16 - 4(5)(-5)}}{2(5)} = \frac{-4 \pm \sqrt{116}}{10} = 0.68, -1.5$$

Only the positive value has meaning so take  $t = 0.68$  weeks for the zero slope condition and calculate  $P$

$$P(0.68) = \frac{20(0.68) + 8}{(0.68)^2 + 1} = \frac{13.6 + 8}{1.46} = \frac{21.6}{1.46} = 14.8$$

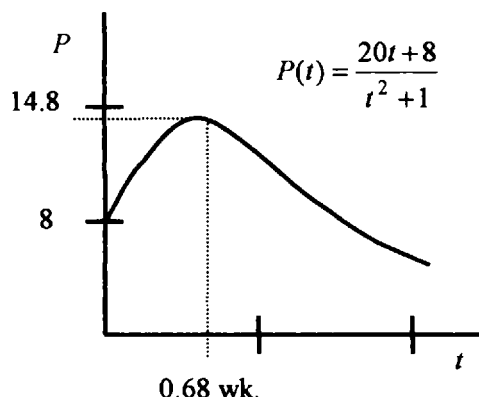


Fig. 4-12

The disease should peak at 0.68 week or 5 days after administration of the antidote with a maximum of 14.8 thousand animals infected on that day. After the 5<sup>th</sup> day, the number infected should decline as illustrated in Fig. 4-12.

# 5

## MAX-MIN PROBLEMS



Max

Max-min problems are unique to calculus. As the name implies, a variable is maximized or minimized in terms of another variable. A typical problem would ask the question:



Min

"What is the maximum volume of a cylindrical container that can be made from a given amount of material?" The volume of the container is the variable to be maximized while the surface area of the container is limited by the amount of material allowed. In this example an equation for the volume ( $V = \dots$ ) is the defining equation. It defines the variable to be maximized, the volume, in terms of the dimensions of the container. The specification of a certain amount of material for the container is called the constraint equation. It relates the variables in the defining equation so the defining equation can be written in terms of one variable. This all becomes much clearer after a couple of problems.

Once the defining equation is written in terms of one variable it is differentiated to find where the slope is zero. Where the slope of this curve is zero, the curve is at a maximum or a minimum. The value of the second derivative tells whether that point is a maximum or a minimum. Finding the points where the slope is zero and then identifying those points as either maximum  $\cap$ , or minimum  $\cup$ , has already been done in the graphing chapter. Max-min problems use much the same analysis techniques as with graphing.

Writing the defining equation is usually relatively easy. The hard part of max-min problems is finding the constraint equation and then doing the algebra so as to get the defining equation written in terms of one, other than the one to be maximized or minimized, variable and in as simple a form as possible.

There are very few max-min problems where the defining equation is written directly in terms of one variable. They are seen rarely on tests. They are considered too easy! Let's slowly go through a couple of max-min problems before setting down guidelines for working the problems and going on to the more challenging problems. Learn the procedure and max-min problems are not difficult.

**5-1** Design an open-top box for maximum volume. The box is to be made from a square piece of material of dimension  $a$ . What size square should be cut from each corner to make the box?

**Solution:** The side of the square taken from each corner is  $x$ . After the corner pieces are removed, the box is formed by bending the sides along the lines indicated.

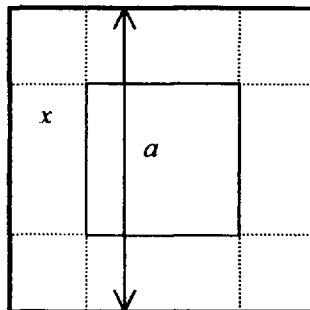


Fig. 5-1

The defining equation is  $V = (a - 2x)^2 x$ .

The bottom of the box is  $a - 2x$  by  $a - 2x$  and the height is  $x$ . The  $a$  is a constant, making the equation for  $V$  one with only one variable,  $x$ . Multiplying, we have the following:

$$V = (a^2 - 4ax + 4x^2)x = 4x^3 - 4ax^2 + a^2x$$

Differentiate  $V$ , and set the derivative equal to zero to find the maxima and minima of the curve of  $V$  vs.  $x$ .

$$V' = 12x^2 - 8ax + a^2 = a^2 - 8ax + 12x^2 = (a - 6x)(a - 2x)$$

Setting  $V' = 0$  produces values for  $x$  of  $a/6$  and  $a/2$ . These are the maxima or minima. The value  $a/2$  is obviously the minimum since this is a box of zero volume! The value  $a/6$  must be the maximum. The second derivative test will tell for sure. The second derivative of  $V$  is  $V'' = 24x - 8a$ . At  $x = a/2$ ,  $V'' = 12a - 8a = 4a$  (positive or minima), and at  $x = a/6$ ,  $V'' = 4a - 8a = -4a$  (negative or maxima).

Maximum volume occurs when the square piece removed from the edge of the original square is one-sixth the length of the side.

**5-2** A rectangular area is to be enclosed with 320 feet of fence. What dimensions of rectangle give the maximum area?

**Solution:** The quantity to be maximized is the area, the product of the lengths of the two sides of the rectangle. The defining equation, the  $A$  equals... equation, is  $A = ab$ . Before maximizing the area (taking the derivative of  $A$ ), the product  $ab$  must be written in terms of one variable. This requires a "constraint" equation relating  $a$  to  $b$ . The constraint in the problem is that the total length of fence  $2a + 2b$  must equal 320. With this constraint equation  $A$  can be written in terms of  $a$  or  $b$ , it makes no difference.

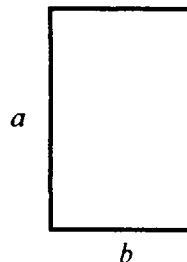


Fig. 5-2

Solve the constraint equation for  $a$ , and substitute in the area equation.

$$2a + 2b = 320 \quad \text{so} \quad a = 160 - b \quad \text{and} \quad A = (160 - b)b = 160b - b^2$$

The maximum occurs when the graph of  $A$  vs.  $b$  goes through a maximum. A maximum is defined, in calculus, as slope zero and second derivative negative.

Solving  $\frac{dA}{db} = 160 - 2b$  and setting this equal to zero we get  $160 - 2b = 0$  and  $b = 80$ .

The second derivative  $\frac{d^2A}{db^2} = -2$  confirming  $b = 80$  as a maximum.

Go back to the constraint equation and note that for  $b = 80$ ,  $a = 80$ . The area is maximum for a square.

Often max-min problems can be done with the first and second derivative. If you feel a little insecure, sketch the graph of the function. All the information, and then some, is already available for sketching the graph.

The original equation  $A = 160b - b^2$  is a parabola that opens down and goes through the points  $b = 0$  and  $b = 160$  with symmetry line at  $b = 80$ . If you had any trouble with that last sentence go back to the graphing of parabolas and review the procedure. The calculus tells us that the slope is zero at  $b = 80$  and that the curve goes through a maximum at that point. This confirms what we already know from algebra analysis. The curve is sketched in Fig 5-3.

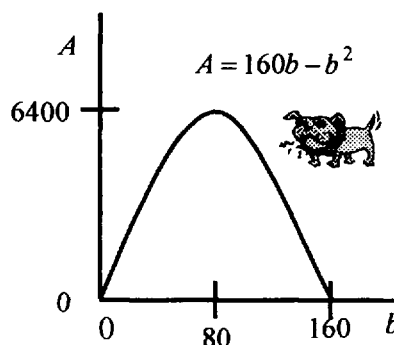


Fig. 5-3

This problem is an excellent pattern for max-min problems. Go through this problem again concentrating on the procedure, not the mathematics, and follow along the guidelines for doing max-min problems.

## Guidelines for Max-Min Problems

1. Draw a diagram to help visualize the problem.
2. Write down the defining equation.
3. Tie the two variables in the defining equation together with a constraint equation.
4. Write the defining equation in terms of one variable.
5. Take the first and second derivatives to find maxima and minima.
6. Go back to the constraint equation and find all the quantities desired in the problem.

**5-3** The strength of a rectangular wooden beam varies jointly as the width and cube of the depth of the beam. Find the dimensions of the strongest beam that can be cut from a log of radius  $R$ .

**Solution:** Sketch the round log and the rectangular beam. Do you remember word problems in algebra that contained phrases like "... varies jointly as ... ?" This problem is included to remind you that some instructors use this language in calculus problems. The first statement in the problem, translated into algebra, is  $S = wd^3$ . This is the defining equation.

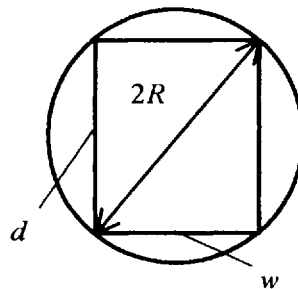


Fig. 5-4

To translate the problem statement completely, there should be a constant in front of the  $w$  but we are not going to calculate specific strengths, just the dimensions for maximum strength so the constant is not necessary. The constraint equation involves writing the Pythagorean statement for the right triangle formed by  $d$ ,  $w$ , and  $2R$  (Fig. 5-4).

The constraint equation,  $d^2 + w^2 = 4R^2$  can be solved for either  $d$  or  $w$  and substituted in the defining equation. Either way does not look too appealing. Solving for  $w$  keeps the numbers smaller so write  $w = (4R^2 - d^2)^{1/2}$  and substitute into the defining equation to write  $S$  in terms of  $d$  only.

$$S = (4R^2 - d^2)^{1/2} d^3$$

Differentiate  $S$  with the product rule

$$S' = (4R^2 - d^2)^{1/2} (3d^2) + d^3 \left( \frac{1}{2} \right) (4R^2 - d^2)^{-1/2} (-2d) = 3d^2 (4R^2 - d^2)^{1/2} - \frac{d^4}{(4R^2 - d^2)^{1/2}}$$

Set  $S'$  equal to zero

$$3d^2 (4R^2 - d^2)^{1/2} = \frac{d^4}{(4R^2 - d^2)^{1/2}} \quad \text{or} \quad d^2 = 3(4R^2 - d^2) \quad \text{or} \quad 4d^2 = 12R^2$$

and  $d = \pm\sqrt{3}R$ . The positive value for  $d$  substituted into the constraint equation produces  $w^2 = 4R^2 - 3R^2 = R^2$  and  $w = R$ .

The maximum strength beam that can be cut from a log of radius  $R$  is one of dimensions  $R$  and  $\sqrt{3}R$ . It is not necessary to formally determine that this is a maximum. It is the only reasonable choice from the first derivative equals zero condition.



**5-4** A park area of 5000 square meters is to be built in the shape of a rectangle along a river. Fencing will be on three sides. What is the minimum length of fencing for the desired area?

**Solution:** Fencing is required only on three sides of the rectangle as shown in Fig. 5-5. The defining equation is for the perimeter, the variable we want to minimize:  $P = 2a + b$ . The constraint equation is from the area requirement. Stated in the form of an equation:  $ab = 5000$ . In order to write  $P$  in terms of one variable, solve the area equation for  $b$  and substitute.

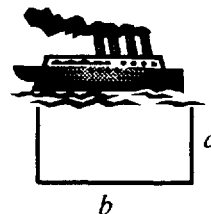


Fig. 5-5

$$b = \frac{5000}{a} \quad \text{so} \quad P = 2a + \frac{5000}{a} = 2a + 5000a^{-1}$$

Take the derivative of  $P$ :  $P' = 2 + 5000(-a^{-2})$  and set  $P' = 0$ :

$$2 = \frac{5000}{a^2} \quad \text{and} \quad a^2 = 2500 \quad \text{or} \quad a = 50.$$

The second derivative of  $P$ :  $P'' = -5000(-2a^{-3}) = \frac{5000}{a^3}$  is positive for all positive values of  $a$ , so  $a = 50$  is a minimum.

Putting  $a = 50$  back into the constraint equation:  $50b = 5000$  yields  $b = 100$ .

The dimensions  $a = 50$ ,  $b = 100$  provide the minimum fencing requirement.

The graph of  $P$  vs.  $a$  is helpful in understanding this problem. The form  $P = 2a + \frac{5000}{a}$  is most convenient for graphing. Only positive  $a$  has meaning. The first

step in graphing (page 53 contains the guidelines for graphing) is to look for dominant terms. There are two here. The  $2a$  term dominates for large  $a$  and the  $5000/a$  term dominates for small  $a$ .

In mathematical terms; as  $a \rightarrow 0$ ,  $P \rightarrow +\infty$ ; and as  $a \rightarrow \infty$ ,  $P \approx 2a$ .

The value of the function at  $a = 50$ , the point where the slope equals zero, is

$$P(50) = 2(50) + 5000/50 = 200.$$

With this information the curve can be sketched as in Fig. 5-6.

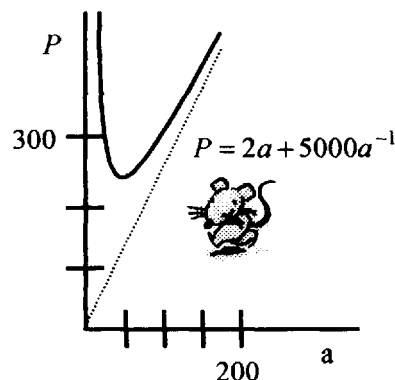


Fig. 5-6

**5-5** An orange farmer knows from experience that in a certain field 60 orange trees will produce an average of 400 oranges per tree. For each additional tree planted the average yield per tree will drop by 4 oranges. What number of trees will produce maximum total yield?

**Solution:** The total yield for 60 trees with an average of 400 oranges per tree is:

$$Y|_{60} = (60 \text{ trees}) \frac{400 \text{ oranges}}{\text{tree}} = 24,000 \text{ oranges}$$

$$\text{For one more tree the yield is: } Y|_{61} = (61 \text{ trees}) \frac{396 \text{ oranges}}{\text{tree}} = 24,156 \text{ oranges}$$

$$\text{For another tree (total 62) the yield is: } Y|_{62} = (62 \text{ trees}) \frac{392 \text{ oranges}}{\text{tree}} = 24,304 \text{ oranges}$$

Looking at these numbers, the general formula for total yield as the number of trees is increased is:

$$Y = (60 + x)(400 - 4x)$$

where  $x$  is the number of trees in excess of 60.



**Insight**

Problem statements similar to this one can be confusing. You may have already figured that out! One way of getting a handle on the defining equation is to put in some numbers. In this case, writing the total yield for 60 trees producing an average of 400 oranges per tree and then increasing the number of trees by 1 and decreasing the yield per tree by 4, then repeating the process (increasing the number of trees to 62 and decreasing the yield per tree another 4 oranges) provides an education in how to write the general statement for the yield. The numbers also allow you to check the defining equation you have written.

Write the yield equation as  $Y = (60 + x)(400 - 4x) = 24,000 + 160x - 4x^2$ .

The first derivative of  $Y$  is  $Y' = 160 - 8x$  and setting  $Y' = 0$ ,  $x = 20$ .

The second derivative of  $Y$  is  $Y'' = -8$  verifying that  $x = 20$  is a maximum.

The total number of trees for maximum yield is 80 (20 more than the original 60).

**5-6** Find the minimum cost to construct a cylindrical container if material for the top and bottom costs 4 cents per square inch and material for the sides costs 3 cents per square inch. The container is to have volume 100 cubic inches.

**Solution:** Draw a cylinder of radius  $r$  and height  $h$ . The area of the top and bottom is  $\pi r^2$ . The area of the side is  $(2\pi r)h$ . Imagine the side as a piece  $2\pi r$  long, the circumference of the container, and  $h$  high.

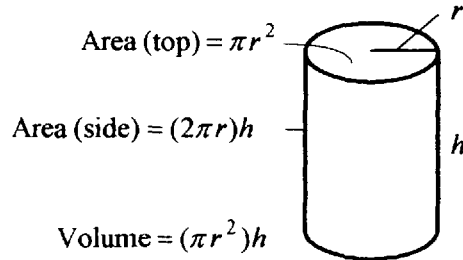


Fig. 5-7

The defining equation is the cost equation which in words is 4 cents times the area of the top and bottom plus 3 cents times the area of the side.

$$C = 4(\pi r^2 + \pi r^2) + 3(2\pi rh) = 8\pi r^2 + 6\pi rh$$

The constraint is that the volume must be 100 cubic inches. The volume of a cylindrical container is the area of the bottom,  $\pi r^2$ , times the height,  $h$ :  $V = \pi r^2 h$ .

Set  $V = 100$ , solve for  $h$ , and substitute into the defining equation:  $100 = \pi r^2 h$  or  $h = 100/\pi r^2$  and

$$C = 8\pi r^2 + 6\pi r \frac{100}{\pi r^2} = 8\pi r^2 + \frac{600}{r} = 8\pi r^2 + 600r^{-1}$$

The first derivative of  $C$  is:  $C' = 16\pi r - 600r^{-2}$  and setting  $C' = 0$  produces

$$16\pi r - \frac{600}{r^2} = 0 \quad \text{or} \quad r^3 = \frac{600}{16\pi} \quad \text{and} \quad r = \sqrt[3]{\frac{600}{16\pi}} \approx 2.3$$

The second derivative of  $C$  is:  $C'' = 16\pi + \frac{1200}{r^3}$

$C''$  is positive for all positive  $r$  indicating a minimum for the curve.

Substituting the  $r$  for zero slope back into the constraint equation  $100 = \pi r^2 h$  produces

$$100 = \pi \left( \frac{600}{16\pi} \right)^{2/3} h \quad \text{or} \quad h = \frac{100}{\pi} \left( \frac{16\pi}{600} \right)^{2/3} \approx 6.1$$

**5-7** Postal rates increase when the girth (once around) plus the length of a package exceeds 84 inches. What are the dimensions of a "bricklike" shaped box with square ends to provide maximum volume?

**Solution:** The defining equation is the volume which in this case is the area of the end,  $x^2$ , times the length,  $y$ :  $V = x^2 y$

The constraint is that the girth,  $4x$  plus the length,  $y$ , is limited to 84:  $4x + y = 84$

The simplest way to write the  $V = \dots$  equation in one variable is to solve the constraint equation for  $y$ :  $y = 84 - 4x$  and substitute for  $y$  in the defining equation.

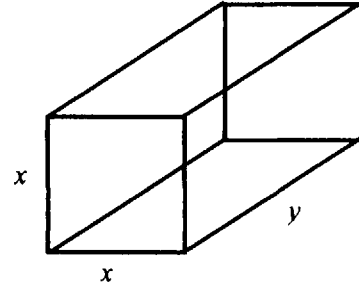
$$V = x^2(84 - 4x) = 84x^2 - 4x^3$$

The first derivative of  $V$  is  $V' = 168x - 12x^2$  and setting  $V' = 0$ ,  $12x(14 - x) = 0$  produces two values of  $x$  where the slope of  $V$  vs.  $x$  is zero:  $x = 0$  and  $x = 14$ . The value  $x = 0$  produces a zero volume, about as minimal as you can get, so  $x = 14$  is a good bet for maximum volume.

The second derivative of  $V$  is  $V'' = 168 - 24x$ . Evaluating  $V''$  at  $x = 14$  is  $V''(14) = 168 - 24(14) = 168 - 336 = -168$  verifying our suspicion that  $x = 14$  produced the maximum volume.

Going back to the constraint equation solved for  $y$ , the corresponding  $y$  dimension is  $y = 84 - 4(14) = 84 - 56 = 28$ .

A box with a square end 14 inches on a side and length 28 produces the maximum volume within the girth and length restrictions.



**Fig. 5-8**

# 6

## RELATED RATE PROBLEMS

Related rate problems relate one rate, written as a derivative, to another rate written as a derivative. An excellent example of a related rate problem, and one that is in nearly every calculus book including this one, is a ladder sliding down a wall. (See Fig. 6-1.) The top of the ladder is moving down the wall while the bottom of the ladder is moving away from the wall. The rate (speed) the top is moving down the wall can be related to the rate (speed) the bottom is moving away from the wall. Thus the name of these problems, related rate problems.

A little review is in order. Related rate problems are similar to problems involving implicit differentiation. Equations in the form  $y = f(x)$  such as  $y = x^2 + 2x - 3$  are differentiated term by term according to the rules for differentiating polynomials, products, quotients, or whatever. Equations where the  $x$ 's and  $y$ 's are mixed together so the equation cannot be written as  $y = f(x)$  or  $x = f(y)$  (an  $x$  alone or a  $y$  alone on one side of the equation) are differentiated implicitly.

For example, the equation  $2xy^2 + xy^3 = 0$  must be differentiated implicitly as  $2y^2 dx + 4xy dy + 3xy^2 dy + y^3 dx = 0$  with  $dy/dx$  formed by grouping and rearranging.

If  $x$  and  $y$  could both change over time then a related rate associated differentiation of this equation would be

$$2y^2 \frac{dx}{dt} + 4xy \frac{dy}{dt} + 3xy^2 \frac{dy}{dt} + y^3 \frac{dx}{dt} = 0$$

In this statement,  $\frac{dx}{dt}$  is directly related to  $\frac{dy}{dt}$ :

$$(2y^2 + y^3) \frac{dx}{dt} = -(4xy + 3xy^2) \frac{dy}{dt} \quad \text{or} \quad \frac{dx}{dt} = -\frac{4xy + 3xy^2}{2y^2 + y^3} \frac{dy}{dt}$$

This is an example of a related rate differentiation. Now take a look at perhaps not the simplest related rate problem, but possibly the simplest to visualize. Notice how this problem is written. The general situation is described, then a rate is specified and the related rate is requested for a certain condition.



**6-1** A 7-meter-long ladder is sliding down a wall. The bottom of the ladder is pulled from the wall at 1.5 m/s. What is the rate at which the top of the ladder is going down when the bottom is 3 m from the wall?

**Solution:** Help to visualize the problem by sketching a ladder leaning against a wall with the bottom being pulled out from the wall at  $dx/dt = 1.5$  m/s (Fig. 6-1).

The question, written in mathematical language, is: "What is  $dy/dt$  when  $x = 3$  m and  $dx/dt = 1.5$  m/s?"

In max-min problems the defining equation is a mathematical statement of the problem. In related rate problems the defining equation is sometimes a little more obscure, actually sometimes a lot more obscure! Look at the ladder in the graphic and think of a way to relate  $x$  to  $y$ . Don't start by trying to write the  $dx/dt$  and  $dy/dt$ . The rates come out of the differentiation.

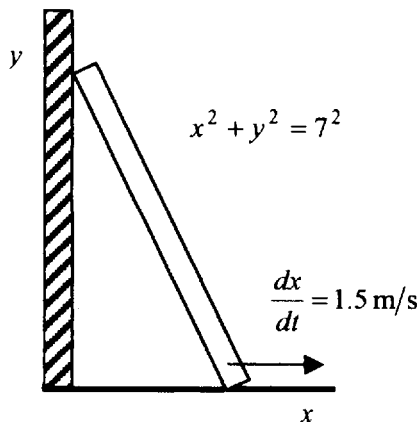


Fig. 6-1

The hard part of the problem is to see, and then write down, a relationship between the variables. Writing the defining equation that ties  $x$  and  $y$  together is the key step in the problem. In this problem the Pythagorean theorem for a right triangle relates  $x$  and  $y$ .

The defining equation is  $x^2 + y^2 = 7^2$  and taking  $\frac{d}{dt}$  we write

$$2x \frac{dx}{dt} + 2y \frac{dy}{dt} = 0 \quad \text{or} \quad \frac{dy}{dt} = -\frac{x}{y} \frac{dx}{dt}$$

Now the numbers can be put in the equation to find  $dy/dt$  when  $dx/dt = 1.5$  m/s and  $x = 3$  m. What about the  $y$  in the denominator? The  $y$  can be determined from the Pythagorean relation  $y = \sqrt{7^2 - 3^2} \approx 6.3$ . With these numbers,  $dy/dt$  is calculated as

$$\frac{dy}{dt} = -\frac{x}{y} \frac{dx}{dt} = -\frac{3}{6.3} (1.5 \text{ m/s}) = -0.71 \text{ m/s}$$

The top of the ladder is coming down the wall at 0.71 m/s when the bottom of the ladder is 3 m away from the wall and moving at 1.5 m/s.

**6-2** A girl is flying a kite. The kite is moving horizontally at a height of 120 ft when 250 ft of string is out and the rate of increase in string length is 2 ft/s. How fast is the kite moving in the  $x$ -direction for these conditions?

**Solution:** Visualize the problem and set up a right triangle with the height, horizontal direction, and string. In this problem the kite only moves horizontally, and the string is straight - idealized - but the conditions make for a problem that can be solved.

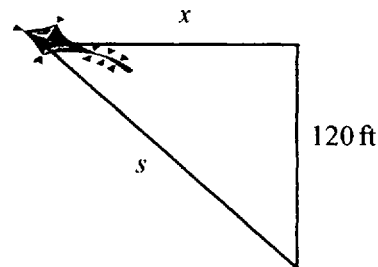


Fig. 6-2

Referring to Fig. 6-2, the problem question can be written in mathematical terms as:

What is  $\frac{dx}{dt}$  when  $\frac{ds}{dt} = 2$ , the height of the kite is 120 ft, and the distance out is 250 ft?

Go back over the problem statement and practice changing the problem statement into this mathematical statement. One of the more challenging parts of any calculus problem is translating the words into mathematical statements.



Insight

The Pythagorean theorem relates the variables  $x$  and  $s$  in the right triangle:

$$120^2 + x^2 = s^2.$$

Take  $\frac{d}{dt}$  to get  $2x \frac{dx}{dt} = 2s \frac{ds}{dt}$  or  $\frac{dx}{dt} = \frac{s}{x} \frac{ds}{dt}$

The  $ds/dt$  rate (2 ft/s) is given in the problem as is the height (120 ft) and the distance out (250 ft). The  $x$  value for these conditions can be calculated from the Pythagorean theorem:

$$x^2 = s^2 - 120^2 \quad \text{or} \quad x = \sqrt{250^2 - 120^2} = 219$$

Now the numbers can be put into the formula for  $dx/dt$ :

$$\frac{dx}{dt} = \frac{s}{x} \frac{ds}{dt} = \frac{250 \text{ ft}}{219 \text{ ft}} (2 \text{ ft/s}) = 2.3 \text{ ft/s}$$

When the kite is 250 ft away from the girl, at a height of 120 ft, and the string is going out at 2 ft/s, the kite is moving 2.3 ft/s horizontally.

These first two problems have utilized the Pythagorean theorem as their defining, or "getting started" equation. Related rate problems use a variety of defining statements to



Remember

tie the variables together. As you go through this chapter be aware of the various techniques for relating the variables. If you see a related rate problem on a test that can be analyzed with the Pythagorean theorem you will know how to do that problem.

This next problem uses the Pythagorean theorem but it has another little twist. The information for the problem is given primarily in terms of rates, and the solution involves three different rates.

**6-3** Two ships are traveling at right angles. The first ship, traveling at 8 m/s, crosses the path of the second ship when it is 1000 m away (from the point where the paths cross) and traveling at 6 m/s. What are their positions, separation, and rate of separation 300 s after their paths cross?

**Solution:** Diagram the problem on an  $x$ - $y$  coordinate system with the first ship going in the  $y$ -direction and the second ship going in the  $x$ -direction. Figure 6-3 is for  $t = 0$ , the time when the ships cross paths. The drawing helps to visualize the problem.

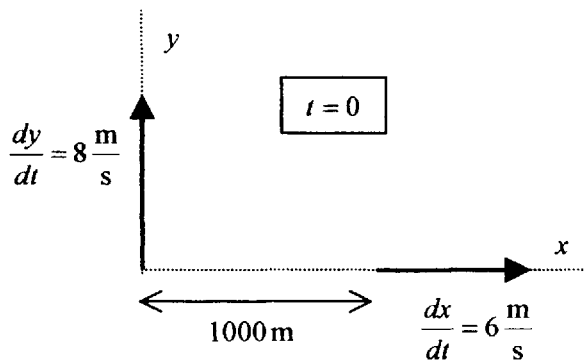


Fig. 6-3

The position of the first ship at any time  $t$  is  $y = (8 \text{ m/s})t$ .

The position of the second ship at any time  $t$  is  $x = 1000 \text{ m} + (6 \text{ m/s})t$ .

The separation of the ships is from the Pythagorean theorem  $s = \sqrt{x^2 + y^2}$ .

The position of the first ship at 300 s is its speed (8 m/s) times the 300 s:

$$y|_{300} = (8 \text{ m/s})(300 \text{ s}) = 2400 \text{ m}$$

The position of the second ship at 300 s is the 1000 m plus the 6 m/s times the 300 s:

$$x|_{300} = 1000 \text{ m} + (6 \text{ m/s})(300 \text{ s}) = (1000 + 1800) \text{ m} = 2800 \text{ m}$$

The separation of the ships is a straight Pythagorean theorem problem.

$$s = \sqrt{2400^2 + 2800^2} = 3688 \text{ m}$$



The rate at which they are separating is the fun, er calculus, part of the problem. The rate at which they are separating is, in calculus talk,  $ds/dt$ , and we already have the  $dx/dt$  and  $dy/dt$ . Start with the separation written in Pythagorean theorem form  $s = (x^2 + y^2)^{1/2}$  and differentiate, carefully.

$$\text{Writing } ds = \frac{1}{2}(x^2 + y^2)^{-1/2} d(x^2 + y^2)$$

as the first step will help to prevent errors with 1/2's and the minus signs.

Continuing,  $ds = \frac{1}{2}(x^2 + y^2)^{-1/2} (2xdx + 2ydy)$  and finally

$$\frac{ds}{dt} = \frac{1}{(x^2 + y^2)^{1/2}} \left( x \frac{dx}{dt} + y \frac{dy}{dt} \right)$$

This rate of separation is to be evaluated at  $t = 300$  s (Fig. 6-4).

$$\left. \frac{ds}{dt} \right|_{300} = \frac{1}{3688\text{m}} [(2800\text{m})(6\text{m/s}) + (2400\text{m})(8\text{m/s})] = 9.8 \frac{\text{m}}{\text{s}}$$

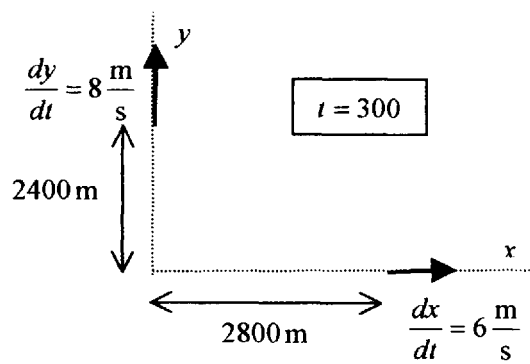


Fig. 6-4

These next two problems utilize similar triangles to write the defining equation for the problem. The first problem, concerning the rate a shadow of something is moving, is in nearly every calculus book. The following problem concerning a conical-shaped container is also in nearly every calculus book in one form or another. If you know how to use similar triangles to "get started" on a problem you will have mastered yet another category of related rate problems.



Remember

**6-4** A 3-ft tall penguin (Penny) is taking a leisurely stroll at 0.5 ft/s away from a 12-ft tall penguin way light. What is the length of her shadow and how fast is the tip of her shadow moving when she is 40 ft away from the light?

**Solution:** When you see a triangle in a related rate problem look for similar triangles. Don't start the problem looking for derivatives. Concentrate on the defining equation for the problem. The derivatives come later.

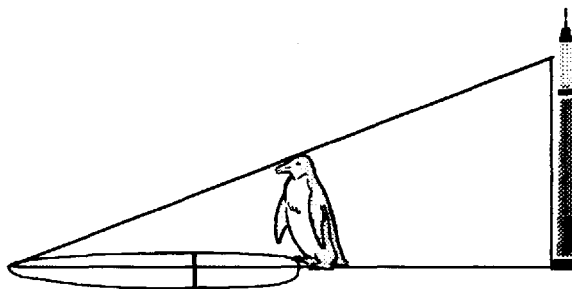


Fig. 6-5

Your first order of business in a related rate problem is to find relationships between the variables. In this problem set up the triangle, complete with known numbers, and then label some of the distances. The change in length of the hypotenuse of this triangle is not what we are looking for. It is lengths along the ground: the length from the light to Penny and the length of her shadow. Take  $x$  as the length from beneath the light to Penny, and  $z$  as the length from beneath the light to the end of her shadow. The length of her shadow is  $z - x$ . Draw this triangle (refer to Figs. 6-5 and 6-6).

Notice that the triangle with sides  $z - x$  and 3 is similar to the triangle with sides  $z$  and 12. Similar triangles are triangles with the same angles and their sides in proportion. This means that the ratios of the sides are equal.

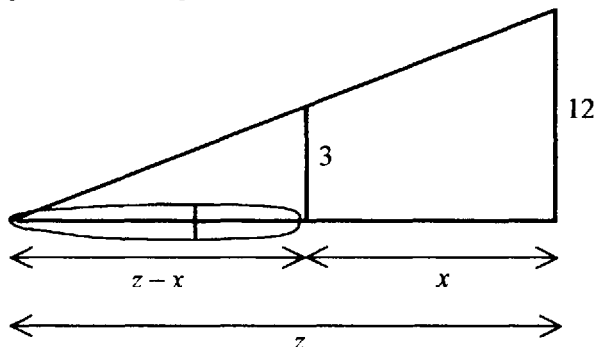


Fig. 6-6

Eliminating the fraction,  $12z - 12x = 3z$  or  $9z = 12x$  or  $3z = 4x$ , produces a simple relationship between  $x$  and  $z$ . The related derivative rates are

$$\frac{dz}{dt} = \frac{4}{3} \frac{dx}{dt}$$

Notice that  $x$  and  $z$  don't enter into the rate relationship. Penny is moving at

$$\frac{dx}{dt} = 0.5 \frac{\text{ft}}{\text{s}} \text{ so the tip of her shadow is moving at } \frac{dz}{dt} = \frac{4}{3} \frac{dx}{dt} = \frac{4}{3} \left( 0.5 \frac{\text{ft}}{\text{s}} \right) = 0.67 \frac{\text{ft}}{\text{s}}.$$

Since Penny is walking away from the light at  $0.5 \text{ ft/s}$  and the tip of her shadow is growing at  $0.67 \text{ ft/s}$  her shadow is getting longer as she moves away from the light.

As an exercise, go to a desk or table with a lamp. Place a pencil near the lamp and observe the length of the shadow. The pencil should be a foot or so from the light and perhaps slightly tilted. Now move the pencil away from the light and observe the shadow. The shadow will grow and the tip of the shadow will move faster than the pencil.

**6-5** A conical container of base radius 5 ft and height 10 ft is being filled with sand at the rate of  $2 \text{ ft}^3/\text{min}$ . How fast is the level of the sand rising when it is 6 ft above the apex of the conical container?

**Solution:** The formula for the volume of a cone is, from the Mathematical Tables in the back of the book,  $V = (1/3)\pi r^2 h$ .

The dimensions defining the cone are given in the problem so calculating the total volume of the container is not a problem.

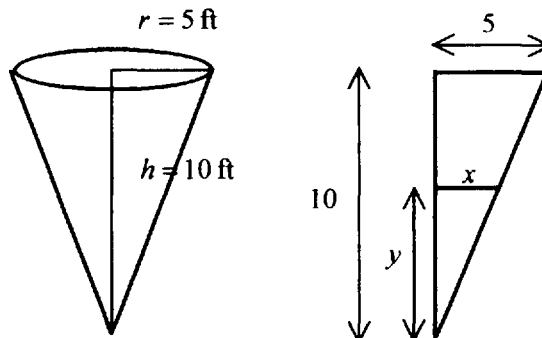


Fig. 6-7

Sketch the cone, and next to the cone sketch the profile of the entire cone and a partially filled cone with radius  $x$  and height  $h$ . This is another similar triangles problem! The radius to height ratio is the same for any radius and depth. In this case the similar triangles are the ones with sides  $x$  and  $y$ , and 5 and 10.

The similar triangle statement is  $\frac{5}{10} = \frac{x}{y}$  or  $x = \frac{y}{2}$ .

The question "How fast is the level of the sand rising . . . ?" means, what is  $\frac{dy}{dt}$ ?

Knowing  $\frac{dV}{dt}$  and requiring  $\frac{dy}{dt}$ , we need to write  $V$  in terms of  $y$  only.

Time derivatives of  $V$  in terms of  $y$  only will produce a relation between  $\frac{dV}{dt}$  and  $\frac{dy}{dt}$ .

Substitute in the  $V$  equation  $V = \frac{1}{3}\pi x^2 y = \frac{1}{3}\pi \left(\frac{y}{2}\right)^2 y = \frac{\pi}{12} y^3$ .

And taking derivatives produces  $\frac{dV}{dt} = \frac{\pi}{4} y^2 \frac{dy}{dt}$  or  $\frac{dy}{dt} = \frac{4}{\pi y^2} \frac{dV}{dt}$ .

Adding numbers for  $y = 6$  ft,  $\frac{dy}{dt} = \frac{4}{\pi y^2} \frac{dV}{dt} = \frac{4}{(36 \text{ ft}^2)\pi} \left( 2 \frac{\text{ft}^3}{\text{min}} \right) = 0.071 \frac{\text{ft}}{\text{min}}$ .

At a depth of 6 ft the sand is rising at 0.071 ft/min.

Another category of related rate problems involves increasing or decreasing area, volume, radius of a sphere, or some other geometric property. These next two problems involve geometry. In general, geometry problems are not overly difficult, usually involving just one equation.

**6-6** A circular oil slick is forming in such a way that the radius of the slick is increasing at a constant rate of 12 ft/hr. What will be the rate of area increase when the slick has radius 300 ft?

**Solution:** The area is related to the radius by  $A = \pi r^2$  (see the Mathematical Tables). The rate of  $A$  and the rate of  $r$  are directly available from this one equation.

$$\frac{dA}{dt} = 2\pi r \frac{dr}{dt}$$

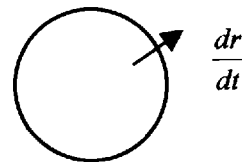


Fig. 6-8

Using the numbers given in the problem

$$\left. \frac{dA}{dt} \right|_{300} = 2\pi r \frac{dr}{dt} = 2\pi(300 \text{ ft}) \left( 12 \frac{\text{ft}}{\text{hr}} \right) = 22,600 \frac{\text{ft}^2}{\text{hr}}$$

The area of the oil slick is increasing at 22,600 ft<sup>2</sup>/hr when the radius is 300 ft.

**6-7** An obstruction in an artery is to be removed by inflating a spherical balloon in the artery. The rate of increase of the radius of the balloon must be limited to 1 mm/min when the radius is 4 mm. What is the maximum volume rate increase, the rate at which oxygen is pumped into the balloon, corresponding to this radius rate increase?

**Solution:** The volume of a sphere is  $V = (4/3)\pi r^3$  (see the Mathematical Tables).

Again, the rate relations are immediately available from this equation for the volume of a sphere.

$$\frac{dV}{dt} = 4\pi r^2 \frac{dr}{dt}$$

Evaluating at  $r = 4$  mm and  $\frac{dr}{dt} = 1 \frac{\text{mm}}{\text{min}}$

$$\left. \frac{dV}{dt} \right|_4 = 4\pi(4 \text{ mm})^2 \left( 1 \frac{\text{mm}}{\text{min}} \right) = 201 \frac{\text{mm}^3}{\text{min}}$$

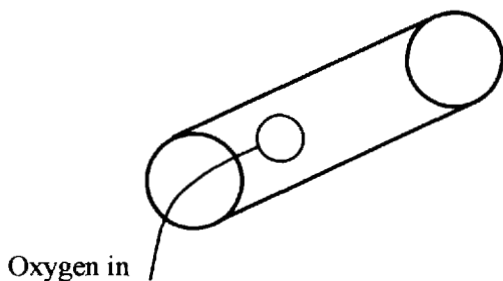


Fig. 6-9

The maximum rate that the balloon can be filled at the 4 mm radius is  $201 \text{ mm}^3/\text{min}$ .

These last two problems are illustrative of problems where the formulas are given to you. In most of these types of problems, differentiating the formula is the challenge.

**6-8** When the price of a certain product is  $p$  dollars per unit, customer demand is  $x$  hundreds of units (per month). The relation between  $p$  and  $x$  is  $x^2 + 2px + 0.5p^2 = 80$ . When the price is \$4.00 and dropping at the rate of \$0.25 per month, what is the rate of increase in demand?

**Solution:** This equation requires an implicit type of differentiation to find  $dp/dt$ , the rate of price change, and  $dx/dt$ , the rate of demand change.

$$2x \frac{dx}{dt} + 2p \frac{dx}{dt} + 2x \frac{dp}{dt} + p \frac{dp}{dt} = 0 \quad \text{or} \quad (2x + 2p) \frac{dx}{dt} + (2x + p) \frac{dp}{dt} = 0 \quad \text{or}$$

$$\frac{dx}{dt} = - \frac{2x + p}{2x + 2p} \frac{dp}{dt}$$

The rate of price change,  $dp/dt$ , is given in the problem as is  $p$ , the price. The demand rate,  $x$ , is not given and must be computed from the original equation. Substituting for  $p = 4$  ( $p = \$4.00$ ) in  $x^2 + 2px + 0.5p^2 = 80$  yields  $x^2 + 8x + 8 = 80$  or  $x^2 + 8x - 72 = 0$ . The quadratic formula produces two answers. The positive 5.4 is the realistic one.

$$x = \frac{-8 \pm \sqrt{64 - 4(1)(-72)}}{2(1)} = \frac{-8 \pm 18.8}{2} = 5.4, -14.4$$

With all the needed values  $dx/dt$  can be evaluated. Watch the signs closely.

$$\left. \frac{dx}{dt} \right|_4 = -\frac{2(5.4) + 4}{2(5.4) + 8}(-0.25) = \frac{14.8}{18.8}(0.25) = 0.20$$

The demand rate is increasing by 0.20 hundreds of units per month when the price is \$4.00 and dropping by \$0.25 per month. Carrying the units through this problem is difficult because the constants in the original equation must have the appropriate units to make each term in the equation have the same units.

**6-9** The amount of trash, measured in thousands of pounds, accumulating in a city dump follows the formula  $T = 1.3p^2 - 100p + 30$ , where  $p$  is the population in hundreds of thousands. What is the rate of trash increase when the population is 200 thousand and increasing by 0.2 thousand (0.1%) per month?

**Solution:** Relating the rate of trash increase,  $dT/dt$ , to the population increase,  $dp/dt$ , comes directly from implicit type differentiation of the expression for the amount of trash.

$$\frac{dT}{dt} = 2.6p \frac{dp}{dt} - 100 \frac{dp}{dt} = (2.6p - 100) \frac{dp}{dt}$$

The population and the rate of increase in population are given in the problem so we have

$$\left. \frac{dT}{dt} \right|_{200} = [(2.6)(200) - 100][0.2 \text{ thousand per month}] = 84 \text{ thousand of pounds per month}.$$

This is also an interesting max-min problem.

Take  $\frac{dT}{dp} = (2.6p - 100)$  and set equal to zero to find  $p = 38$ .

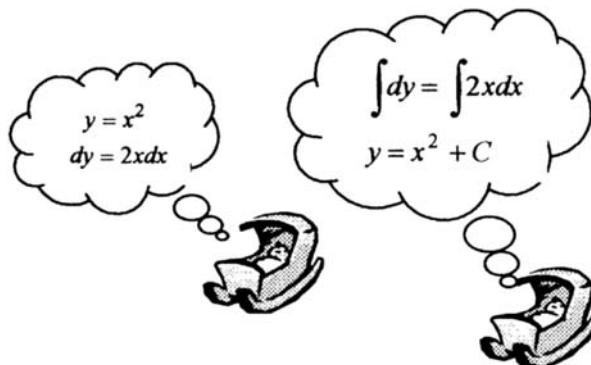
The second derivative  $\frac{d^2T}{dp^2} = 2.6$  so the point  $p = 38$  is a minimum.

The city dump can accommodate the trash from 38 thousand people, but at 200 thousand the curve becomes progressively more positive and the trash problem progressively worse.

# 7

## INTEGRATION

There are many calculus problems where the derivative of a function is known and the function is desired. For example, if a mathematical expression for the rate of population growth  $dP/dt$  is known, is it possible to "work backwards" to find the expression for  $P$ , how the population varies over time?



The process of starting with a derivative and working back to the function is quite naturally called the antiderivative. The antiderivative of a function is an easy concept but often is operationally difficult. There are many integration problems where finding the antiderivative will prove a major challenge.

In some problems the integral can be viewed as the area under the curve of the function being integrated. This is often very helpful in getting a physical "feel" for the problem and the process of integration. This view of the integral will be discussed later in the chapter.

Some problems in integration require a great deal of imaginative thinking and manipulative ability. The simplest first approach to integration is via the antiderivative. After that we will move on to using the area under the curve approach and finally to the more difficult integral problems.

### The Antiderivative

Start with a simple function,  $y = x^2$ . The derivative of that function is written as

$dy = 2x dx$  and finally as  $dy/dx = 2x$ .

Keeping this short review of differentiation in mind, suppose we encountered a derivative

$$\frac{du}{dv} = 2v$$

and want to know how  $u$  varies with  $v$ . Keep the differential (of  $y = x^2$ ) in front of you and just work backwards

$$\frac{du}{dv} = 2v \text{ can be written as } du = 2v dv$$

Now all we need to do is perform the inverse or "anti" derivative operation to find  $u$  in terms of  $v$ . This being mathematics, no operation can be performed without a symbol. For integration we use this elongated "s" shape, so write

$$\int du = \int 2v dv$$

The left side of this equation is the integral of the differential, two inverse operations. The  $d$  acting on  $u$  is the derivative while the  $\int$  acting on  $du$  is the antiderivative. The result of these inverse operations on  $u$  is that the left side of this equation is  $u$ . The operation is somewhat like squaring a square root. The right side is not so easy except that we have the differential example just above us. The differential of  $x^2$  is  $2x dx$ , so the integral of  $2v dv$  is  $v^2$ . The function described by the differential statement  $du = 2v dv$  is therefore  $u = v^2$ .

Conceptually the antiderivative is not difficult. Actually finding the antiderivative of a complicated function is often not at all easy. Polynomials are the easiest to work with and that is where we will start.

**7-1** Find  $\int y^3 dy$ .

**Solution:** We seek a function that differentiates to  $y^3 dy$ .

The differential of  $y^4$  is  $4y^3 dy$  which is very close to what we want.

The differential of  $\frac{y^4}{4}$  is  $y^3 dy$  so the  $\int y^3 dy$  is  $\frac{y^4}{4}$ .

Check the answer by differentiating it. The differential of  $\int y^3 dy$  is  $y^3 dy$  (inverse operations), and the differential of  $y^4/4$  is  $y^3 dy$ .



Doing a few integrals of polynomials leads to a general formula for integrating polynomials.

$$\int x^n dx = \frac{x^{n+1}}{n+1}$$



This formula is valid for all  $n$ , including fractions and negative exponents, except  $n = -1$ . That special case will be taken up later and in more detail in Chapter 8, Exponents and Logarithms. With this general formula for integrating polynomials take the integrals of some other differentials.

**7-2** Find the function  $x$  in terms of  $t$ , starting with the differential statement

$$\frac{dx}{dt} = \frac{1}{3}t^3 + 5t^2 + 4$$

**Solution:** First rewrite the problem as  $dx = \left( \frac{1}{3}t^3 + 5t^2 + 4 \right) dt$ .

The integral of  $dx$  is  $x$  so write  $x = \int \left( \frac{1}{3}t^3 + 5t^2 + 4 \right) dt$ .

Most formal integral problems are presented in this form. Now perform the integration term by term, the same way the differential was formed to produce this integral:

$$x = \frac{1}{3} \left( \frac{t^4}{4} \right) + 5 \left( \frac{t^3}{3} \right) + 4t = \frac{t^4}{12} + \frac{5t^3}{3} + 4t$$

Don't forget that the integral of a constant times  $dt$  is the constant times  $t$ .

The antiderivative as described so far is not the complete story of antiderivatives, as is illustrated in the next problem. Take a look at a simple function,  $y = x^2 + 2x + 7$ . The derivative is  $dy/dx = 2x + 2$ . Now take the antiderivative of  $2x + 2$ .

$$dy = (2x + 2)dx \text{ and } y = \int (2x + 2)dx = 2 \frac{x^2}{2} + 2x = x^2 + 2x$$

Where did the 7 in the original function go? Differentiating the function produced a zero for the 7. Integrating the  $2x + 2$  with the antiderivative approach produced the  $x^2 + 2x$  terms but not the 7. Given an integral problem as

$$y = \int (2x + 2) dx$$

the integral of  $2x dx$  is  $x^2$  and the integral of  $2 dx$  is  $2x$  but it is impossible to determine if there is a constant in the expression for  $y$ .



Integrals obtained by taking the antiderivative must be written with an arbitrary constant. The constant can be determined if other details are specified in the problem. Integrals requiring a constant (of integration) are called indefinite integrals. There is a way around this problem but for the time being just remember to include the constant and evaluate it if possible from the information in the problem.

The correct solution to this integral is:  $y = \int (2x + 2) dx = x^2 + 2x + C$

**7-3** Evaluate  $y = \int (x^2 + 2x^{-2} + 3) dx$ .

**Solution:** Follow the formula for integrating polynomials as stated earlier in this chapter or from the Mathematical Tables at the end of the book.

$$y = \frac{x^3}{3} + \frac{2x^{-1}}{-1} + 3x + C = \frac{x^3}{3} - 2x^{-1} + 3x + C$$


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**7-4** The population of a certain region is growing with time according to  $11 + 0.2\sqrt{t}$ . Population is measured in thousands and time in years. The current population is 30 (thousand). What is the expression for  $P$  as a function of  $t$ ?

**Solution:** The words "population growing with time" translated into calculus means

$$11 + 0.2\sqrt{t} = \frac{dP}{dt}$$

Writing this as an integral problem, we have  $\int dP = \int (11 + 0.2t^{1/2}) dt$  and

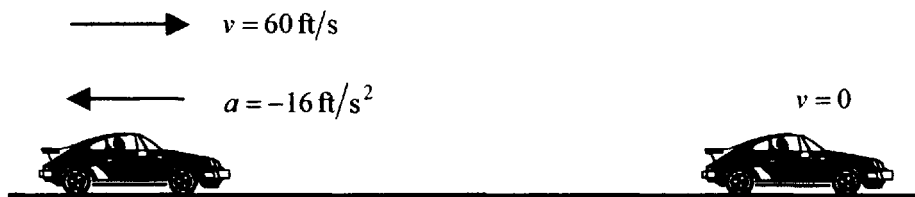
$$P = 11t + \frac{0.2t^{3/2}}{3/2} + C = 11t + \frac{0.4}{3}t^{3/2} + C = 11t + 0.13t^{3/2} + C$$

The words "current population . . . 30" mean that at  $t = 0$ ,  $P = 30$ . Put these numbers into the general expression for  $P$  to determine  $C$ . (If a variable such as  $P$  is given a value when  $t = 0$  it is sometimes referred to as "the initial condition.")

$30 = 11(0) + 0.13(0)^{3/2} + C$  makes  $C = 30$  so the specific relation is

$$P = 11t + 0.13t^{3/2} + 30$$

**7-5** A certain car decelerates under braking at a rate of  $16 \text{ ft/s}^2$ . If the car is traveling at a speed of  $60 \text{ ft/s}$  (approximately 40 miles per hour) when the brakes are applied, how far does it take the car to stop?



**Solution:** A little review is in order. Position speed and acceleration were discussed in Chapter 3, Derivatives. You may want to review problems 3-6 and 3-7 dealing with speed and acceleration. Stated in calculus terminology, speed,  $v = ds/dt$ , is change in position with time, and acceleration,  $a = dv/dt$ , is change in speed with time. Keep in mind that  $a$  is measured in  $\text{ft/s}^2$ ,  $v$ , in  $\text{ft/s}$ , and  $s$ , in  $\text{ft}$ .

In this problem start with the acceleration, which is a negative number, so the first

statement of the problem is  $\frac{dv}{dt} = -16$ . The integral to find  $v$  is

$$v = -\int 16 dt = -16t + C_1$$

When the brakes are applied ( $t = 0$ ),  $v = 60 \text{ ft/s}$  so  $60 = -16(0) + C_1$  and  $C_1 = 60$  so

$$v = \frac{ds}{dt} = -16t + 60$$

We are looking for the distance, not the velocity, so one more integral is in order.

$$s = \int (-16t + 60) dt = -\frac{16}{2}t^2 + 60t + C_2 = -8t^2 + 60t + C_2$$

The stopping distance  $s$  is measured from where, and when, the brakes are applied so at  $t = 0$ ,  $s = 0$ . This fact allows evaluation of  $C_2$ .

$$0 = -8(0)^2 + 60(0) + C_2 \quad \text{so} \quad C_2 = 0 \quad \text{and}$$

$$s = -8t^2 + 60t$$

To recap what we have done so far, we started with the acceleration,  $a = -16$ , integrated to get the speed,  $v = -16t + 60$ , and integrated again to get  $s = -8t^2 + 60t$ . All this work and we still don't have the stopping distance!

A little more logic provides the final answer. The stopping distance  $s$  could be evaluated if we knew the braking time. But the time can be determined from the speed statement. When the braking has gone on long enough, the car stops (setting  $v = 0$  in  $v = -16t + 60$  produces the time to stop).

$$0 = -16t + 60 \quad \text{or} \quad t = 60/16 = 3.8$$

The stopping distance, using this time, is

$$s = -8t^2 + 60t = -8(3.8)^2 + 60(3.8) = -116 + 228 = 112$$


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The problems so far have been in the form  $y$  equals the integral of some polynomial in  $x$  times  $dx$ . The next problem illustrates a type of problem where the derivative depends on both variables.

**7-6** The rate of change of a certain variable  $x$  with  $y$  is proportional to the square root of the product of  $x$  and  $y$ . Find  $y$  as a function of  $x$ .

**Solution:** This is a problem that needs to be translated from words to mathematics. The phrase "the rate of change of . . .  $x$  with  $y$ " means derivative; the phrase "the square root of the product" is explicit. Form the product and take the square root. Read the sentence carefully, several times if necessary, and write

$$\frac{dx}{dy} = \sqrt{xy}$$

With the problem written down, another difficulty appears. This is not a simple  $dy$  equals a polynomial times  $dx$  problem. Separating the variables is going to take a little more work. Notice that with a little manipulation the statement can be written as

$$\frac{dx}{\sqrt{x}} = \sqrt{y} dy$$



Remember

This process is called separating the variables.

While this problem is a little different from the previous problems neither integral is difficult.

$$x^{-1/2} dx = y^{1/2} dy \quad \text{or} \quad \int x^{-1/2} dx = \int y^{1/2} dy$$

Performing the integration,  $\frac{x^{1/2}}{1/2} = \frac{y^{3/2}}{3/2} + C$  and with a little algebra  $x = \dots$  or  $y = \dots$

can be written as  $x^{1/2} = \frac{y^{3/2}}{3} + C_1$  or  $x = \left[ \frac{y^{3/2}}{3} + C_1 \right]^2$  or

$$y^{3/2} = 3x^{1/2} + C_2 \quad \text{or} \quad y = \left[ 3x^{1/2} + C_2 \right]^{2/3}$$

Notice that instead of writing  $C/2$ , a new constant  $C_1$  was introduced. If at the end of the problem the constant is evaluated it does not matter whether the constant is 2 times the original or any other multiple, root, or whatever of the original. Also notice that  $C_2$  is a new constant.

**7-7** Due to an unusually favorable habitat the deer population in a certain area is growing at an average rate of  $0.08t + 5$  thousand per month. Find the formula for population. The present population is 200,000. If the rate continues, what will be the population in 6 months?



**Solution:** The rate stated in the problem is  $dP/dt$  so

$$\frac{dP}{dt} = 0.08t + 5 \quad \text{or} \quad dP = (0.08t + 5)dt$$

and integrating

$$P = \int (0.08t + 5)dt = 0.08 \frac{t^2}{2} + 5t + C = 0.04t^2 + 5t + C$$

Use  $t = 0$  and  $P = 200$  to evaluate  $C$  (the original equation was in thousands):

$$200 = 0.04(0)^2 + 5(0) + C \quad \text{so} \quad C = 200$$

and the population formula for this region is

$$P(t) = 0.04t^2 + 5t + 200$$

In 6 months,  $t = 6$ , the population will be

$$P(6) = 0.04(6)^2 + 5(6) + 200 = 1.44 + 30 + 200 = 231.44 \text{ thousand}$$

There was one exception to the formula for integrating polynomials and that was the polynomial  $1/x$  or  $x^{-1}$ . This function will be discussed in more detail in Chapter 8, Exponents and Logarithms. However, the integral of  $x^{-1}$  is  $\ln|x|$ . Note that this logarithm is the natural, or base  $e$ , logarithm and that the absolute value is required. There are no logarithms of negative numbers - try taking the  $\ln$  of a negative number on your calculator. The formal definition of this integral is

$$\int \frac{1}{x} dx = \ln|x| + C$$



Now apply this rule to some integral problems.

**7-8** Find  $\int \frac{x+1}{x} dx$ .

**Solution:** This is one of those innocent looking little problems that will drive you crazy if you don't see the little "trick." The fraction  $(x+1)/x$  is the problem, but fractions often come from, or at least they can be written as, other fractions.

$$\frac{x+1}{x} = \frac{x}{x} + \frac{1}{x} = 1 + \frac{1}{x}$$

If you see to write the fraction this way, the problem is easy. If you don't see to write the fraction this way, you probably can't do the problem. Armed with this little algebra "trick," the integral is

$$\int \frac{x+1}{x} dx = \int \left( 1 + \frac{1}{x} \right) dx = \int dx + \int \frac{1}{x} dx = x + \ln|x| + C$$

**7-9** Find  $\int \frac{3x^3 + 2x^2 + x}{x^3} dx$ .

**Solution:** With all the experience from the previous problem the fraction can be written as three fractions:

$$\frac{3x^3 + 2x^2 + x}{x^3} = \frac{3x^3}{x^3} + \frac{2x^2}{x^3} + \frac{x}{x^3} = 3 + \frac{2}{x} + \frac{1}{x^2}$$

The integral now is three reasonable integrals.

$$\int \frac{3x^3 + 2x^2 + x}{x^3} dx = \int \left( 3 + \frac{2}{x} + x^{-2} \right) dx = 3x + 2 \int \frac{dx}{x} + \int x^{-2} dx = 3x + 2 \ln|x| - x^{-1} + C$$

**7-10** The rate at which algae are growing in a certain pond is proportional to the amount of algae according to  $dA/dt = 0.02A$ , where  $A$  is measured in pounds and  $t$  in days. At present there is 300 pounds of algae in the pond. Find the time for the amount of algae to double.



**Solution:** The rate statement is  $\frac{dA}{dt} = 0.02A$ .

The integral of this statement is accomplished after separating the variables

$$\frac{dA}{A} = 0.02dt \quad \text{or} \quad \int \frac{dA}{A} = \int 0.02dt \quad \text{and the integration is} \quad \ln|A| = 0.02t + C$$

At  $t = 0$ , there is 300 pounds of algae in the pond so

$$\ln 300 = 0.02(0) + C \quad \text{which makes} \quad C = \ln 300.$$

Don't worry about finding a number for  $\ln 300$ ,  $\ln 300$  is a perfectly good constant.

The statement connecting  $A$  to  $t$  is

$$\ln A - \ln 300 = 0.02t$$

The doubling time is when  $A = 600$ , double the original amount.

$$\ln 600 - \ln 300 = 0.02t \quad \text{or} \quad 6.39 - 5.70 = 0.69 = 0.02t \quad \text{and} \quad t = 34.5 \text{ days}$$

(Remember also that  $\ln 600 - \ln 300 = \ln(600/300) = \ln 2 = 0.69$ .)

There is one other integral formula to add to our growing collection and that is the formula for the integration of the exponential function. It is fairly simple.



$$\int e^x dx = e^x + C$$

This formula becomes particularly useful as the exponent becomes more complicated.

**7-11** Find  $u = \int e^v dv$  when  $u = 2$  and  $v = 0$ .

**Solution:** The integral is  $u = e^v + C$ . Set  $u = 2$  and  $v = 0$  to find  $C$ . Remember: anything raised to the zero power is 1.

$$2 = e^0 + C \text{ or } 2 = 1 + C \text{ so } C = 1 \text{ and}$$

$$u = e^v + 1$$


---

This is a good place to stop and take another look at this process called integration. The antiderivative and formula approach work well on many problems. The next approach, the area under the curve, has some distinct advantages in certain problems. After going through the area under the curve view of integration you will be able to switch back and forth choosing which view is most convenient for a particular problem.

## Area Under the Curve

Integrals are often introduced as a means of measuring the area under a curve. In certain problems the area under a curve has physical meaning and is very helpful in understanding the problem. Rather than doing a formal derivation relating the integral to the area under a curve we will show how the area is consistent with the antiderivative approach. And as usual we will do this in the context of solving problems.

**7-12** Find the area under the curve  $y = 4$ , between the lines  $x = 0$  and  $x = 5$ .

**Solution:** Graph the function. It is a straight line at  $y = 4$ , parallel to the  $x$ -axis. To find the area, integrate  $4dx$  between the lines  $x = 0$  and  $x = 5$ .

This area integral is written as

$$A = \int_0^5 4dx$$



The 0 and 5 mean, evaluate the integral at 5 and then subtract the value for 0. The operations are

$$A = \int_0^5 4 dx = 4x \Big|_0^5 = 4(5) - 4(0) = 20$$

The rectangular area shown as shaded in Fig. 7-1 has dimensions 4 by 5 and area 20, the value obtained with this integration. Integrals written with "limits" on the integral sign are called definite integrals. Since these limits clearly define the extent of the area represented the integral does not need an arbitrary constant.

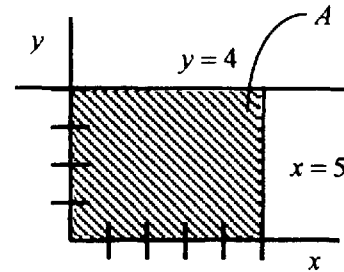


Fig. 7-1



**7-13** Find the area under the curve  $y = x$  between  $x = 0$  and  $x = 4$ .

**Solution:** Graph the curve as shown in Fig. 7-2. The area is

$$A = \int_0^4 x dx = \frac{x^2}{2} \Big|_0^4 = 8 - 0 = 8$$

This curve  $y = x$  forms a triangle with the  $x$ -axis and the line  $x = 4$ . The area of this triangle is one-half the base times the height  $(1/2)4 \cdot 4 = 8$ , the same value as obtained through integration.

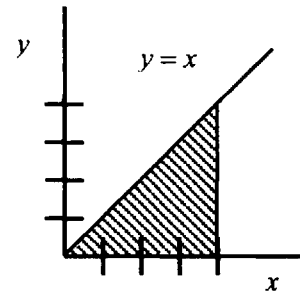


Fig. 7-2

**7-14** Find the area under the curve  $y = x^2$  between  $x = 0$  and  $x = 2$ .

**Solution:** Graph the curve as shown in Fig. 7-3. The area is

$$A = \int_0^2 x^2 dx = \frac{x^3}{3} \Big|_0^2 = \frac{2^3}{3} - 0 = \frac{8}{3} \approx 2.7$$

The area under this curve is less than the area within a triangle formed by connecting the points (0,0), (2,4), and (0,2). Such a triangle has area  $(1/2)2 \cdot 4 = 4$ , and as expected is more than the area computed with the integral (2.7).

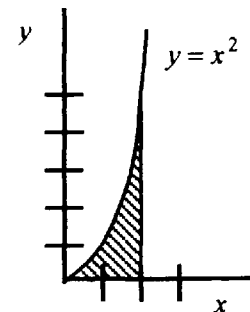


Fig. 7-3

The curve  $y = x^2$  goes through the points (1,1) and (2,4) so approximate the area under this curve with a triangle and trapezoid as shown in Fig. 7-4. The area of the triangle is  $(1/2)1 \cdot 1 = 1/2$ . The area of a trapezoid is  $(1/2)(\text{sum of the opposite faces})(\text{height})$  which in this case is  $(1/2)(1 + 4)(1) = 2.5$ . The sum of these areas is 3, even closer to the area of 2.7 obtained through the integral.

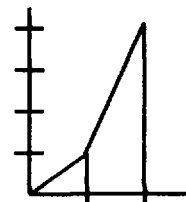


Fig. 7-4

If this process were continued with narrower and narrower trapezoids the area would approach the 2.7 obtained through the integral.

These three problems all point toward an interpretation of the integral of a function as the area under the graph of that function over the prescribed limits. The successive approximations of narrower and narrower trapezoids, or rectangles, leading to the area under the curve is the classic definition of the integral.

Use the curve  $y = x^2$  shown in Fig. 7-5 as an example, though any curve would work as well, and look to approximating the area not with trapezoids, but with a collection of narrow rectangles. The rectangles can be constructed in a variety of ways. It really doesn't make any difference how they are constructed because we are going to take the limit by making their width go to zero. The ones shown here are an average height. Look at the  $x_n$ 'th rectangle of width  $\Delta x$  that has height  $x_n^2$ .

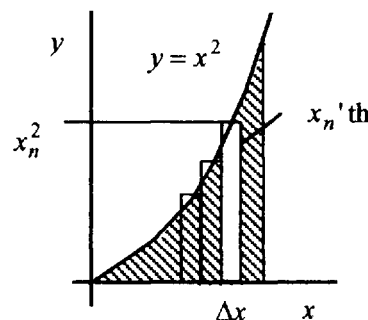


Fig. 7-5

The area under this curve can be written as a sum of similar rectangles. With this view, the area under the curve is

$$A \approx \sum_n (x_n^2) \Delta x$$

with the area getting closer and closer to the actual area as the width of the rectangles decreases and their number increases.

Using a limit approach, and the knowledge that the integral over a specified range in  $x$  is the area under the curve,  $A$  is the limit of the sum as  $\Delta x$  goes to zero.

$$A = \lim_{\Delta x \rightarrow 0} \sum_n (x_n^2) \Delta x = \int_0^x x^2 dx$$



The integral is viewed as the area generated by summing an infinite number of rectangles of infinitely small width.

**7-15** Find the area under the curve  $y = x^3 - 1$  from  $x = 1$  to  $x = 3$ .

**Solution:** Graph the function as shown in Fig. 7-6. This is a cubic, it rises steeply, and it crosses the  $y$ -axis at  $-1$ . The rectangle shown in Fig. 7-6 represents one of the rectangles that is being summed in the integration process. The shaded area is

$$A = \int_1^3 (x^3 - 1) dx = \left[ \frac{x^4}{4} - x \right]_1^3$$

$$A = \left( \frac{81}{4} - \frac{12}{4} \right) - \left( \frac{1}{4} - \frac{4}{4} \right) = \frac{69}{4} + \frac{3}{4} = \frac{72}{4} = 18$$

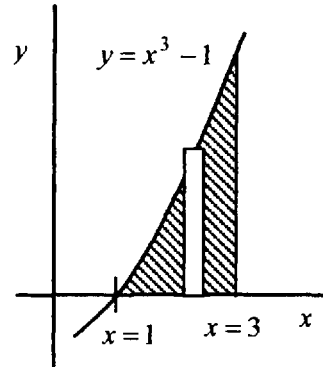


Fig. 7-6

The next several problems will explore some of the unique uses of integral calculus for finding areas.

**7-16** Find the area bounded by  $y = 2 - (1/2)x^2$  and the  $x$ -axis.

**Solution:** First graph the function as shown in Fig. 7-7. All that work you did learning how to graph is beginning to pay off. Knowing that this function is a parabola that opens down and crosses the  $x$ -axis at  $y = 2$  allows you to concentrate on the calculus part of the problem. If you have any difficulty graphing this curve, go back and review graphing parabolas in Chapter 1, Mathematical Background.

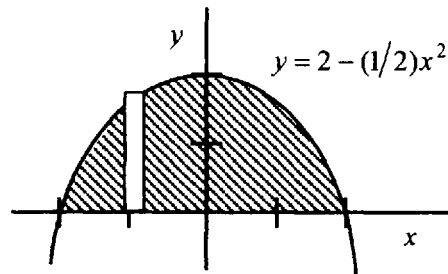


Fig. 7-7

The limits on the integral have to be from where the curve crosses the  $x$ -axis on the negative side to where it crosses on the positive side. To find these points set  $y = 0$  and solve for  $x$ .

$$0 = 2 - (1/2)x^2 \quad \text{or} \quad 2 = (1/2)x^2 \quad \text{or} \quad 4 = x^2 \quad \text{or} \quad x = \pm 2$$

The shaded area is

$$A = \int_{-2}^2 [2 - (1/2)x^2] dx = \left[ 2x - \frac{x^3}{6} \right]_{-2}^2 = \left[ 2(2) - \frac{2^3}{6} \right] - \left[ 2(-2) - \frac{(-2)^3}{6} \right]$$

$$A = \left[ 4 - \frac{4}{3} \right] - \left[ -4 - \frac{-8}{6} \right] = \left[ \frac{12}{3} - \frac{4}{3} \right] - \left[ -\frac{12}{3} + \frac{4}{3} \right] = \left[ \frac{8}{3} \right] - \left[ -\frac{8}{3} \right] = \frac{16}{3}$$



**Second Solution:** There is a little faster, a little easier, and a little less susceptible to error way of doing this problem. Remember the symmetry that was so helpful in graphing parabolas? Not only is there a symmetry in the graph of the curve between 0 and 2 and 0 and -2, but the area under the curve from 0 to 2 is the same as the area under the curve from 0 to -2. Therefore, the entire area between this curve and the  $x$ -axis is twice the area between  $x = 0$  and  $x = 2$ . Notice how much easier the numbers manipulate in this solution.

$$A = 2 \int_0^2 [2 - (1/2)x^2] dx = 2 \left[ 2x - \frac{x^3}{6} \right]_0^2 = 2 \left\{ \left[ 2(2) - \frac{2^3}{6} \right] - [0] \right\} = 2 \left\{ 4 - \frac{4}{3} \right\} = 2 \left\{ \frac{12}{3} - \frac{4}{3} \right\} = \frac{16}{3}$$

In doing area problems look for symmetry that will make the problem easier and cut down on the amount of numbers you have to manipulate.

### 7-17 Find the area between the coordinate axes and the curve $y = \sqrt{x} - 2$ .

**Solution:** This has got to be an odd looking curve. Start by looking at where the curve crosses the axes. At  $x = 0$ ,  $y = -2$  and at  $y = 0$ ,  $x = 4$ . One other point,  $x = 1$ ,  $y = -1$ , is sufficient, along with the points where the curve crosses the axes, to sketch in the curve as shown in Fig. 7-8.

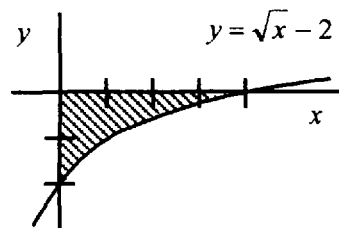


Fig. 7-8

The shaded area is the only area between the curve and the axes. The area is

$$A = \int_0^4 (x^{1/2} - 2) dx = \left[ \frac{x^{3/2}}{3/2} - 2x \right]_0^4 = \left[ \frac{2(4)^{3/2}}{3} - 2(4) \right] - [0] = \frac{16}{3} - 8 = \frac{16}{3} - \frac{24}{3} = -\frac{8}{3}$$

How can the area come out negative? Are areas below the axis negative? Just to be sure, change the limits on the integral to 4 and 5 and see if that area comes out positive, as we would expect from the graph.

$$A = \int_4^5 (x^{1/2} - 2) dx = \left[ \frac{x^{3/2}}{3/2} - 2x \right]_4^5 = \left[ \frac{2(5)^{3/2}}{3} - 2(5) \right] - \left[ \frac{2(4)^{3/2}}{3} - 2(4) \right]$$

$$A = \left[ \frac{2(5^{3/2} - 4^{3/2})}{3} \right] - 10 + 8 = \left[ \frac{2(11.2 - 8)}{3} \right] - 2 = 2.1 - 2 = 0.1$$

This area comes out positive and very small, about as expected considering the curve.

The previous problem illustrates an important point. Be careful when finding an area below the axis. You can end up with a negative number for the area. The next problem is a typical test problem involving positive and negative area. There is a simple way out of this negative area situation, as illustrated in the next problem.



Insight

**7-18** Calculate the area between the curve  $y = x^2 + x - 2$  and the  $x$ -axis between  $x = 0$  and  $x = 2$ .

**Solution:** Do not write down the integral of  $x^2 + x - 2$  with the prescribed limits and perform the integration to find the answer. If you do, you will get the problem wrong!

Sketch the graph of this function. Factor  $y = x^2 + x - 2 = (x - 1)(x + 2)$  and notice that the curve crosses the  $x$ -axis at  $x = 1$  and  $x = -2$ . Look at the limits of the integration. At  $x = 0$ ,  $y = -2$ . At  $x = 2$ ,  $y = 4$ . With this information the curve can be sketched as in Fig. 7-9. More detail for the sketch is not necessary.

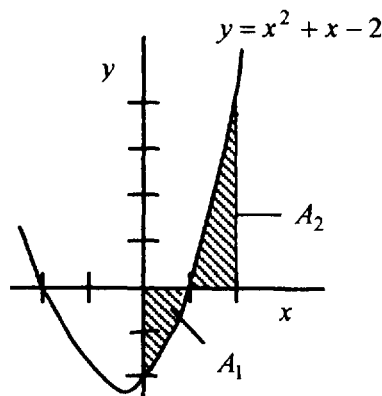


Fig. 7-9



Watch  
Out!

The area between this curve and the  $x$ -axis has to be calculated in two pieces corresponding to the two areas marked  $A_1$  and  $A_2$ .

$$A_1 = \int_0^1 [0 - (x^2 + x - 2)] dx = - \left[ \frac{x^3}{3} + \frac{x^2}{2} - 2x \right]_0^1$$

$$A_1 = - \left[ \frac{1^3}{3} + \frac{1^2}{2} - 2(1) \right] + [0] = - \left[ \frac{2}{6} + \frac{3}{6} - \frac{12}{6} \right] = - \left[ -\frac{7}{6} \right] = \frac{7}{6}$$



Insight

Notice the integrand is written as  $[0 - (x^2 + x - 2)]$ . This statement is the "top curve,"  $y = 0$ , minus the "bottom curve,"  $y = x^2 + x - 2$ . Writing the integrand this way, top curve minus bottom curve, keeps the area positive. This is the preferred way of writing the problem. It will prove very helpful in more complicated problems.

Now find the second area,  $A_2$ . The integrand  $x^2 + x - 2$  would be viewed as top curve minus bottom curve. We just have not bothered to write  $-0$  for the bottom curve.

$$A_2 = \int_1^2 (x^2 + x - 2) dx = \left[ \frac{x^3}{3} + \frac{x^2}{2} - 2x \right]_1^2$$

$$A_2 = \left[ \frac{2^3}{3} + \frac{2^2}{2} - 2(2) \right] - \left[ \frac{1^3}{3} + \frac{1^2}{2} - 2(1) \right] = \left[ \frac{8}{3} - \frac{1}{3} \right] + \left[ \frac{4}{2} - \frac{1}{2} \right] + [-4 + 2]$$

$$A_2 = \frac{7}{3} + \frac{3}{2} - 2 = \frac{14}{6} + \frac{9}{6} - \frac{12}{6} = \frac{11}{6}$$

The total area between the curve and the  $x$ -axis is the sum of these two areas.

$$A = A_1 + A_2 = \frac{7}{6} + \frac{11}{6} = \frac{18}{6} = 3$$

**Standard Mistake Solution:** Don't make this mistake. If you take the integral of  $x^2 + x - 2$  between the limits of 0 and 2 you will get an answer that is equal to  $A_2 - A_1$ .

It will look great but it is wrong. Take the integral of  $x^2 + x - 2$ , using the limits 0 and 2, and verify that this is the difference in the areas and the incorrect answer. This is the kind of problem that math professors use to separate the A's from the B's.



Watch  
Out!

We've had A's and we've had B's. A's are better.

These next few problems take you to another level. The "standard mistake" of the previous problem can be avoided by graphing. Likewise graphing is essential in these next few problems. As we mentioned in A Special Message to the Utterly Confused Calculus Student at the beginning of this book, graphing is one of the skills you need to do calculus problems. We keep emphasizing this point because we know that a primary source of confusion in integration is inability to visualize the problem, and you visualize problems by graphing the curves.

**7-19** Find the area in the positive  $x$  and  $y$  region between the curves  $y = (0.5)x$  and  $y = 4 - (0.5)x^2$ .

**Solution:** Graph the two curves as shown in Fig. 7-10, keeping in mind that only the positive  $x$  and  $y$  region is interesting. The straight line is easy. The parabola is 4 at  $x = 0$  and opens down. The parabola crosses the  $x$ -axis when  $y = 0$  or  $x^2 = 8$  or  $x = \sqrt{8}$ .

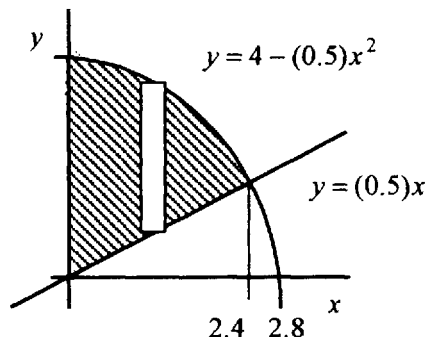
As far as the limits of integration are concerned the important point is where the curves cross. This point is found by setting the two equations for  $y$  equal and solving for  $x$ .

There is a point along the  $y = (0.5)x$  curve that satisfies  $y = 4 - (0.5)x^2$ . This point is where the curves cross and is found by setting  $(0.5)x$  equal to  $4 - (0.5)x^2$  and solving the equation

$$(0.5)x = 4 - (0.5)x^2 \quad \text{or} \quad x^2 + x - 8 = 0$$

This quadratic is solved by formula

$$x = \frac{-1 \pm \sqrt{1^2 - 4(1)(-8)}}{2(1)} = \frac{-1 \pm \sqrt{33}}{2} = 2.4$$



**Fig. 7-10**

Only the positive root is interesting in this problem. Figure 7-10 shows a sketch, not a detailed drawing. The essential feature is the point where the curves cross and the visualization that the integral is over  $dx$  and between the two curves. Great detail is not necessary. A clear picture of the curves, where they cross, and the limits is sufficient information.

The integral is written as going from the top curve, or most positive part of the  $dx$  rectangle, to the bottom curve, or most negative part of the rectangle with the appropriate limits 0 and 2.4.

$$A = \int_0^{2.4} [(4 - 0.5x^2) - (0.5x)] dx = \left[ 4x - \frac{x^3}{6} - \frac{0.5x^2}{2} \right]_0^{2.4}$$

$$A = \left[ 4(2.4) - \frac{2.4^3}{6} - \frac{2.4^2}{4} \right] - [0] = [9.6 - 2.3 - 1.4] = 5.9$$

**7-20** Find the area bounded by the  $y$ -axis and the curves  $y = 1 + \sqrt{x}$  and  $y = x - 1$ .

**Solution:** The curve  $y = x - 1$  is a straight line of slope 1 that intercepts the  $y$ -axis at  $-1$ . The other curve starts at  $y = 1$  and increases. To integrate in the  $x$ -direction the limits are required. In this case the upper limit in  $x$  is where the curves cross, which is obtained by setting the equations for  $y$  equal and solving

$$1 + \sqrt{x} = x - 1 \quad \text{or} \quad \sqrt{x} = x - 2$$

and squaring

$$x = x^2 - 4x + 4 \quad \text{or} \quad x^2 - 5x + 4 = 0$$

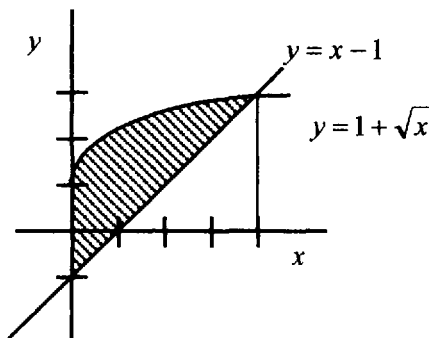


Fig. 7-11

This quadratic is factorable,  $(x - 4)(x - 1) = 0$ , producing values of  $x = 1$  and  $x = 4$ .

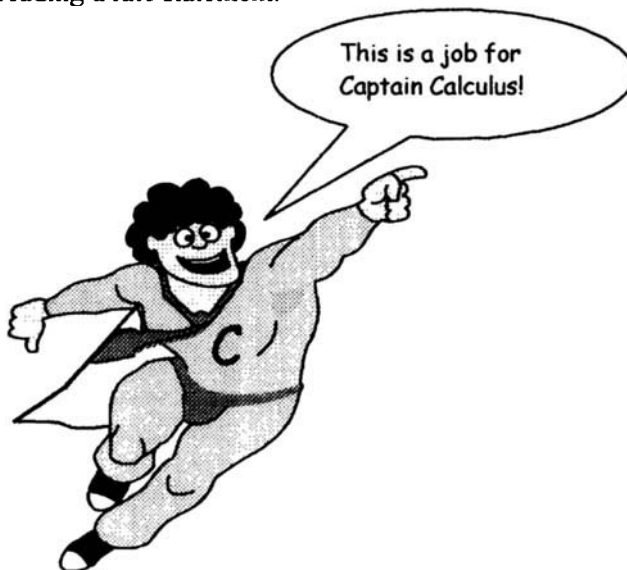
The value  $x = 1$  requires a negative square root to work in both original equations and is seen from Fig. 7-11 as incorrect. The value  $x = 4$  is the correct limit value. The  $x = 1$  point is a spurious one caused by squaring a square root and then factoring the resulting equation. With the limits, set up the integral from 0 to 4 of the upper curve minus the lower curve and integrate.

$$A = \int_0^4 [(1 + \sqrt{x}) - (x - 1)] dx = \int_0^4 (2 + x^{1/2} - x) dx = \left[ 2x + \frac{x^{3/2}}{3/2} - \frac{x^2}{2} \right]_0^4$$

$$A = \left[ 2(4) + \frac{4^{3/2}}{3/2} - \frac{4^2}{2} \right] = \left[ 8 + \frac{2}{3}(8) - 8 \right] = \frac{16}{3}$$



The next two problems are practical problems illustrating how calculus can help in forecasting revenue generation in the one instance, and yield from a mining operation in the other instance. The unique aspect of these problems is that they start not with a statement of revenue, but with a statement of revenue rate, the revenue generated per year and the yield of the mine in tons per year. Watch the way these problems are worded. Don't be fooled on a test by misreading a rate statement.



**7-21** A certain machine generates revenue at the rate of  $R(t) = 2000 - 5t^2$  where  $R$  is in dollars per year and  $t$  is in years. As the machine ages the cost of repairs increases according to  $C(t) = 500 + 2t^2$ . How long is the machine profitable and what are the total earnings to this point in time?



**Solution:** The two curves are both parabolas, the  $R(t)$  curve opening down and the  $C(t)$  curve opening up. The curves are sketched in Fig. 7-12. When the revenue generated per year equals the cost of repairs per year the machine stops being profitable.

Mathematically this situation occurs when the curves cross. The time when they cross is found by setting the equations equal and solving for the time.

$$2000 - 5t^2 = 500 + 2t^2 \quad \text{or} \quad 1500 = 7t^2$$

$$\frac{1500}{7} = t^2 \quad \text{or} \quad t = \sqrt{\frac{1500}{7}} = 14.6 \text{ years}$$

Figure 7-12 is a rough sketch illustrating the general shape of the curves and the time when they cross.

The total earnings up to 14.6 years is the (revenue generated) area under the  $R(t)$  curve minus the (cost) area under the  $C(t)$  curve. This is an integral. Look at the units. The rate of return in dollars per year times the time is the total number of dollars.

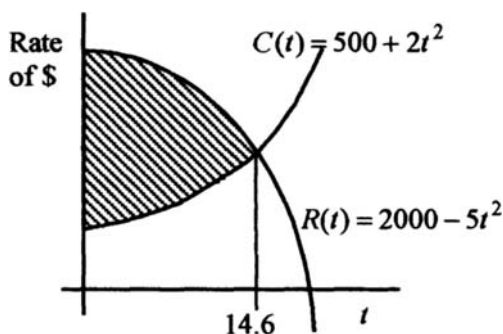


Fig. 7-12

$$E = \int_0^{14.6} [(2000 - 5t^2) - (500 + 2t^2)] dt = \int_0^{14.6} (1500 - 7t^2) dt = \left[ 1500t - \frac{7t^3}{3} \right]_0^{14.6}$$

$$E = 1500(14.6) - \frac{7(14.6)^3}{3} = 21,900 - 7262 = 14,638$$

The total earnings until the machine becomes unprofitable, that is, costs more to operate each year than it returns in revenue, is \$14,638.

**7-22** In a mine the yield per unit cost for a particular ore is declining according to  $Y = 8 - 0.4t$  where the yield is in millions of tons per year and  $t$  is in years. Find the time for the mine to produce 60 million tons of ore.



**Solution:** Be careful with rate statements like this one. The yield equation is in millions of tons per year, not millions of tons total. Since the yield is in millions of tons per year, the time for 60 million tons has to come from an integration over time. Integration is required rather than multiplication because the rate per year is changing. The total yield then is

$$T = \int_0^t (8 - 0.4t) dt = \left[ 8t - \frac{0.4t^2}{2} \right]_0^t = 8t - 0.2t^2$$

Notice that in this problem the limits are 0 and  $t$  because we are looking for the time to produce a total of 60 (million tons). Therefore set  $T = 60$  in the equation

$$T = 8t - 0.2t^2$$

generated by the integral and solve for the time.

$$60 = 8t - 0.2t^2 \quad \text{or} \quad 0.2t^2 - 8t + 60 = 0 \quad \text{or} \quad t^2 - 40t + 300 = 0$$

This quadratic is factorable to  $(t - 10)(t - 30) = 0$  producing time values of 10 and 30.

Go back to the original statement for the yield  $Y = 8 - 0.4t$  and note that at  $t = 10$  the yield is  $Y(10) = 8 - 0.4(10) = 4$  and at  $t = 30$  the yield is  $Y(30) = 8 - 0.4(30) = 8 - 12 = -4$ .

The 10 year figure is the realistic one. Who would work the mine until the yield reached zero and then continue, putting ore back, until the 60 million total was achieved?

**Further Insight Solution:** If the yield is  $Y = 8 - 0.4t$  then in 10 years the yield goes from 8 (starting at zero time) to  $8 - 4 = 4$  in a linear fashion so the average yield over the 10 years is 6. This 6 million tons per year average times the 10 years produces the 60 million tons.

The 30 year figure is also true. If the yield goes according to  $Y = 8 - 0.4t$  for 30 years then the yield goes from 8 at time zero to  $-4$  at the end of 30 years and the average is 2 million tons per year for 30 years for the 60 million ton total.

No one would actually do this because when the yield went to zero you would have to start putting ore back into the mine to achieve your 60 million tons total! You would also expect the yield equation to not accurately represent the mine production after the production rate had gone to zero.

Sometimes, in problems involving quadratics, solutions are generated that are mathematically correct but unrealistic. It is good practice to always look at the answer and ask if it is reasonable.

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**7-23** A demographic study indicates that the population of a certain town is growing at the rate of  $4 + 2x^{0.8}$  people per month when  $x$  is measured in months. What will be the increase in population between the 10<sup>th</sup> and 12<sup>th</sup> months?

**Solution:** This is an integral problem. The growth function has to be integrated and evaluated at the 10<sup>th</sup> and 12<sup>th</sup> months. Write the integral as the number  $(10 - 12)$  and use the growth function integrated over time.

$$N(10-12) = \int_{10}^{12} (4 + 2x^{0.8}) dx = \left[ 4x + \frac{2x^{1.8}}{1.8} \right]_{10}^{12}$$

$$N(10-12) = \left[ 4(12) + \frac{2(12)^{1.8}}{1.8} \right] - \left[ 4(10) + \frac{2(10)^{1.8}}{1.8} \right]$$

Before going any further review how to take a fractional power with your calculator. To find  $(12)^{1.8}$  enter 12 on your calculator, then find a key that raises "things" to a power (this key will look like  $y^x$  or  $x^y$ ) and press it. Your calculator will probably blink and continue to display the 12. That's OK. Don't worry about the 12, enter 1.8, and press the equal sign. The calculator should take a short time to display 88.

$$N(10-12) = \left[ 48 + \frac{2(88)}{1.8} \right] - \left[ 40 + \frac{2(63)}{1.8} \right] = [48 + 98] - [40 + 70] = 36$$

A total of 36 people will enter the town in the 10<sup>th</sup> to 12<sup>th</sup> month interval.

**7-24** A rare stamp is, and has been, appreciating at the rate of  $5 + 0.5t$  in thousands of dollars per year when  $t$  is measured in years. If this stamp is purchased for a newborn child and allowed to appreciate, what will be the value of the stamp on the child's 18<sup>th</sup> birthday?



**Solution:** This is a rate problem and an integral is required. The stamp is purchased (at  $t = 0$ ) for \$5000. Integrate the rate over time with the limits of 0 and 18 to find the value after 18 years.

$$V = \int_0^{18} (5 + 0.5t) dt = \left[ 5t + \frac{0.5t^2}{2} \right]_0^{18} = \left[ 5(18) + \frac{0.5(18)^2}{2} \right] = [90 + 81] = 171$$

In 18 years the stamp will be worth \$171,000.

## Average Value of a Function

Integral calculus can be used to determine the average values of functions. The average value of some quantity that may be varying in a very complicated way can be a valuable piece of information. The average value of a function is the area under the curve of that function over a certain range divided by that range. The area under the curve is viewed as the area of a rectangle with one dimension equal to the range of the integral and the other dimension, the height equal to the average height to produce the area under the curve.

The formal definition is

$$\text{Average value} = \frac{1}{b-a} \int_a^b f(x) dx$$



The several problems in this section show how to find the average value of several different functions and illustrate applications of the technique.

**7-25** On an employee stock purchase plan one share of stock is purchased each month for 10 months. The share prices start at \$10 at the end of the first month and decrease by \$1 per month thereafter for the duration of the offer. This is an incentive (to stay with the company) plan and it does not reflect the actual stock price.

**Solution:** You don't need calculus to do this problem. Graph the stock purchase price as in Fig. 7-13. Look at the graph and conclude that the average purchase price is \$5 over the 10 month interval for a total cost of \$50 for the 10 shares.

**Think Calculus Solution:** The area enclosed by the triangle in Fig. 7-13 represents the total cost for the 10 shares of stock, \$50. This area is also  $(1/2) \text{ base} \times \text{height} = (1/2)(10)(10) = 50$ . This area could be represented by a rectangle of the same base and height 5. The height of 5 is an average height of the triangle. In mathematical language the height of the rectangle would be

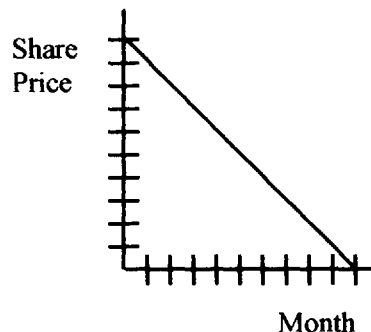


Fig. 7-13

$$\text{Height} = \frac{\text{Area of rectangle}}{\text{Base of Rectangle}}$$

**7-26** In another stock purchase plan one share of stock is offered each month starting at \$40. The history of the stock indicates the price will follow  $C = 40 + 0.8t$  for the next year where  $t$  is in months. If twelve shares are purchased according to this plan, what will be the average price of the stock?

**Solution:** Graph the price of the stock as shown in Fig 7-14. The area under the curve is the total cost for the 12 shares. This (total cost) area divided by 12, the base of the rectangle with area equivalent to this total cost, gives the average price of the stock.



Following the form of integral stated earlier we calculate

$$C_{avg} = \frac{1}{12 - 0} \int_0^{12} (40 + 0.8t) dt = \frac{1}{12} \left[ 40t + \frac{0.8t^2}{2} \right]_0^{12}$$

$$C_{avg} = \frac{1}{12} \left[ 40(12) + \frac{0.8(12)^2}{2} \right]$$

$$C_{avg} = \frac{1}{12} [480 + 57.6]$$

$$C_{avg} = 44.80$$

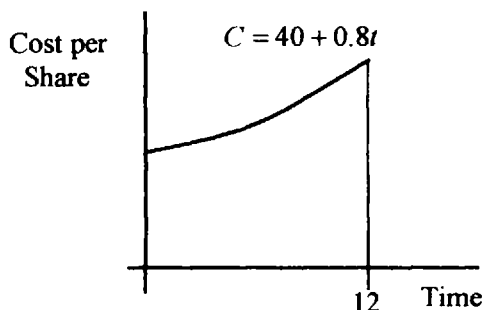


Fig. 7-14

The average price of the stock will be \$44.80.

The average-value-of-a-function problems so far have had pretty tame-looking functions. This next problem will illustrate how to apply the average value of a function to some more complex functions.

**7-27** Find the average value of the function  $y = x^3 - 2x^2 + 3$  from  $x = -1$  to  $x = 3$ .

**Solution:** It is important to graph this function, or at least put in some values so we know whether the function is positive or negative over the region. In some problems it may be perfectly acceptable for the values of the function to be negative while in other functions we may be confined to averaging only positive values. The dominant term is the cubic so for large  $x$  the curve has the cubic shape (see Chapter 1, Mathematical

Background). A third-degree equation has at most two points where the slope is zero (see Chapter 4, Graphing).

Since only a rough sketch is necessary perhaps it will prove sufficient to just find a few points and place them on the graph.

$$y(0) = 0^3 - 2(0)^2 + 3 = 3$$

$$y(1) = 1^3 - 2(1)^2 + 3 = 2$$

$$y(2) = 2^3 - 2(2)^2 + 3 = 3$$

$$y(3) = 3^3 - 2(3)^2 + 3 = 12$$

$$y(-1) = (-1)^3 - 2(-1)^2 + 3 = 0$$

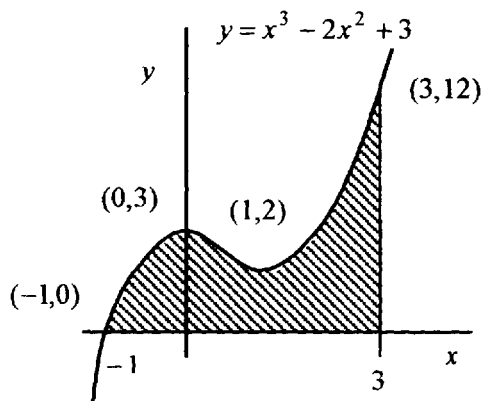


Fig. 7-15

This function is positive over the range where it is to be averaged. Don't be fooled by an exam question that asks you to average all the positive values for a function over a certain range and then gives you a function that is negative over part of the range.



The shaded area in Fig. 7-15, the prescribed region in  $x$ , is all above the axis so the integral for the average value of the function can be written knowing that there will not be a negative area.

$$y_{avg} = \frac{1}{3 - (-1)} \int_{-1}^3 (x^3 - 2x^2 + 3) dx = \frac{1}{4} \left[ \frac{x^4}{4} - \frac{2x^3}{3} + 3x \right]_{-1}^3$$

$$y_{avg} = \frac{1}{4} \left\{ \left[ \frac{3^4}{4} - \frac{2(3)^3}{3} + 3(4) \right] - \left[ \frac{(-1)^4}{4} - \frac{2(-1)^3}{3} + 3(-1) \right] \right\}$$

$$y_{avg} = \frac{1}{4} \left\{ \left[ \frac{81}{4} - \frac{54}{3} + 12 \right] - \left[ \frac{1}{4} + \frac{2}{3} - 3 \right] \right\} = \frac{1}{4} \left\{ \frac{80}{4} - \frac{56}{3} + 15 \right\}$$

$$y_{avg} = \frac{1}{4} \left\{ 35 - \frac{56}{3} \right\} = \frac{1}{4} \left\{ \frac{105}{3} - \frac{56}{3} \right\} = \frac{1}{4} \left\{ \frac{49}{3} \right\} = \frac{49}{12} = 4.1$$

Look at the graph and ask if this is reasonable. This average value means that the rectangle equivalent to the area under this curve would have base 4 and height 4.1, which

looks very reasonable. The mistake you are looking for here is a sign mistake amongst the fractions or forgetting the  $(1/4)$  outside the whole integral.

---

## Area Between Curves Using $dy$

All of the area under the curve and average value of a function problems encountered so far have been ones where the integration was carried out in the  $x$ -direction. There are problems where this is inconvenient or even impossible, and it is necessary to integrate in the  $dy$  direction. This takes a little reorientation from the usual. In addition, the integrals are often more difficult. These problems tend to separate the A's from the B's. Follow through the several examples and learn how to find areas using integration in the  $y$ -direction as well as the  $x$ -direction.

**7-28** Find the area bounded by the curves  $x = y^2$  and  $x = 4$ .

**Solution:** The curve  $x = y^2$  is a parabola, but it is an unusual one in that it is written  $x = y^2$ , rather than the more familiar  $y = x^2$ . This means that the parabola is symmetric about the  $x$ -axis rather than the  $y$ -axis. The two curves  $x = y^2$  and  $x = 4$  are graphed in Fig. 7-16.

Imagine placing a representative rectangle of width  $dx$  on this graph. There is a problem almost immediately. The rectangle doesn't go from one curve to another. It begins and ends on the same curve!

You could solve  $x = y^2$  for  $y$  to get  $y = \sqrt{x}$  and then use a symmetry argument and say that the desired area is twice the area between the curve  $y = \sqrt{x}$ ,  $y = 0$  and  $x = 3$ . This would work for this particular problem but with only a slight modification to the parabola (add a constant, for instance) the solution for  $y$  becomes most complicated.

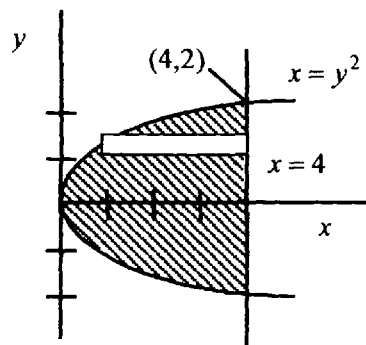


Fig. 7-16



Using a rectangle of width  $dy$  is much easier. Draw a rectangle as shown in Fig. 7-16 and integrate over  $dy$ . The most convenient limits of the integral are  $y = 0$  and  $y = 2$ , the top half of the desired area. The shaded area is then twice this integral.

$$A = 2 \int_0^2 y^2 dy = \left[ \frac{2y^3}{3} \right]_0^2 = \frac{16}{3}$$

**7-29** Find the area bounded by  $x = y^2$ ,  $y = -x + 3$  and  $y = 0$ .

**Solution:** To do this problem in  $dx$  would require two separate integrals, one from 0 out to the value of  $x$  for the intersection of  $y = -x + 3$  and  $x = y^2$ , and another from this point out to  $x = 3$ .

It is easier to integrate in the  $y$ -direction. This integral is no longer the "top curve" minus the "bottom curve" but the "most positive in  $x$  curve" minus the "least positive in  $x$  curve."

The "most positive in  $x$  curve" is  $y = -x + 3$  which has to be rewritten as  $x = 3 - y$ . (To integrate in the  $y$ -direction, the equations have to be in terms of  $y$ 's.) The "least positive in  $x$  curve" is  $x = y^2$ . Figure 7-17 shows the curves and the rectangle.

The limits for  $y$  are zero and the value of  $y$  where the line  $x = 3 - y$  and the parabola  $x = y^2$  intersect. This intersection point is obtained by setting these two equations equal and solving for  $y$ .

$$3 - y = y^2 \quad \text{or} \quad y^2 + y - 3 = 0$$

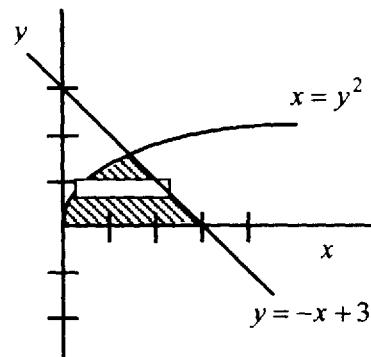
This quadratic has to be solved by formula:

$$y = \frac{-1 \pm \sqrt{1 - 4(1)(-3)}}{2(1)} = \frac{-1 \pm \sqrt{13}}{2} = 1.3, -2.3$$

The positive root, 1.3, is the one for this point.

The integral for the shaded area is

$$A = \int_0^{1.3} [(3 - y) - y^2] dy$$



**Fig. 7-17**

$$A = \left[ 3y - \frac{y^2}{2} - \frac{y^3}{3} \right]_0^{1.3}$$

$$A = \left\{ \left[ 3(1.3) - \frac{(1.3)^2}{2} - \frac{(1.3)^3}{3} \right] - [0] \right\}$$

$$A = 3.9 - 0.84 - 0.73 = 2.3$$

**7-30** Find the area between  $x = y^2$  and  $y = x - 2$ .

**Solution:** First graph the parabola  $x = y^2$  and the line  $y = x - 2$  as shown in Fig. 7-18. This is one of the more difficult problems in area between two curves because of the little piece of the area near the apex of the curve. An integration in  $x$  is incorrect because in this piece of area near the apex of the curve you would be integrating between the same curve. This integration must be done in the  $y$ -direction if it is to be performed with one integral.

Rewrite the line as  $x = y + 2$  and set this equal to  $x = y^2$  to find the values of  $y$  where the curves intersect.

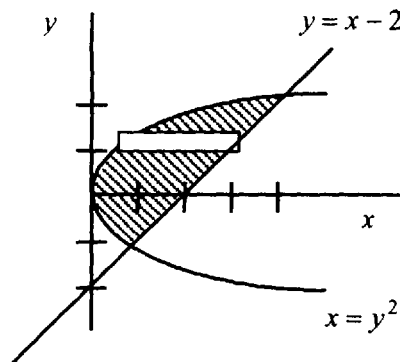
$y^2 = y + 2$  or  $y^2 - y - 2 = 0$  or  $(y - 2)(y + 1) = 0$  producing values of  $y = 2$  and  $y = -1$ .

The points where the curves intersect are obtained from either equation:  $(2, 4)$  and  $(1, -1)$ .

The integral for the desired area is

$$A = \int_{-1}^2 [(y + 2) - y^2] dy$$

$$A = \left[ \frac{y^2}{2} + 2y - \frac{y^3}{3} \right]_{-1}^2$$



**Fig. 7-18**

$$A = \left\{ \left[ \frac{2^2}{2} + 2(2) - \frac{2^3}{3} \right] - \left[ \frac{(-1)^2}{2} + 2(-1) - \frac{(-1)^3}{3} \right] \right\}$$

$$A = \left\{ \left[ 2 + 4 - \frac{8}{3} \right] - \left[ \frac{1}{2} - 2 + \frac{1}{3} \right] \right\}$$

$$A = 6 - \frac{8}{3} - \frac{1}{2} + 2 - \frac{1}{3} = 8 - \frac{9}{3} - \frac{1}{2} = 5 - \frac{1}{2} = \frac{9}{2}$$

**7-31** Find the area between  $y = \sin x$  and the  $x$ -axis from  $x = 0$  to  $x = \pi$ .

**Solution:** Graph  $y = \sin x$  from  $x = 0$  to  $x = \pi$  as shown in Fig. 7-19. Here is another instance where symmetry can be used in calculating the area.

The area between  $x = 0$  and  $x = \pi/2$  is twice the area between  $x = 0$  and  $x = \pi$ .

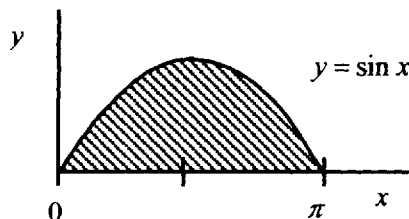


Fig. 7-19

Writing the area in the form of an integral,

$$A = 2 \int_0^{\pi/2} \sin x dx = -2 \cos x \Big|_0^{\pi/2} = -2[0 - 1] = 2$$

The easiest way to verify this integral is to refer to the Mathematical Tables. If you have any trouble recalling the shape of the cosine curve, check Chapter 1, Mathematical Background.



# 8

## TRIGONOMETRIC FUNCTIONS

The review of the essentials of trigonometry in Chapter 1, Mathematical Background, is a review of the bare necessities for getting started in calculus. Now that you understand differentials and integrals, it is time to move on to a more complete understanding of trigonometry. This chapter covers from right angle trigonometry to the differentiation and integration of trigonometric functions. If you want a comprehensive review of trigonometry that will help you in your study of calculus this is the chapter for you. Formulas for the area and volume of geometric figures encountered in this chapter are in the Mathematical Tables at the end of the book. We begin the study of trigonometry at the very basis of trigonometry, the right triangle.

### Right Angle Trigonometry

The basic right triangle is shown in Fig. 8-1. An angle and the three sides are labeled as shown. The side "opposite" is opposite the angle, whichever one it may be, and the "adjacent" is the side adjacent to the angle. The hypotenuse is always the side opposite the right angle. The little square placed in the corner indicates a right angle and the other angle is designated with a  $\theta$ .

$$\sin \theta = \frac{\text{Opposite}}{\text{Hypotenuse}} = \frac{b}{c}$$

$$\cos \theta = \frac{\text{Adjacent}}{\text{Hypotenuse}} = \frac{a}{c}$$

$$\tan \theta = \frac{\text{Opposite}}{\text{Adjacent}} = \frac{b}{a}$$

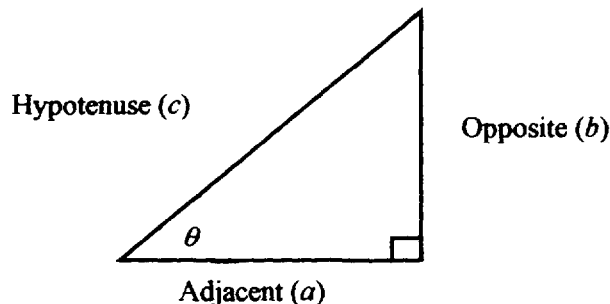


Fig. 8-1

The ratio of the sides and either side, the opposite or adjacent, to the hypotenuse is unique for each angle. These three ratio combinations are called the sine, cosine, and tangent. The inverses of these ratios are almost totally uninteresting.

The angles are measured in degrees with 360 degrees the total (complete circle) angle. A right angle is 90 degrees, written  $90^\circ$ . Each degree is further subdivided into 60 minutes, and each minute into 60 seconds. Your hand calculator probably works in degrees and decimal parts of degrees unless you have done something to make it read minutes and seconds. The minutes and seconds feature may not be available on your calculator. Most calculations are carried out to the nearest degree or nearest tenth of a degree.

The three basic ratios, the sine (sin), cosine (cos), and tangent (tan), are defined in Fig 8-1. The ability to calculate this ratio information is stored in your hand calculator. If it is not stored in your present calculator, get a better calculator. This information is so important and the calculator so inexpensive you should obtain one. If you are not familiar with how to work the calculator, practice taking a few sines, cosines, and tangents.

$$[\sin 30^\circ = 0.50, \cos 75^\circ = 0.2697, \tan 45^\circ = 1.00]$$

If you did not get these numbers when you punched in  $\sin 30^\circ$  your calculator may have been in the wrong mode. Your calculator will take the sine in three different modes, degrees, rads (short for radians), and grads. Here's a simple rule. Never use grads, rarely use rads, and always check you calculator for mode. Being in the wrong mode is too embarrassing a mistake to make on a test. Actually you will, or may, use rads occasionally, but not in the context of right angle trigonometry problems. Rads will be taken up later. For now, stick to degrees.

A couple of simple problems will illustrate the use of these angle ratios in right angle trig.

**8-1** Fifty feet out from the base of a tree the angle measured to the top of the tree is  $35^\circ$ . How tall is the tree?

**Solution:** Figure 8-2 shows the tree, distance along the ground, the adjacent 50 ft side, and the  $35^\circ$  angle. The tangent function relates the two sides to the angle.

$$\tan 35^\circ = \frac{\text{opposite}}{\text{adjacent}} = \frac{h}{50 \text{ ft}}$$

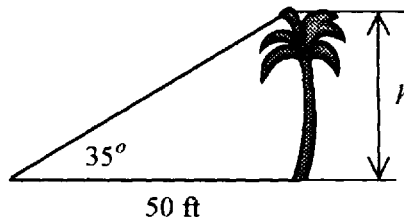


Fig. 8-2

Solve this statement for  $h$  the same as with any algebra statement.

$$h = (50 \text{ ft})\tan 35^\circ = (50 \text{ ft})(0.70) = 35 \text{ ft}$$

The height of the tree is 35 ft.

**8-2** A certain right triangle has sides 5 and 7. Find the angles and the other side.

**Solution:** Sketch a right triangle and label the sides as shown in Fig. 8-3.

Start by calculating the angle  $\theta$ .

$$\tan \theta = \frac{5}{7}.$$

This presents a new manipulative problem in that we seek the angle with tangent ratio  $5/7$ . On your hand calculator, enter 5 divided by 7 to display the decimal 0.71.

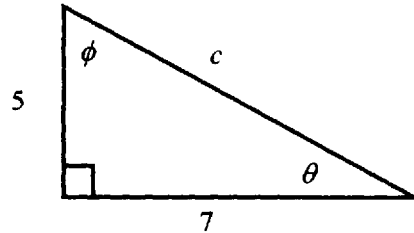


Fig. 8-3

Now perform the inverse tan function. This is usually a key labeled "inv" or "arc" or " $\tan^{-1}$ ," or sometimes the operation requires two keys "arc" and "tan," or "inv" and "tan." The  $\tan^{-1}$  is the more popular. Pressing the appropriate key or series of keys should produce an angle of  $35.5^\circ$ . Remember to keep your calculator in degree mode.

The mathematical operation performed by these sequence of keystrokes is the inverse of  $\tan \theta$ . Take the  $\tan^{-1}$  of each side of the  $\tan \theta = 5/7$  equation.

$$\tan^{-1}(\tan \theta) = \tan^{-1}(5/7) \quad \text{or} \quad \theta = \tan^{-1}(0.71) = 35.5^\circ$$

Rather than say "tangent to the minus 1" the words "arc" or "inverse" are used. The equation  $\theta = \tan^{-1}(5/7)$  would be said, "theta is the arctangent of five over seven" or, "theta is the inverse tangent of five over seven."

Now calculate the angle  $\phi$ . All the angles of the triangle have to add to  $180^\circ$  so  $180^\circ - 90^\circ - 35.5^\circ = 54.5^\circ$ . The angle  $\phi$  is  $54.5^\circ$ .

Find the hypotenuse using the cosine function.

$$\cos 35.5^\circ = \frac{7}{c} \quad \text{or} \quad c = \frac{7}{\cos 35.5^\circ} = 8.6$$

The hypotenuse is 8.6.

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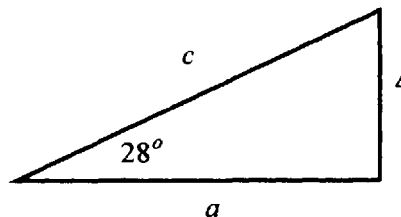
Another useful property of right triangles is the Pythagorean theorem. In words, the Pythagorean theorem is: In a right triangle the sum of each side (individually) squared equals the hypotenuse squared. Referring to Fig. 8-1, the theorem is written symbolically as  $a^2 + b^2 = c^2$ .

**8-3** Find all the sides and angles in a right triangle with side 4 and opposite angle  $28^\circ$ .

**Solution:** Sketch the triangle (see Fig. 8-4).

Find the hypotenuse using the sine function.

$$\sin 28^\circ = \frac{4}{c} \quad \text{or} \quad c = \frac{4}{\sin 28^\circ} = \frac{4}{0.47} = 8.5$$



**Fig. 8-4**

Now use the Pythagorean theorem to find the adjacent side.

$$c^2 = 4^2 + a^2 \quad \text{or} \quad a^2 = c^2 - 4^2 = 8.5^2 - 4^2 = 56.6 \quad \text{or} \quad a = 7.5$$

The other angle is  $90^\circ - 28^\circ = 62^\circ$ .

---

**8-4** A force of 70 lb is pulling on a box sliding along a floor. The rope exerting the force is at an angle of  $20^\circ$  from the floor. What forces acting parallel to the floor and perpendicular to the floor would produce this force?

**Solution:** Finding the components of a force or speed is common in many problems. The force is viewed as having components along the floor, because that is the direction of motion of the box, and perpendicular to the floor as shown in Fig. 8-5.



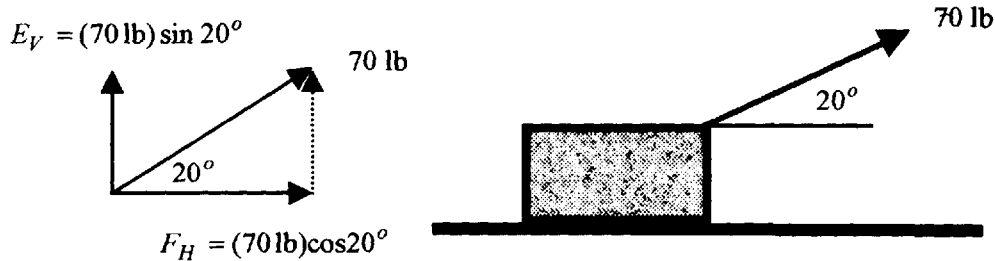


Fig. 8-5

The horizontal component of the force is  $F_H = (70 \text{ lb})\cos 20^\circ = 66 \text{ lb}$ .

Write  $\cos 20^\circ = \frac{F_H}{70 \text{ lb}}$  and solve for  $F_H$ .

The vertical component of the force is  $F_V = (70 \text{ lb})\sin 20^\circ = 24 \text{ lb}$ .

Notice that the horizontal force and the vertical force do not add up to the 70 lb. The reason for this is that they are not in the same direction. Certain measured quantities have this directional property. To describe motion or force it is necessary to add a direction. If you move 3 ft and then 4 ft you will be at very different positions relative to your starting point if you make both moves either in a straight line, at right angles to one other or first forward and then backward. Depending on the angle between the subsequent moves you will be anywhere from 1 to 7 ft from your starting point.

To describe temperature no such direction is required. Temperature is just a number while motion requires a number plus a direction for complete description.

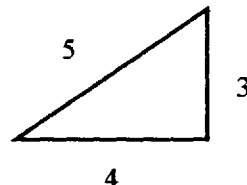
The components of the force are the sides of a right triangle and as such their squares should add up to the square of the hypotenuse (Pythagorean theorem).

$$a^2 + b^2 = c^2 \quad \text{or} \quad 66^2 + 24^2 = 70^2$$

## Special Triangles

There are certain triangles that occur often enough to have their own names. When someone describes a problem using the phrase "similar" triangles, for example, it is important to know what that means. These definitions of triangles are not difficult, though they are sometimes difficult to remember on tests. The features and some typical uses of these triangles are shown below.

**Pythagorean Triangles** Certain integral-number-sided right triangles satisfy the Pythagorean theorem. These triangles occur often enough so you should at least be aware of them. The simplest is the 3, 4, 5 triangle:  $3^2 + 4^2 = 5^2$ . The double of this one also works:  $6^2 + 8^2 = 10^2$ .



**Congruent Triangles** Two triangles are congruent if they are exactly the same, sides the same and angles the same.

**Equilateral Triangles** Equilateral triangles have all their sides equal and all their angles equal. Since all three equal angles must add to  $180^\circ$ , the angles in an equilateral triangle are each  $60^\circ$ .

**Isosceles Triangles** An isosceles triangle has two sides equal and the two angles opposite the equal sides also equal.

**Similar Triangles** Similar triangles have the same angles. A triangle similar to another is either larger or smaller than the other. The angles are the same and the sides are in proportion. The proportion is illustrated in Fig. 8-6.

$$\frac{a}{a'} = \frac{b}{b'} = \frac{c}{c'}$$

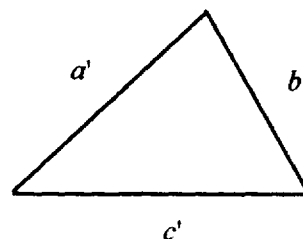
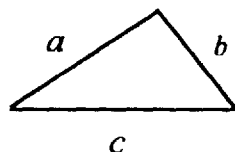


Fig. 8-6

**8-5** Similar triangles often occur one inscribed inside another. For the situation shown in Fig. 8-7, find the height of the "inner" triangle.

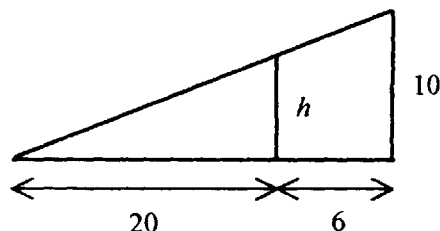
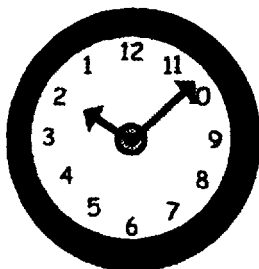


Fig. 8-7

**Solution:** These are similar triangles. Their angles are the same and the ratios of their sides are equal. In the larger triangle the side ratio is  $10/26$ . In the smaller triangle this ratio is  $h/20$ . Set these ratios equal and solve for  $h$ .

$$\frac{h}{20} = \frac{10}{26} \quad \text{or} \quad h = \frac{(20)(10)}{26} = 7.7$$



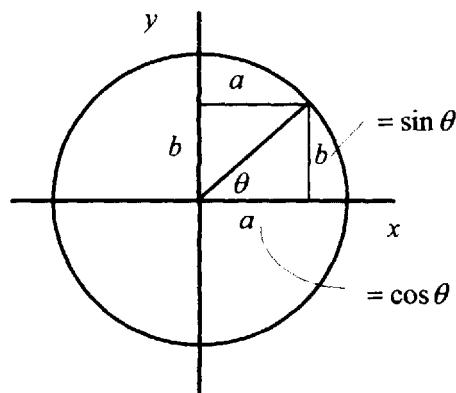
**Mathematician's  
Clock**

## Radians and Small Angles

Right angle trigonometry is closely related to the circle. Figure 8-8 shows a circle on a right angle coordinate system with a radius and the projection of that radius on both the  $x$ - and  $y$ -axes. The radius is 1. If the angle is measured counterclockwise from the  $x$ -axis (mathematicians always measure angles counterclockwise), then the sine and cosine are defined as:

$$\sin \theta = b/1 \quad \text{or} \quad b = \sin \theta$$

$$\cos \theta = a/1 \quad \text{or} \quad a = \cos \theta$$



**Fig. 8-8**

If you were confronted with the problem of deciding how to measure an angle  $\theta$  for a circle of radius 1, you probably would take the ratio of the arc length to the radius, and if the radius were 1 then the angle would be measured by the arc length. Figure 8-9 shows a radius, the angle and the arc length. For a circle of radius 1, the circumference is  $2\pi$  so a complete angle, all the way around, in this rather logical system would have an arc length of  $2\pi$ . One-quarter of the way around would be a right angle and have an arc length of  $\pi/2$ , and so on. This arc length to radius ratio produces a pure number (no units) and

defines what is known as radian measure. The relation between degrees and radians is  $360^\circ = 2\pi$  radians.

A radian, because of its definition, is dimensionless so the use of the word radian or rad as a unit is for convenience and a reminder that the angle is not measured in degrees. Radians are not cancelled as meters or seconds or other conventional units.

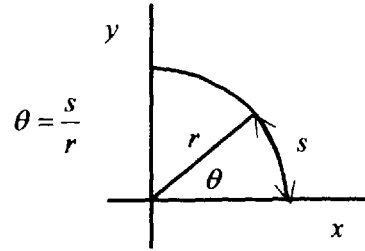


Fig. 8-9

### 8-6 Convert $76^\circ$ to radians and 1 radian to degrees.

**Solution:** Use unit multiplication here. Watch the units and keep the ratios correct and everything will work out fine.

$$76^\circ \frac{2\pi \text{ rad}}{360^\circ} = 1.33 \text{ rad} \quad 1 \text{ rad} \frac{360^\circ}{2\pi \text{ rad}} = 57.3^\circ$$



Remember

You need to remember that  $2\pi \text{ rad} = 360^\circ$ . The other number,  $57.3^\circ/\text{radian}$  is not so important and can be worked out with the  $2\pi = 360^\circ$  definition.

Go through the following exercise so you are absolutely sure you know how to go back and forth between radians and degrees. This is another mistake that is embarrassing and costly on exams.

Place your calculator in degree mode and take  $\sin 57.3^\circ$ . You should see 0.84 displayed. Now place your calculator in rad mode. There is usually a DRG (degree, rad, grad) key that cycles through the various modes. There also should be some indicator on the face of the calculator indicating the mode, usually a D or R or G. In rad mode take  $\sin 1$ . You should see the same number, 0.84, displayed. Try a few other angles in radians and degrees to insure that you know how to find the trigonometric function of any angle, whether in degrees or radians.

Refer to Fig. 8-10 which shows a triangle with a very small angle inscribed in a small part of a circle. The angle measured in radians, and the sine and tangent of the angle, are defined in Fig. 8-10.

For small enough angles,  $s$  is approximately the same as  $b$ , and  $a$  is approximately the same as  $c$ . Therefore, for small angles with the angle measured in radians, the angle, the

sine of that angle, and the tangent of that angle are all nearly equal. The next problem illustrates the error in making the approximation that the sine is the angle for some small angles.

$$\theta = \frac{s}{c}$$

$$\sin \theta = \frac{b}{c}$$

$$\tan \theta = \frac{b}{a}$$

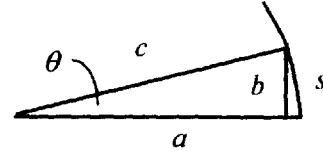


Fig. 8-10

**8-7** What is the difference (error) between the angle in radians, the sine, and the tangent for an angle of 0.1 radians?

**Solution:** As a warm up to this problem take the sine of  $5.7^\circ$  and the tangent of  $5.7^\circ$ .

$$\sin 5.7^\circ = 0.0993 \quad \tan 5.7^\circ = 0.0998$$

The difference between these two is approximately 5 parts in 1000 or 0.5% error. Now

$$\theta \text{ (in radians)} = 0.1000$$

$$\sin(0.1) = 0.0998$$

$$\tan(0.1) = 0.1003$$

The difference between the sine and the angle at 0.1 radians is 2 parts in 1000.

The difference between the tangent and the angle at 0.1 radians is 3 parts in 1000.

The difference between the sine and the tangent at 0.1 radians is 5 parts in 1000.

**8-8** Redo problem 8-7 but at 0.5 radians, approximately  $30^\circ$ .

**Solution:**  $\theta = 0.5$ ,  $\sin \theta = 0.48$ ,  $\tan \theta = 0.55$

The difference between  $\theta$  and  $\sin \theta$  at 0.5 radians is about 4%, and the difference between  $\theta$  and  $\tan \theta$  is about 10%.

Use the approximation  $\theta = \sin \theta = \tan \theta$  for angles up to  $10^\circ$  and possibly  $20^\circ$ , but certainly not much bigger.

## Non-Right Angle Trigonometry

You may encounter some situations requiring the side or angle in a non-right triangle. The laws relating the sides and angles in non-right triangles are not surprisingly called the Law of Sines and the Law of Cosines. These laws will not be derived, rather they will be stated and illustrated with problems. Actually the Law of Sines and the Law of Cosines are applicable to any triangle. Their greatest utility, however, is in non-right triangles.

**Law of Cosines** Referring to Fig. 8-11, the Law of Cosines is written symbolically as  $b^2 + c^2 - 2bc(\cos A) = a^2$ . Small letters refer to the sides and capital letters to the angles.

In words, the Law of Cosines is "one side squared plus an adjacent side squared minus twice the product of the two sides and the cosine of the included angle equals the side opposite the angle squared." This statement is a clearer explanation of the Law of Cosines. Any side and adjacent side and included angle follow the Law of Cosines.

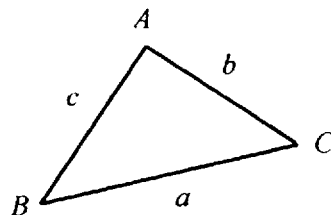


Fig. 8-11

Follow the statement, refer to Fig. 8-11, and write the following:

$$b^2 + a^2 - 2ab(\cos C) = c^2 \quad \text{or} \quad c^2 + a^2 - 2ac(\cos B) = b^2$$

**8-9** Find the base and the two equal angles of an isosceles triangle with equal sides 4 and included angle,  $40^\circ$ .

**Solution:** An isosceles triangle has two sides and the opposite two angles equal. The word "base" implies that the unequal side is horizontal. An isosceles triangle as described in the problem statement is shown in Fig. 8-12.

Following the written statement of the Law of Cosines, "One side squared plus an adjacent side squared minus twice the product of the two sides and the cosine of the included angle equals the side opposite the angle squared," the equation can be written as

$$4^2 + 4^2 - 2 \cdot 4 \cdot 4(\cos 40^\circ) = b^2$$

$$32 - 32(\cos 40^\circ) = b^2$$

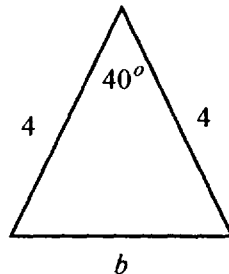


Fig. 8-12

$$b^2 = 7.5 \quad \text{or} \quad b = 2.7$$

The base is 2.7, and to complete the picture the equal angles are  $70^\circ$  each ( $70^\circ + 70^\circ + 40^\circ = 180^\circ$ ).

**8-10** Find the distance and angle to the final position for a person who travels 6 meters at  $20^\circ$  north of east and then 8 meters at  $50^\circ$  north of east.

**Solution:** Instead of an  $x$ - $y$  coordinate system use the N-S-E-W system representing the compass directions and place arrows representing the 6- and 8-meter distances as shown in Fig. 8-13.

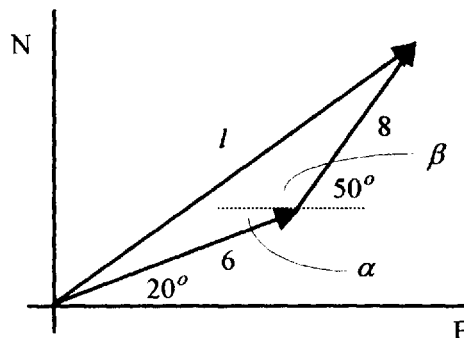


Fig. 8-13

Perhaps the hardest part of this problem is finding the large angle ( $\alpha + \beta$ ) between the 6- and 8-meter lengths. The dashed line at the tip of the line representing the 6 meters is parallel to the E-axis, so the little angle labeled  $\alpha$  is  $20^\circ$  (alternate interior angles of a bisector of parallel lines). The angle labeled  $\beta$  is  $130^\circ$  ( $180^\circ - 50^\circ$ ). Therefore, the large angle between the 6 and 8-meter lines and opposite the line from the start to the finish is the sum of these two angles  $130^\circ + 20^\circ = 150^\circ$ .

Now write the Law of Cosines for the length  $l$ .

$$6^2 + 8^2 - 2 \cdot 6 \cdot 8(\cos 150^\circ) = l^2$$

Before going any farther on this problem, stop and look at the  $\cos 150^\circ$ . Take  $\cos 150^\circ$  on your hand calculator and you will see  $-0.87$  displayed. This is most reasonable. The length,  $l$ , is greater than 6 or 8 and, just from looking at the sketch, close to 14, the sum of 6 and 8. With a negative number for the  $\cos 150^\circ$ , the Law of Cosines looks as though it is going to produce a reasonable number. Now proceed with the calculation.



Insight

$$36 + 64 - (2)(6)(8)(-0.87) = l^2$$

$$l^2 = 183 \quad \text{or} \quad l = 13.5$$

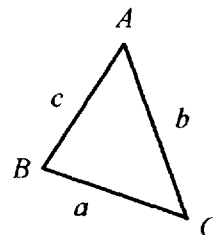


The important point to notice in this problem, and that is why it was included, is that the Law of Cosines works for angles greater than  $90^\circ$ .

**Law of Sines** Referring to Fig. 8-14, the Law of Sines is written symbolically as

$$\frac{\sin A}{a} = \frac{\sin B}{b} = \frac{\sin C}{c}$$

The Law of Sines works in some instances when the Law of Cosines does not. The following problem is an example where the Law of Sines works and the Law of Cosines does not.



**Fig. 8-14**

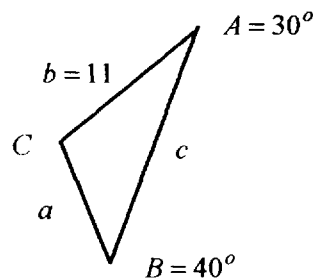
**8-11** For a non-right triangle with angles  $A = 30^\circ$  and  $B = 40^\circ$  and one opposite side  $b = 11$ , find all the sides and angles.

**Solution:** Sketch the triangle as in Fig. 8-15.

Notice that the Law of Cosines will not work in this problem. There are not two sides given.

Using the Law of Sines 
$$\frac{\sin 40^\circ}{11} = \frac{\sin 30^\circ}{a}$$

and solving for  $a$  we calculate 
$$a = 11 \frac{\sin 30^\circ}{\sin 40^\circ}$$



**Fig. 8-15**

Do not at this point divide 30 by 40; take the sine and multiply by 11!

Carefully find  $\sin 30^\circ$ , then divide by  $\sin 40^\circ$ , and finally multiply by 11.

$$a = 11 \frac{\sin 30^\circ}{\sin 40^\circ} = 11 \frac{0.50}{0.64} = 8.6$$

The angle C is  $110^\circ$  ( $180^\circ - 30^\circ - 40^\circ = 110^\circ$ ).

And finally use the Law of Sines to find side  $c$ .



**Watch  
Out!**



$$\frac{\sin 110^\circ}{c} = \frac{\sin 40^\circ}{11} \quad \text{or} \quad c = 11 \frac{\sin 110^\circ}{\sin 40^\circ} = 11 \frac{0.94}{0.64} = 16.2$$


---

## Trigonometric Functions

The definition of the trigonometric functions starts with the right triangle inscribed in the unit circle first shown in Fig. 8-8.

Place a unit circle on a right angle coordinate system as shown in Fig. 8-16. The sides of the inscribed triangle are the sine and cosine of the angle,  $\theta$ . These sides of the inscribed triangle are also the projections of the point on the circle that defines the angle on the axes. The projection on the  $x$ -axis is the cosine of  $\theta$ , and the projection on the  $y$ -axis is the sine of  $\theta$ .

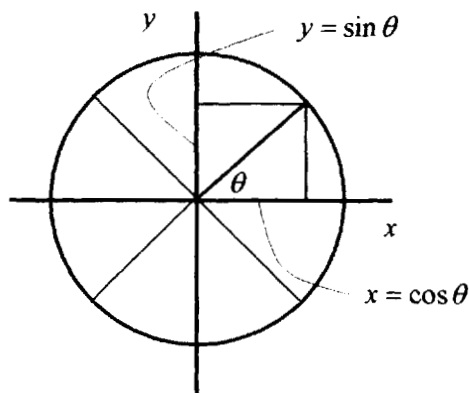


Fig. 8-16

As the point defining the angle moves around the circle in a counterclockwise manner, the projection on the  $x$ -axis traces out the cosine function and likewise for the sine function. We will eventually graph the sine function versus angle, but right now work with the unit circle a little longer.

Follow the sine function and confirm the values in Table 8-1 as the angle is increased. Remember that the angle increases counterclockwise from what would be the  $+x$ -axis.

**Sine** At  $\theta = 0$ , the projection on the  $y$ -axis that is the value of the sine function is 0. At  $\theta = \pi/4$  or  $45^\circ$ , the projections on the  $x$ - and  $y$ -axes are the same. Applying the Pythagorean theorem, two equal lengths,  $l$ , squared equal the radius (of 1) squared.

$$l^2 + l^2 = 1^2 \quad \text{or} \quad 2l^2 = 1 \quad \text{or} \quad l^2 = 1/2 \quad \text{or} \quad l = 1/\sqrt{2} = 0.71$$

With your hand calculator, confirm that  $\sin 45^\circ = \cos(\pi/4) = 0.71$ . Confirm this number in Table 8-1.

At  $\theta = \pi/2$ , the projection on the  $y$ -axis is 1.

At  $\theta = 3\pi/4$ , the projection on the  $y$ -axis is positive and equal to the value at  $\pi/4$ .

At  $\theta = \pi$ , the sine function goes to zero.

At  $\theta = 5\pi/4$ , the projection on the  $y$ -axis is negative, but numerically equal to the value at  $\pi/4$ .

At  $\theta = 3\pi/2$ , the sine function has value  $-1$ , and at  $\theta = 7\pi/4$ ,  $-0.71$ , and finally back to zero at  $2\pi$ .

**Cosine** At  $\theta = 0$ , the cosine function is the projection on the  $x$ -axis, or 1. As  $\theta$  goes from 0 to  $2\pi$ , the shape of the cosine curve is the same as the shape of the sine curve. They just start at different places; the sine curve starts at zero and the cosine curve starts at 1.

**Tangent** The tangent function can be thought of as either  $y$ -projection over  $x$ -projection or sine function over cosine function. Use whichever is more convenient.

At  $\theta = 0$ ,  $\sin \theta = 0$  and  $\cos \theta = 1$  so  $(\sin \theta / \cos \theta) = 0$ .

At  $\theta = \pi/4$ , the projections are the same so  $\tan(\pi/4) = 1$ .

At  $\theta = \pi/2$ , the  $\sin(\pi/2)$  over  $\cos(\pi/2)$  is  $1/0$ . There is no point at  $1/0$  so look to a limit view of how the tangent curve behaves in the vicinity of  $\pi/2$ . As  $\theta$  approaches  $\pi/2$ , with values less than  $\pi/2$ , the  $\cos \theta$  becomes small making the tangent of  $\theta$  become a very large positive number. When  $\theta$  goes just beyond  $\pi/2$ ,  $\cos \theta$  is a small negative number making  $\tan \theta$  a very large negative number.

On one side of  $\pi/2$  the tangent function goes to plus infinity and on the other side it goes to minus infinity. The best way to depict this on the chart is with  $\pm \infty$ . In limit language, the tangent function has a vertical asymptote at  $\pi/2$ ,  $3\pi/2$ , and every  $\pi$  interval in both directions. The tangent function is usually graphed between  $-\pi/2$  and  $\pi/2$  so a complete curve from minus infinity to plus infinity is shown.

Table 8-1

|     | 0 | $\pi/4$ | $\pi/2$      | $3\pi/4$ | $\pi$ | $5\pi/4$ | $3\pi/2$     | $7\pi/4$ | $2\pi$ |
|-----|---|---------|--------------|----------|-------|----------|--------------|----------|--------|
| sin | 0 | 0.71    | 1            | 0.71     | 0     | -0.71    | -1           | -0.71    | 0      |
| cos | 1 | 0.71    | 0            | -0.71    | -1    | -0.71    | 0            | 0.71     | 1      |
| tan | 0 | 1       | $\pm \infty$ | -1       | 0     | 1        | $\pm \infty$ | -1       | 0      |

The sine, cosine and tangent functions are graphed in Fig. 8-17.

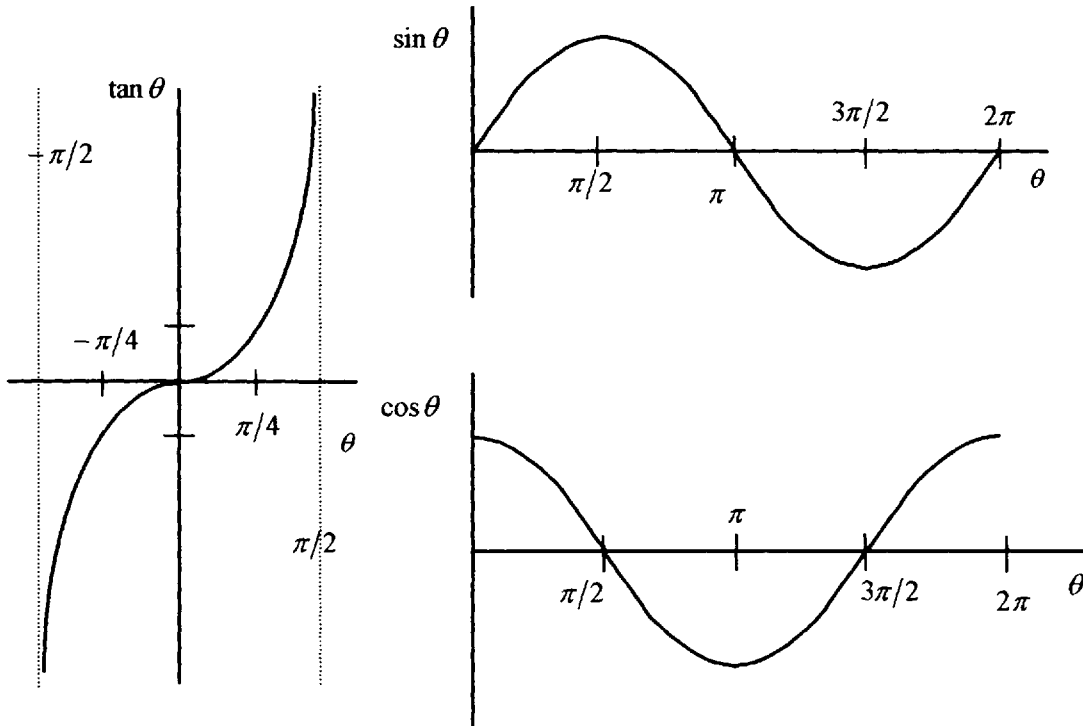


Fig. 8-17

## Identities

It is not our intention to work out all of the many trigonometric identities. What we will do is show you how broad categories of identities are developed, working out a few examples along the way. Our purpose is to give you a flavor for trigonometric identities, not make you an expert at them.

The simplest of the identities are the reciprocals of the sine, cosine, and tangent functions. These are called the cosecant (csc), secant (sec), and cotangent (cot).

$$\csc \theta = \frac{1}{\sin \theta} \quad \sec \theta = \frac{1}{\cos \theta} \quad \cot \theta = \frac{1}{\tan \theta}$$

Look back to Fig. 8-8 and Fig. 8-16 and notice that the inscribed right triangle has radius 1 and write the Pythagorean theorem statement for these inscribed triangles. In terms of the  $x$  and  $y$  components, the statement would be

$$x^2 + y^2 = 1$$

In terms of the trigonometric functions the statement would be

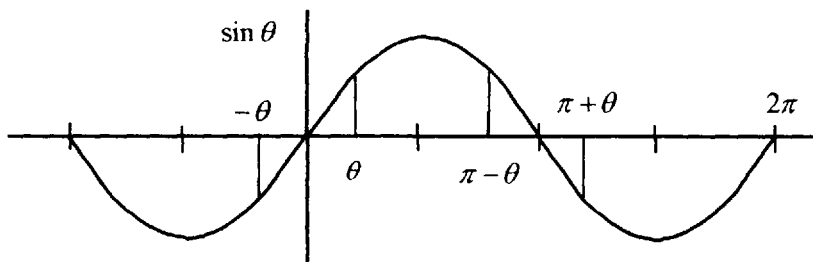
$$\sin^2 \theta + \cos^2 \theta = 1$$

This last statement is often called trigonometric identity number one. Divide this statement by  $\cos^2 \theta$  to obtain

$$\tan^2 \theta + 1 = \frac{1}{\cos^2 \theta} \quad \text{or} \quad 1 + \tan^2 \theta = \sec^2 \theta$$

A variety of similar identities based on  $\sin^2 \theta + \cos^2 \theta = 1$  can be created and are tabulated in the Mathematical Tables.

Another category of identity concerns the sum or difference of two angles and angles plus or minus  $90^\circ$  or  $180^\circ$ . These can be worked out with the unit circle but they are easier to see from the function graphs. Look just at the sine function graph in Fig. 8-18 and follow the argument presented below.



**Fig. 8-18**

On the sine function graph a vertical line is drawn indicating the position and value of  $\theta$ . The point  $-\theta$  has the same numeric value for the sine function as  $\theta$  (it is just negative) so identity-wise  $\sin \theta = -\sin(-\theta)$ . Similarly  $\sin \theta$  and  $\sin(\pi - \theta)$  have the same value, so  $\sin \theta = \sin(\pi - \theta)$ . The  $\sin(\pi + \theta)$  has the same numeric value as  $\sin \theta$ , one is just the negative of the other, so  $\sin \theta = -\sin(\pi + \theta)$ . The relations between the sine and cosine are a little more complicated, but not much. Many of the popular trigonometric identities

dealing with different angles being equal to or the negative of one another are listed in the Mathematical Tables.

Another category of identities is the sum and difference formulas and the half and double angle formulas. Many of these identities come about from a derivation similar to the one given below for the cosine of the difference of two angles. In addition, this exercise is a good review of basics.

Figure 8-16 shows that any point on the unit circle can be given by the coordinates  $x$  and  $y$  or the coordinates  $\cos \theta$  and  $\sin \theta$ . On a unit circle the coordinates of the angle  $\theta$  are  $(\cos \theta, \sin \theta)$ . The coordinates of another angle,  $\phi$ , are  $(\cos \phi, \sin \phi)$ .

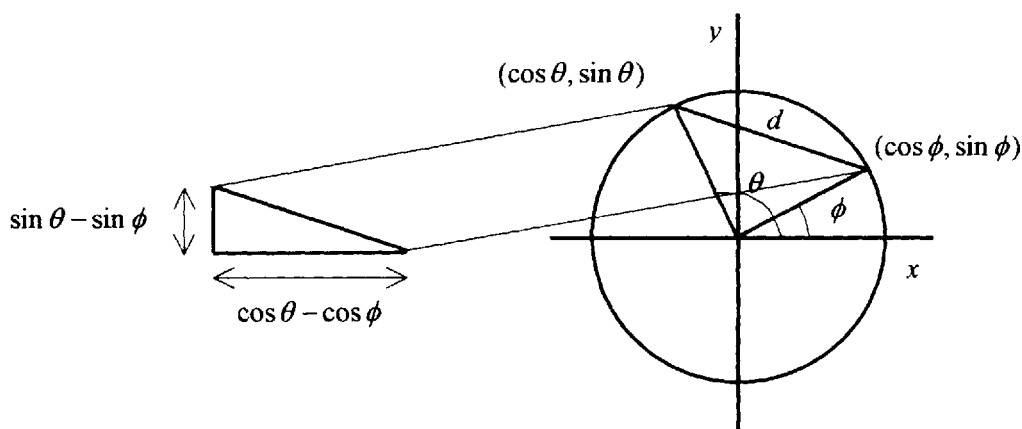


Fig. 8-19

The distance between these two points,  $d$ , in terms of the Pythagorean theorem, is

$$d^2 = (\sin \theta - \sin \phi)^2 + (\cos \theta - \cos \phi)^2$$

In words, this is "the hypotenuse  $d$  squared equals the difference in  $x$ -coordinate squared plus the difference in the  $y$ -coordinate squared."

$$d^2 = [\sin^2 \theta - 2 \sin \theta \sin \phi + \sin^2 \phi] + [\cos^2 \theta - 2 \cos \theta \cos \phi + \cos^2 \phi]$$

$\sin^2 \theta + \cos^2 \theta = 1$  and  $\sin^2 \phi + \cos^2 \phi = 1$  so this statement reduces to

$$d^2 = 2 - 2 \sin \theta \sin \phi - 2 \cos \theta \cos \phi$$

The distance  $d^2$  can also be written in terms of the Law of Cosines. The sides are 1, and the included angle is  $(\theta - \phi)$ , which makes the statement easier.

$$d^2 = 1^2 + 1^2 - 2(1)(1) \cos(\theta - \phi)$$

Set these two statements equal to produce an identity.

$$2 - 2 \cos(\theta - \phi) = 2 - 2(\sin \theta \sin \phi + \cos \theta \cos \phi)$$

$$\cos(\theta - \phi) = \sin \theta \sin \phi + \cos \theta \cos \phi$$

This identity gives the cosine of the difference of two angles in terms of the sines and cosines of the individual angles. A myriad of sum and difference of two angles formulas as well as double and half angle formulas come from exercises similar to this one. Fortunately they are all tabulated in many places, most notable in Mathematical Tables in the back of this book. These tables given here are not complete, just sufficient for most of the problems you will encounter

## Differentiating Trigonometric Functions

A somewhat intuitive justification for the derivative of the sine function was given in Chapter 3, Derivatives. Now it is time to look a little more closely at derivatives of trigonometric functions and apply those derivatives to some problems.

The derivative of the sine function is the cosine function and the derivative of the cosine function is the negative of the sine function. The justification for the derivative of the sine function (Chapter 3) is enough to give you a feel for how the derivatives of trigonometric functions come about. The more popular derivatives are listed below, with a larger list presented in the Mathematical Tables.

$$d(\sin \theta) = \cos \theta d\theta, \quad d(\cos \theta) = -\sin \theta d\theta, \quad d(\tan \theta) = \sec^2 \theta d\theta$$

One thing that occurs fairly often in trigonometric functions is that the variable, the  $\theta$ , the  $x$ , or whatever is not simply  $\theta$  or  $x$  but something more complicated, like  $2x$ , for example.

### 8-12 Find the derivative of $\cos 2x$ .

**Solution:** This kind of problem is best done in an implicit style. Write  $d(\cos 2x)$  and then differentiate according to the formula for the differential of the cosine.

$$d(\cos 2x) = -(\sin 2x)d(2x) = -2(\sin 2x)dx$$

In words, "the differential of  $\cos 2x$  is equal to minus  $\sin 2x$  (times the) differential of  $2x$ ." The differential of  $2x$  is  $2dx$ .

With this experience  $\frac{d}{dx}(\cos 2x) = -2 \sin 2x$ .

The implicit derivative approach with the equation written in one line is the easier method.

---

**8-13** Find  $\frac{dy}{dx}$  for  $y = \sin^3 x$ .

**Solution:** Before differentiating the  $\sin x$  we first have to deal with the cube of  $\sin x$ . If the problem were  $y = u^3$ , the implicit style derivative would read  $dy = 3u^2 du$ .

For the function  $y = \sin^3 x$ , the parallel (approach) derivative would be  $dy = 3(\sin^2 x)d(\sin x)$ . The  $d(\sin x)$  is  $\cos x$ , or  $\frac{dy}{dx} = 3(\sin^2 x)(\cos x)$ .

---

**8-14** Find  $\frac{dy}{dx}$  of  $y = \tan^2 2\theta$ .

**Solution:** Go slowly and don't get confused. Do the tangent squared part of the derivative and then take care of the  $2\theta$  part.

$$dy = 2(\tan 2\theta)d(\tan 2\theta)$$

$$dy = 2(\tan 2\theta)(\sec^2 2\theta)d(2\theta)$$

$$d = 4(\tan 2\theta)(\sec^2 2\theta)d\theta \quad \text{or} \quad \frac{dy}{d\theta} = 4(\tan 2\theta)(\sec^2 2\theta)$$

The problem can be rewritten using the identity  $\sec^2 2\theta = 1 + \tan^2 2\theta$ .

$$\frac{dy}{d\theta} = 4(\tan 2\theta)(1 + \tan^2 2\theta) = 4 \tan 2\theta + 4 \tan^3 2\theta$$

**8-15** What is the angle between  $y = \cos 3x$  and the  $x$ -axis when the curve crosses the axis?

**Solution:** This is one of those innocent-looking problems that looks easy but perhaps is not so easy. Oh, but it is not difficult for Captain Calculus, because he always "thinks calculus."



The phrase "the angle" should trigger a connection between geometry and calculus. To know the slope is to know the angle, so if we know the slope when the curve crosses the axis then we can easily find the angle. The slope, in general, is the derivative.

$$dy = -\sin 3x d3x = -3 \sin 3x dx$$

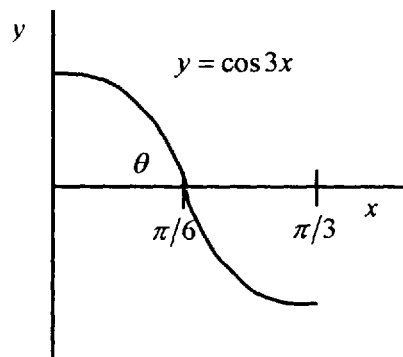
or

$$\frac{dy}{dx} = -3 \sin 3x$$

What we need is the specific slope when the function  $y = \cos 3x$  crosses the  $x$ -axis. We have the general expression for the slope so all we need is the  $x$ -value when the function crosses the  $x$ -axis.

The function  $y = \cos 3x$  crosses the  $x$ -axis when

$$3x = \pi/2 \text{ or } x = \pi/6$$



**Fig. 8-20**



Substituting  $\pi/6$  into the general expression for the slope, we calculate

$$\left. \frac{dy}{dx} \right|_{x=\pi/6} = -3 \sin(3\pi/6) = -3 \sin(\pi/2) = -3$$

Figure 8-20 shows the first quarter cycle of the curve  $y = \cos 3x$ . The slope is clearly negative at this point. The angle between the axis and the curve as shown in Fig. 8-20 has tangent of 3. Solve  $\tan^{-1} \theta = 3$  for  $\theta = 72^\circ$ .

**8-16** The rise and fall of ocean tides follows

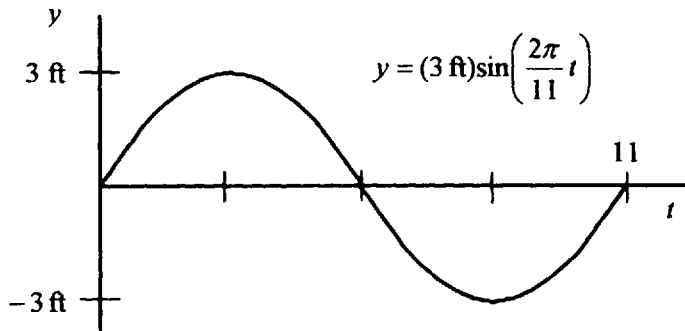
$y = (3 \text{ ft}) \sin\left(\frac{2\pi}{11} t\right)$ , where  $y$  is the relative height of the ocean, taking  $y = 0$  as the mid point between high and low tide, and  $t$  is the time in hours from the mid point in height. When, in the cycle, is the tide rising at its greatest rate, and what is that rate?



**Solution:** The sine function describes the up and down motion of the tide. The 3 ft is the height or depth of the ocean from the midpoint between high and low tide.

The  $2\pi/11$  is determined by the frequency of the tide and the nature of the sine function. The time for one tide cycle is approximately 11 hours. When  $t$  has gone from 0 to 11, the argument of the sine function, the  $(2\pi/11)t$ , has gone from 0 to  $2\pi$ , or through one complete cycle. Read this paragraph until you understand how to write descriptions of processes that vary in a sinusoidal manner.

The function is graphed in Fig. 8-21. The vertical scale shows the 3 ft up and down of the tide and the horizontal scale shows one complete cycle after 11 hours.



The rate at which the tide is rising is the time derivative of  $y$ .

Fig. 8-21

$$\frac{dy}{dt} = (3\text{ft})\left(\frac{2\pi}{11\text{ hr}}\right)\cos\left(\frac{2\pi}{11}t\right) = \left(\frac{6\pi}{11}\frac{\text{ft}}{\text{hr}}\right)\cos\left(\frac{2\pi}{11}t\right)$$

The  $2\pi/11$  has the units  $1/\text{hr}$ . If  $t$  is measured in hours then  $2\pi/11$  must have the units of reciprocal hours so that the sine is of a pure number. It is impossible to take the sine of 3 ft or 6 hours or \$1.25. The only choices in taking a sine are a pure number (radians) or degrees.

The rate at which the tide is rising,  $dy/dt$ , is a maximum when  $\cos(2\pi/11)t$  is maximum. The cosine function is a maximum at 0 or in this case at  $t = 0$ . This point,  $t = 0$ , corresponds to the midpoint between high and low tide (see Fig. 8-21).

The tide is rising at its fastest rate midway between high and low tide.

The maximum rate is  $\frac{2\pi}{11}\frac{\text{ft}}{\text{hr}} = 0.57\frac{\text{ft}}{\text{hr}}$ .

**Second Solution:** Captain Calculus, who always "thinks calculus," would not need to take a derivative to know when the tide was rising at maximum rate. The Captain would look at the sine curve (Fig. 8-21) describing how the ocean level was going up and down with the tide and ask where the slope had the greatest positive value. Just by looking at the curve, the maximum positive slope and the greatest rate of rise of the tide are at the midpoint between high and low tide.

## Integrating Trigonometric Functions

Three basic integral formulas can be obtained by taking the antiderivative of the differential formulas at the beginning of the previous section.

$$\int d(\sin\theta) = \int \cos\theta d\theta, \quad \int d(\cos\theta) = -\int \sin\theta d\theta, \quad \int d(\tan\theta) = \int \sec^2\theta d\theta$$

$$\int \cos\theta d\theta = \sin\theta, \quad \int \sin\theta d\theta = -\cos\theta, \quad \int \sec^2\theta d\theta = \tan\theta$$

Two other popular integrals of trigonometric functions are

$$\int \tan\theta d\theta = -\ln(\cos\theta), \quad \int \cot\theta d\theta = \ln(\sin\theta)$$

These and a few other trigonometric integrals are listed at the back of the book in the Mathematical Tables.

Handling integrals other than the standard integral,  $\int \sin, \cos, \text{ or } \tan(x) dx$ , is a little harder with integrals than it was with differentials. The following problem illustrates the procedure.

**8-17** Find the integral of  $y = \int \sin 2\theta d\theta$ .

**Solution:** Here again is a case where  $\int \sin u du$  is known but this is not the exact problem. The first step in this problem is to make the  $\int \sin 2\theta d\theta$  look like  $\int \sin u du$ .

Constants can be placed inside or outside the integral sign; it makes no difference. To make this problem read  $\int \sin u du$ , multiply by  $2/2$  and take the 2 in the numerator inside the integral and associate it with the  $d\theta$ , and leave the remaining  $1/2$  outside the integral.

$$y = \frac{1}{2} \int \sin 2\theta d2\theta$$

Now the integral is in the form  $\int \sin u du$  and has the following solution.

$$y = \frac{1}{2} (-\cos 2\theta) = -\frac{1}{2} \cos 2\theta$$


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**8-18** Find  $y = \int \sin^3 \theta d\theta$ .

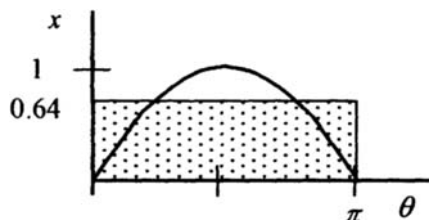
**Solution:** Don't be fooled by this integral. It is not a power law problem. It is not an easy integral and do not get involved in trying to work it out. Go to the Mathematical Tables or some table of integrals and copy the answer.



$$y = \int \sin^3 \theta d\theta = -\frac{1}{3} \cos \theta (\sin^2 \theta + 2)$$

**8-19** Find the average value of the sine function from 0 to  $\pi$ .

**Solution:** The average value of the function uses the definition of the average value of a function over a range (see Chapter 7, Integration). The integration is over the first half-cycle of the sine function as shown in Fig. 8-22. The average value of the function  $x = \sin \theta$  from 0 to  $\pi$  is

**Fig. 8-22**

$$x|_{avg} = \frac{1}{\pi - 0} \int_0^{\pi} \sin \theta d\theta$$

Carry out the integration to find the average value of the sine function over one half-cycle.

$$x|_{avg} = \frac{1}{\pi} [-\cos \theta]_0^{\pi} = \frac{1}{\pi} [-\cos \pi - (-\cos 0)] = \frac{1}{\pi} [-(-1) - (-1)] = \frac{2}{\pi} = 0.64$$

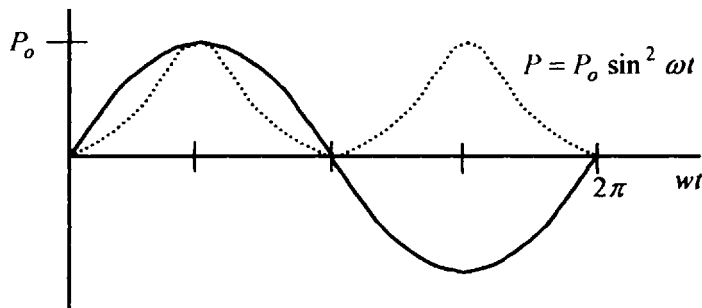
Figure 8-22 shows the rectangle with height 0.64 and base  $\pi$  with area equal to the area under the first half-cycle of  $\sin \theta$ .

**8-20** The power delivered by a loudspeaker is  $P = P_o \sin^2 \omega t$  where  $P_o$  is the peak power and  $\omega$  is a constant with the units of reciprocal time. What is the average power in terms of the peak power?



**Solution:** Start by graphing  $\sin \omega t$  (Fig. 8-23). The  $\omega t$  is not important to the graph. When  $\omega t$  has gone from 0 to  $2\pi$ , the sine function has gone through one cycle.

Now graph the  $\sin^2$  curve. Look first at the range from 0 to  $\pi$ . The  $\sin^2$  curve starts at zero when  $\omega t = 0$ , and goes to 1 when  $\omega t = \pi/2$ , and then back to zero again when  $\omega t = \pi$ .

**Fig. 8-23**

The  $\sin^2$  curve, however, has a different shape from the  $\sin$  curve. The unique shape of the  $\sin^2$  curve is due to the fact that when a number less than 1 is squared, the result is smaller [ $0.5^2 = 0.25$ ]. The smaller the number, the smaller the result on squaring [ $0.9^2 = 0.81$  but  $0.3^2 = 0.09$ ].

When the  $\sin$  curve is negative, the  $\sin^2$  curve is positive (see Fig. 8-23). The  $\sin^2$  curve is periodic in  $\pi$  so the average value of the  $\sin^2$  curve is the average value between 0 and  $\pi$ .

The average value of this  $\sin^2$ -type function follows the definition of the average value of the function.

$$P|_{avg} = \frac{1}{\pi - 0} P_o \int_0^{\pi} \sin^2 \omega t d(\omega t)$$

The  $\int \sin^2 \theta d\theta$  integral is (from the Mathematical Tables)  $\frac{1}{2}\theta - \frac{1}{4}\sin 2\theta$  so

$$P_{avg} = \frac{P_o}{\pi} \left[ \frac{1}{2}\omega t - \frac{1}{4}\sin 2\omega t \right] = \frac{P_o}{\pi} \left\{ \left[ \frac{\pi}{2} - \frac{1}{4}\sin 2\pi \right] - \left[ 0 - \frac{1}{4}\sin 0 \right] \right\} = \frac{P_o}{2}$$

The average power for the loudspeaker is one-half the peak power.

**8-21** What is the area bounded by  $y_1 = \cos x$ ,  $y_2 = \sin x$ , and  $x = 0$ ?

**Solution:** The sine and cosine functions are shown in Fig. 8-24. The integral of the area between the curves is in the  $x$ -direction and has form  $\int (\cos x - \sin x) dx$ . The integral is from  $x = 0$  to the intersection point of the two curves. At this point

$$\sin x = \cos x \quad \text{or} \quad \frac{\sin x}{\cos x} = 1 \quad \text{or} \quad \tan x = 1.$$

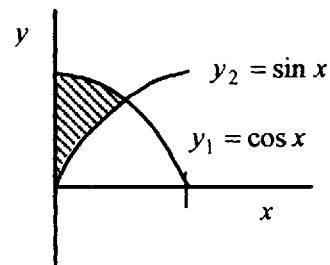


Fig. 8-24

From the graph of  $\tan x$ ,  $\tan x = 1$  when  $x = \pi/4$ .

Check the number in your hand calculator. Take the inverse tangent of 1. Table 8-1 also shows  $\sin x$  equal to  $\cos x$  at  $x = \pi/4$  so the complete integral, complete with limits, is

$$A = \int_0^{\pi/4} (\cos x - \sin x) dx$$

$$A = [\sin x + \cos x]_0^{\pi/4} = \left[ \sin \frac{\pi}{4} + \cos \frac{\pi}{4} \right] - [\sin 0 + \cos 0]$$

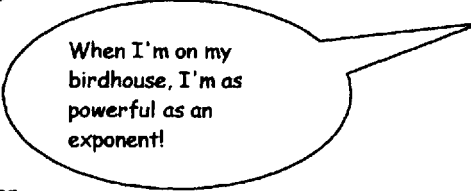
$$A = 0.71 + 0.71 - 1 = 1.41 - 1 = 0.41$$

# 9

## EXPONENTS AND LOGARITHMS

The short review of exponents and logarithms in Chapter 1, Mathematical Background, presumed a rudimentary knowledge of exponents and logarithms. No such presumption is made in this chapter.

Here we start with basic definitions and work up to differentiation and integration of exponential and logarithmic functions.



When I'm on my  
birdhouse, I'm as  
powerful as an  
exponent!



Exponential functions describe a wide variety of phenomena including radioactive decay, bacteria growth, learning retention, growth of investments, proliferation of disease, and on and on, providing many good examples of the application of exponential functions.

The statement of some of these phenomena is often quite simple but the specific laws governing them and the predictive ability of these laws require a good understanding of exponents, logarithms, and calculus. This chapter is very applications oriented. No matter what your field of interest, there will be some applications that bear directly on your area of interest.

### Exponent Basics

A number written as  $2^3$ , which is just a short-hand way of writing  $2 \cdot 2 \cdot 2$ , is a number, 8 in this case, written in exponential form where 2 is called the base and 3 the exponent. Two numbers such as  $3^2$  and  $5^3$  cannot be added and the answer written in a meaningful exponential form. The  $3^2$  is equal to  $3 \cdot 3$  and the  $5^3$  equal to  $5 \cdot 5 \cdot 5$ . There is no combination of 3's and 2's and 5's that represents the addition of the two numbers. The only way to add the numbers is to write  $3^2$  as 9 and  $5^3$  as 125 and add them to obtain 134. Likewise, there is no way to subtract numbers written as exponents. Even  $3^2$  plus  $3^2$  cannot be written as an exponent.

Multiplying is much easier:  $3^4 \cdot 3^3$  is  $3^7$ , just add the exponents. Visualize  $3^4$  as four 3's multiplied together and  $3^3$  as three 3's multiplied together and all of them multiplied together as seven 3's multiplied together.

Dividing is equally easy:  $3^5/3^3 = 3^2$ . Five 3's divided by three 3's means there are two of them remaining in the numerator.

Raising to a power is a slight variation on multiplying. The form  $(2^3)^2$  is viewed as  $2^3$  times  $2^3$  or  $2^6$ .

These examples illustrate the three basic laws of exponents.



$$a^m \cdot a^n = a^{m+n}$$

$$\frac{a^m}{a^n} = a^{m-n}$$

$$(a^m)^n = a^{m \cdot n}$$

Negative exponents mean reciprocal, or one over:  $a^{-m} = \frac{1}{a^m}$ .

The laws of exponents work equally well for negative and fractional exponents.

**9-1** Evaluate:  $2^3 \cdot 2^5$ ;  $\frac{5^7}{5^{11}}$ ;  $3^{-7} \cdot 3^4$ ;  $(4^2)^{-6}$ ;  $(7^{3/2})^2$ ,  $\frac{3^{0.2}}{3^2}$ .

**Solution:** For  $2^3 \cdot 2^5$  add the exponents to obtain  $2^8$ .

For  $\frac{5^7}{5^{11}}$  subtract the exponents keeping the signs correct to obtain  $5^{7-11} = 5^{-4}$ .

For  $3^{-7} \cdot 3^4$  add the exponents keeping the signs correct to obtain  $3^{-7+4} = 3^{-3}$ .

For  $(4^2)^{-6}$  multiply the exponents to obtain  $4^{-12}$ .

For  $(7^{3/2})^2$  multiply the exponents keeping the fractions correct to obtain  $7^3$ .

For  $\frac{3^{0.2}}{3^2}$  read the problem as  $3^{0.2} \cdot 3^{-2}$  and add the exponents to obtain  $3^{-1.8}$ .



## Exponential Functions

Exponential functions are in the form  $y = a^x$ . Taking  $a = 2$  the function reads  $y = 2^x$ . This is a rapidly increasing function as tabulated and shown in Fig. 9-1.

|     |   |   |   |   |     |     |
|-----|---|---|---|---|-----|-----|
| $x$ | 0 | 1 | 2 | 3 | -1  | -2  |
| $y$ | 1 | 2 | 4 | 8 | 1/2 | 1/4 |

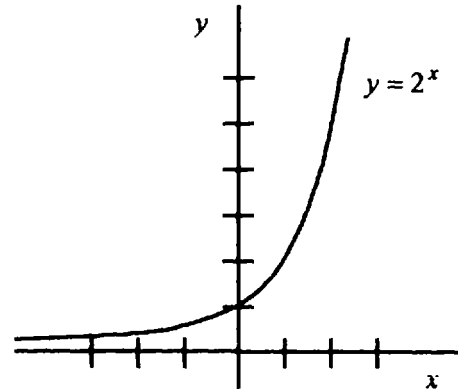


Fig. 9-1

The function  $y = 2^{-x}$  is also interesting and is tabulated and graphed in Fig. 9-2. Remember that any number raised to the zeroth power is 1.

|     |   |     |     |    |    |
|-----|---|-----|-----|----|----|
| $x$ | 0 | 1   | 2   | -1 | -2 |
| $y$ | 1 | 1/2 | 1/4 | 2  | 4  |

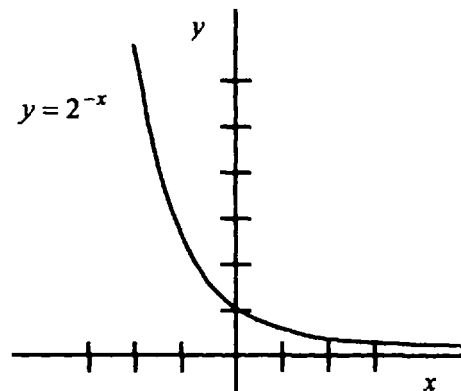


Fig. 9-2

**9-2** Certain cells grow by splitting; one cell begets two and each of these begets two (more) with each cycle taking 3 hours. A simple model for the growth in the number of cells is  $N = N_0 2^{t/3}$ , where  $N_0$  is the number of cells at  $t = 0$  and  $t$  is the time in hours. If 1000 cells are left to grow over 60 hours, how many cells are there at the end of the 60 hours?



**Solution:** At time zero there are 1000 cells. At the end of 3 hours there are 2000 cells, and at the end of 6 hours there is another doubling to 4000 cells. The model as described in words and by the equation  $N = (1000)2^{t/3}$  is consistent. Make the time go on for 9 hours and the number doubles again to 8000 cells.

Use the formula for this specific situation to find the number at 60 hours.

$$N = N_0 2^{t/3} = (1000)2^{60/3} = (1000)2^{20} = 1.0 \times 10^9 \text{ cells}$$

The power of an exponential function to generate large numbers is tremendous.

---

## The Number $e$

The number  $e$ , approximately 2.72, is an irrational number (irrational numbers cannot be written as fractions) that occurs in nature in many different places. Two of the definitions are associated with calculus and are outlined here.

The first definition of  $e$  involves a limit. The number  $e$  is defined as

$$e = \lim_{x \rightarrow \infty} \left( 1 + \frac{1}{x} \right)^x$$

That is a rather strange looking definition but one that is easy to check on your hand calculator.

The definition states that as  $x$  gets closer and closer to infinity, the operation  $\left( 1 + \frac{1}{x} \right)^x$  approaches a limit, the number  $e$ .

Try a few numbers in your hand calculator. These few short calculations produce a value for  $e$  good to three significant figures. Your hand calculator probably computes  $e$  with this algorithm and a high enough value for  $x$  to reproduce the precision appropriate to your calculator.

| $x$  | $1 + \frac{1}{x}$ | $\left( 1 + \frac{1}{x} \right)^x$ |
|------|-------------------|------------------------------------|
| 1    | 1+1               | 2                                  |
| 2    | 1+0.5             | 2.25                               |
| 10   | 1.1               | 2.59                               |
| 100  | 1.01              | 2.70                               |
| 1000 | 1.001             | 2.72                               |

The second definition of  $e$  is that  $y = e^x$  is the exponential function whose derivative is everywhere equal to the value of the function. At  $x = 2$ , the function  $y = e^2$  has value

7.39 and its derivative (slope) is also 7.39. In calculus language this means that

$\frac{d}{dx}(e^x) = e^x$ . The base,  $e$ , is generated by taking the derivative of some general

exponential function  $\frac{d}{dx}(a^x)$  and asking if there is a value of  $a$  such that  $\frac{d}{dx}$  of  $a^x$  is

$a^x$ . Such a number exists and it is the number  $e$ .

These two very calculus oriented questions:

"What number do you get when you take  $\lim_{x \rightarrow \infty} \left(1 + \frac{1}{x}\right)^x$ ?" and

"What value of  $a$  in the function  $y = a^x$  gives a derivative equal to itself?"

produce the number  $e$ .

Most of the exponential problems in the remainder of this chapter will use the number  $e$ . As we get further into the study of logarithms,  $e$  will return again as an important number.

The derivative of the basic exponential function  $e^x$  is  $e^x$ , or

$$d(e^x) = e^x dx \quad \text{or} \quad \frac{d}{dx} e^x = e^x$$

Taking this one step further, any function represented by the symbol  $u$  is differentiated as

$$d(e^u) = e^u du$$

The integral of  $e^u$  is also  $e^u$

$$\int e^u du = e^u$$

**9-3** Find  $\frac{dy}{dt}$  for  $y = e^{at}$ .

**Solution:** The safest way to do this problem is in an implicit derivative format.

$$dy = e^{at} d(at) = a(e^{at})dt \quad \text{and} \quad \frac{dy}{dt} = a(e^{at})$$

**9-4** Find  $\frac{dy}{dt}$  for  $y = e^{t^3}$ .

**Solution:** Again, use an implicit derivative format.

$$dy = e^{t^3} d(t^3) = 3t^2 (e^{t^3}) dt \quad \text{and} \quad \frac{dy}{dt} = 3t^2 (e^{t^3})$$


---

**9-5** Find  $\int e^{3x} dx$ .

**Solution:** Change the integral to  $\frac{1}{3} \int e^{3x} d(3x)$  so it is in standard form,  $\int e^u du = e^u$ , and write

$$\int e^{3x} dx = \frac{1}{3} \int e^{3x} d(3x) = \frac{1}{3} e^{3x}$$


---

**9-6** What is the accumulated balance on \$1000 placed at 6% interest for 5 years if the interest is compounded (a) quarterly or (b) monthly?



**Solution:** If the interest on a principal amount is compounded once at the end of an interval the amount is  $A = P(1+r)$  where  $r$  is the rate of return written as a decimal. A one time 10% interest payment on \$1000 would produce  $A = \$1000(1+0.10) = \$1100$ . If this \$1100 remained at the 10% and the interest compounded again at the end of the next interval the amount would be  $A = [P(1+r)](1+r) = \$1100(1+0.10) = \$1210$ . The expression in brackets represents the amount after one compounding and the entire expression represents the amount after two compoundings.

Depending on the number of compoundings, in general the amount would be  $A = P(1+r)^n$  where  $r$  is the rate for the compounding interval and  $n$  is the number of intervals.

Interest is usually stated on a yearly basis with specified compounding. The phrase "6% compounded quarterly" means that the 6% is divided by 4 for the rate per interval (quarter) and there are 4 intervals per year. In mathematical symbolism

$$A = P \left( 1 + \frac{0.06}{4} \right)^4$$

would be the balance for an amount  $P$  placed at 6% interest for 4 quarters or 1 year.

The stated problem asks for the accumulated balance on \$1000 after 5 years at 6% interest compounded quarterly so the appropriate formula is

$$A = \$1000 \left( 1 + \frac{0.06}{4} \right)^{20} = \$1000(1.015)^{20} = \$1346.86$$

If the compounding is done monthly then the rate has to be divided by 12 and the number of compoundings increased to  $12 \times 5 = 60$ .

$$A = \$1000 \left( 1 + \frac{0.06}{12} \right)^{60} = \$1348.85$$

**9-7** In the previous problem what would be the balance at the end of the 5 years if the compounding were increased to instantaneous compounding?

**Solution:** Start with the statement

$A = P \left( 1 + \frac{r}{k} \right)^{kt}$ , where  $k$  represents the compounding rate (12 for monthly, 365 for daily) and  $kt$  is the number of compoundings over time.

This looks so much like the definition of  $e$ , define  $k/r$  as  $n$  so the expression for the amount reads

$$A = P \left( 1 + \frac{1}{n} \right)^{nr}$$



Now, knowing the laws of exponents,  $A$  can be written as  $A = P \left[ \left( 1 + \frac{1}{n} \right)^n \right]^r$ .

As the number of intervals increases ( $k$  increases and  $k/r$  increases) the compounding

approaches instantaneous and the expression in the brackets becomes  $\lim_{n \rightarrow \infty} \left(1 + \frac{1}{n}\right)^n = e$ .

So in the limiting case of instantaneous compounding the amount is  $A = Pe^{rt}$ .

For this problem with  $P = \$1000$ ,  $r = 0.06$ , and  $t = 5$  years, the maximum balance for instantaneous compounding is

$$A = \$1000(e^{0.06 \cdot 5}) = \$1000(e^{0.3}) = \$1349.85$$


---

## Logarithms

There are several definitions of logarithms. We will consider only the simpler ones. Further, we will consider only natural, or base  $e$ , logarithms.



The simplest definition of a logarithm is that it is a function that allows the exponential equation  $y = e^x$  to be written in the form  $x = \dots$ . The equation  $y = e^x$  cannot be solved for  $x$  with conventional algebraic methods. The logarithmic function is the way out of this dilemma. The equivalence between exponents and logarithms is

$$y = e^x \quad \Leftrightarrow \quad \ln y = x$$

Although there are exponential equations other than base  $e$ , most of the exponential and logarithmic functions you encounter will be base  $e$ . Your hand calculator uses base  $e$  and base 10, though base 10 is used rarely. The notation  $\ln$ , as opposed to  $\log$ , specifies base  $e$ . The logarithmic equation just above is read as "log base  $e$ " or "ln  $e$ " or simply "log" with the later presuming that if the base were other than  $e$  it would be specified.

Run a few numbers on your calculator to become familiar with taking logarithms and calculating with exponents. This is not something you do every day and you don't want to make a calculating mistake on a test.

On your hand calculator raise  $e$  to a power, then take the  $\ln$  of that number to return to the original power (number). [ $e^3 = 20$ ;  $\ln 20 = 3$ ] As you go through the problems in this chapter keep your calculator handy and practice "punching the numbers."

**9-8** Solve the equations  $7 = e^x$  and  $\ln u = 1.4$ .

**Solution:** The equation  $7 = e^x$  has to be switched to a logarithmic one:  $x = \ln 7$  with  $x = 1.95$ .

The equation  $\ln u = 1.4$  has to be switched to an exponential one:  $u = e^{1.4}$  with  $u = 5.68$ .

The key phrase to remember in switching from an exponential equation to a logarithmic equation and vice versa is "a logarithm is an exponent." The logarithm of something is a number and that number is the exponent of  $e$ .



First let's handle that new buzz phrase: "a logarithm is an exponent, a logarithm is an exponent . . ."

There are manipulative laws for logarithms that parallel similar laws for exponents.

$$\ln(uv) = \ln u + \ln v$$

$$\ln \frac{u}{v} = \ln u - \ln v$$

$$\ln u^n = n \ln u$$



A little manipulation of exponents will verify the first law.

Set  $k = \ln u$  and  $l = \ln v$  so that  $e^k = u$  and  $e^l = v$ .

Form the product  $uv = e^k \cdot e^l = e^{k+l}$ , and convert to a logarithm equation  $\ln uv = k + l = \ln u + \ln v$ .

The derivative of  $\ln x$  is

$$d(\ln x) = \frac{1}{x} dx \quad \text{or} \quad \frac{d}{dx}(\ln x) = \frac{1}{x}$$

**9-9** Find  $\frac{dy}{dx}$  of  $y = x^2 \ln x$ .

**Solution:** Use the chain rule and go slowly.

$$dy = x^2 d(\ln x) + (\ln x) d(x^2) = x^2 \frac{1}{x} dx + (\ln x)(2x dx) = (x + 2x \ln x) dx$$

or

$$\frac{dy}{dx} = x(1 + 2 \ln x)$$


---

**9-10** Find  $\frac{dy}{dx}$  for  $y = \ln(2x^2 + 1)$ .

**Solution:** 
$$dy = \frac{1}{2x^2 + 1} d(2x^2 + 1) = \frac{1}{2x^2 + 1} (4x) dx = \frac{4x}{2x^2 + 1} dx$$

or

$$\frac{dy}{dx} = \frac{4x}{2x^2 + 1}$$


---

**9-11** Find the derivative of  $y = x^{1.5} \ln(x^2 + 2)$ .

**Solution:** This looks bad. But, if you proceed slowly, applying the rules one at a time, the differentiation is not all that difficult. The hard part is proceeding logically. This is a product so write

$$dy = x^{1.5} d[\ln(x^2 + 2)] + \ln(x^2 + 2) dx$$

The differential of  $\ln(x^2 + 2)$  is  $\frac{1}{x^2 + 2} d(x^2 + 2) = \frac{2x dx}{x^2 + 2}$ .

The differential of  $x^{1.5}$  is  $x^{0.5} dx$ .

Putting it all together we write

$$dy = x^{1.5} \frac{2x dx}{x^2 + 2} + x^{0.5} \ln(x^2 + 2) dx \quad \text{or} \quad \frac{dy}{dx} = \frac{2x^{2.5}}{x^2 + 2} + x^{0.5} \ln(x^2 + 2)$$



**9-12** Find the derivative of  $y = e^{-x} \sin x$ .

**Solution:** This is a product. Proceed methodically and the problem is not difficult.

$$dy = e^{-x} d(\sin x) + \sin x d(e^{-x}) = e^{-x} (\cos x) dx - e^{-x} (\sin x) dx$$

$$\frac{dy}{dx} = e^{-x} (\cos x - \sin x)$$


---

There is a simple rule for differentiating logarithmic functions that some authors use.

$$\text{If } y = \ln f(x) \text{ then } \frac{dy}{dx} = \frac{\frac{d}{dx}(f(x))}{f(x)}.$$

This is equivalent to using the chain derivative approach and the derivative of a logarithm as defined in the Mathematical Tables.

$$dy = \frac{1}{f(x)} d(f(x))$$

Verify for yourself that the two forms are equivalent by working problem 9-10 both ways.

Integration of the logarithmic function follows  $\int \ln x dx = x \ln x - x$  which is used so rarely that we only give this one example.

**9-13** Find  $y = \int \ln 2x dx$ .

**Solution:**

$$y = \frac{1}{2} \int \ln 2x d(2x) = 2x \ln 2x - 2x$$

## Growth and Decay Problems

The growth and decay model is appropriate to many phenomena, such as seemingly diverse problems as population growth, radioactive decay, the spread of disease, the cooling of a cup of coffee, and the number of yeast in a culture, just to name a few. Before working some problems, a very simple model of bacteria or yeast growth will be developed and worked through in detail. Notice the pattern in the problem. Many mathematical models of different phenomena parallel this one.



A simple experiment performed in elementary chemistry, biology, or physical science courses is the growth of bacteria or yeast. In this experiment a certain number (the number is often determined or measured by weight) of bacteria are placed in a nutrient environment. This means that the bacteria have optimum growing conditions, food, temperature, etc. Their growth is then limited by their growth mechanism and not by anything external.

The bacteria grow by budding, one bacteria grows on another, splitting, each bacteria divides producing two identical bacteria, so that each bacteria over an average time period becomes two bacteria and these two repeat the same process in the same time period and on and on. At any time in the process the number of bacteria produced per unit of time is proportional to the number present. This is the mathematical statement of the growth model for bacteria. In symbolic form,  $dN/dt$ , the number produced per unit of time is proportional to the number present,  $kN$ .

$$\frac{dN}{dt} = kN$$

Solving this statement for  $N$  as a function of time is a calculus problem, and one we already have some experience with.

In practical terms the difficulty with the rate statement is that the  $N$  is on the wrong side of the equation. It needs to be associated with the  $dN$  if we are to make any progress toward a solution. A little algebra fixes this.

$$\frac{dN}{N} = kt$$

Now integrate both sides of the equation. (Remember:  $\int d(\ln x) = \int \frac{dx}{x}$  so  $\int \frac{dx}{x} = \ln x$ )

$$\int \frac{dN}{N} = \int k dt \quad \Leftrightarrow \quad \ln N = kt + C$$

The constant is required because there are no limits on the integrals.

In this problem, as with every problem in growth or decay, there is an initial amount of material. In this case there is an initial number of bacteria at the start of the experiment. Call this initial amount  $N_o$ . In the language of mathematics, at  $t=0$ ,  $N=N_o$ . Substitute these values into  $\ln N = kt + C$ .

$$\ln N_o = k(0) + C \quad \text{so} \quad C = \ln N_o$$

With the constant evaluated in terms of the initial amount of material the basic relation is

$$\ln N = kt + \ln N_o \quad \text{or} \quad \ln N - \ln N_o = kt \quad \text{or} \quad \ln \frac{N}{N_o} = kt$$

If you had any trouble manipulating the logarithms in the previous line, go back and review the manipulative rules for logarithms.

At this point switch to an exponential format.

$$\ln \frac{N}{N_o} = kt \quad \Leftrightarrow \quad \frac{N}{N_o} = e^{kt} \quad \text{or} \quad N = N_o e^{kt}$$

This last statement correctly describes the model. The number of bacteria at any time starts out at  $N_o$  ( $e^0 = 1$ ) and increases with time in an exponential manner.

This  $N = N_o e^{kt}$  is the general growth law for something with growth proportional to the number present. Some text authors begin the discussion of growth and decay with this equation. This approach is simple but neglects the development of a mathematical model of a simple statement that "the growth of . . . is proportional to the number of . . . present at any time." A little reflection will convince you that this model fits many different phenomena.

Suppose in this bacteria growth problem that 100 bacteria are introduced into a growth environment (water, nutrients, etc.) and that 2 hours later the bacteria are separated from the environment or otherwise identified and that their number has increased to 130. Can this information be used to determine the growth law?

With these two numbers,  $N$  and  $N_o$ , and the time interval the constant  $k$  can be evaluated. The calculation is a little logarithm and exponent intense but follow along with your calculator. Substitute as follows:

$$130 = 100e^{2k} \quad \text{or} \quad 1.30 = e^{2k}$$

To solve for  $k$  switch  $1.30 = e^{2k}$  to a logarithmic equation. (Buzz Word Bee says "A logarithm is an exponent.") The logarithm of something is an exponent so

$$\ln 1.30 = 2k \quad \text{or} \quad k = \frac{1}{2} \ln 1.30 = 0.13$$

The specific law governing the growth of these bacteria in this environment is

$$N = N_o e^{0.13t}$$

With this law it is possible to predict how many of these bacteria would be present, say, after 12 hours and starting with 50 bacteria. Put in the 50 for  $N_o$  and the 12 hours for  $t$  and we get

$$N = 50e^{0.13 \cdot 12} = 50(4.76) = 238$$

This model that starts with the statement that the growth rate is proportional to the amount present can, with a modest amount of calculus and initial information, be used to predict future growth.



Pattern

There is a standard pattern to growth and decay problems that always works. The general procedure for these problems is outlined below.

- 1) Any problem where the number of events is proportional to the number of participants present can be written as  $dN/dt$  equals a constant ( $+k$  for growth and  $-k$  for decay) times the number:  $\frac{dN}{dt} = \pm kN$ .
- 2) Rearrange to  $\frac{dN}{N} = \pm k dt$  and integrate  $\int \frac{dN}{N} = \pm k \int dt$  to get  $\ln N = \pm kt + A$ . Take an initial number  $N_o$ , at  $t = 0$ , to evaluate  $A = \ln N_o$ , and write  $\ln N = \pm kt + \ln N_o$ .
- 3) Rearrange the equation to  $\ln \frac{N}{N_o} = \pm kt$  and switch to an exponential format  $\frac{N}{N_o} = e^{\pm kt}$  or  $N = N_o e^{\pm kt}$ .
- 4) One data point, a certain  $N$  at a specific time, allows calculation of  $k$ . (For example, a 20% increase in  $N_o$  in one hour means  $1.2N_o = N_o e^{1k}$  or  $1.2 = e^{1k}$ . Switch to a logarithmic equation and  $k = \ln 1.2 = 0.69$  and finally write  $N = N_o e^{0.69t}$ .)

- 5) With the calculation of  $k$ , the specific growth or decay equation is written for the same conditions that produced the initial data. With this specific growth or decay equation  $N$  at any time can be predicted.

Refer to this procedure in subsequent problems. It is a very logical procedure for growth and decay problems and it works. Growth and decay problems are favorite test problems. Know how to work them and especially know how to switch from exponential equations to logarithmic equations and vice versa and know how to take logs and perform exponentiation on your hand calculator.

**9-14** If "a fool and his money are soon parted," the rate at which it leaves is probably proportional to the amount remaining. If a certain fool starting with \$20,000 starts gambling his money away and after 2 hours has lost \$2000, how long will it take for him to lose 90% of the original amount?



**Solution:** The basic assumption in this problem is that the fool will lose in proportion to the amount he has at any time. Humans are a little harder to predict than bacteria, but this is a good assumption. Follow the procedural steps as written previously and be aware of the logic in the problem.

Step 1: The statement "the rate at which the fool loses money is proportional to the amount present" means that

$$\frac{dA}{dt} = -kA$$

Step 2: Rearrange, integrate, and evaluate the constant of integration with the initial data.

$$\frac{dA}{A} = -kdt, \quad \int \frac{dA}{A} = -k \int dt, \quad \ln A = -kt + C$$

At  $t = 0$ , the fool has \$20,000, so  $\ln 20,000 = -k(0) + C$  and  $C = \ln 20,000$ .

Now the equation reads

$$\ln A = -kt + \ln 20,000$$

Step 3: Rearrange and switch to exponents.

$$\ln \frac{A}{20,000} = -kt, \text{ and switching to exponents } \frac{A}{20,000} = e^{-kt} \text{ or } A = 20,000e^{-kt}.$$

Step 4: Use the given data to determine  $k$ .

At  $t = 2$  hrs,  $A$  has declined to 18,000, so put these numbers into the amount statement and find  $k$ .

$$18,000 = 2000e^{-2k}, \quad 0.9 = e^{-2k}$$

Switch to logarithms to solve this equation for  $k$ .

$$-2k = \ln(0.9), \quad k = -\frac{\ln(0.9)}{2} = 0.053$$

As you were following along this problem and "punching the numbers," so you would be very proficient at this logarithm and exponent calculating for the test on this topic, you may have noticed that your calculator displayed a negative number for  $\ln(0.9)$ . This is correct. In the original statement of the problem,  $dA/dt = -kA$  so that the calculation of  $k$  should produce a positive number. The reason for the  $\ln$  of numbers less than 1 being negative has to do with one of those other definitions of the  $\ln$  and will be taken up shortly.

Step 5: The specific equation for this situation is  $A = 20,000e^{-0.053t}$ .

The time for 10% remaining is the time for  $A$  to reach 2000. Substitute for  $A = 2000$  and solve for  $t$ .

$$2000 = 20,000e^{-0.053t}, \quad 0.1 = e^{-0.053t}$$

Switching to logarithms,  $\ln(0.1) = -0.053t$  or  $t = -\frac{1}{0.053} \ln(0.1) = 43$  hrs.

Based on this model, it would take this particular fool 43 hours to loose 90% of an original amount of \$20,000.

**9-15** Hot or cold objects cool down or heat up to the temperature of their surroundings. The temperature difference,  $\Delta T$ , between the object and its surroundings decreases over time in proportion to that temperature difference. This is Newton's Law of Cooling. If a cup of coffee cools from  $85^\circ\text{C}$  to  $80^\circ\text{C}$  in 2 minutes in room temperature surroundings, how long does it take for the coffee to cool from  $85^\circ\text{C}$  to  $30^\circ\text{C}$  ( $20^\circ\text{C}$  is room temperature)?

**Solution:** Don't be fooled by the wording of this problem. It is not the temperature that is important, but the difference in temperature between the coffee and its surroundings. The definitive statement is "the change in the temperature difference is proportional to the difference." Call  $\Delta T$  the difference in temperature between the coffee and its surroundings. The mathematical statement of Newton's Law of Cooling then is

$$\frac{d(\Delta T)}{dt} = -k(\Delta T)$$



Rearrange and integrate.

$$\frac{d(\Delta T)}{\Delta T} = -k dt, \quad \int \frac{1}{\Delta T} d(\Delta T) = -k \int dt, \quad \ln(\Delta T) = -kt + C$$

When the coffee starts cooling, the temperature difference is  $65^\circ \text{C} = (85 - 20)^\circ \text{C}$  so

$$\ln 65 = -k(0) + C \quad \text{and} \quad C = \ln 65 \quad \text{so the equation becomes}$$

$$\ln(\Delta T) = -kt + \ln 65 \quad \text{or} \quad \ln \frac{\Delta T}{65} = -kt$$

Switching to exponents we write

$$\frac{\Delta T}{65} = e^{-kt} \quad \text{or} \quad \Delta T = 65e^{-kt}$$

Be careful with this next step. The temperature changes by  $5^\circ \text{C}$  so the temperature difference is now  $60^\circ \text{C}$ , and this occurs over 2 minutes so put in these values and evaluate  $k$ .

$$60 = 65e^{-2k}, \quad \frac{60}{65} = e^{-2k}, \quad \text{and switching to logarithms}$$

$$-2k = \ln \frac{60}{65}, \quad k = -\frac{1}{2} \ln \frac{60}{65} = 0.04$$

The temperature difference statement is now

$$\Delta T = 65e^{-0.04t}$$

Be careful again. Go back and read the question and make sure you understand that the desired time is for the temperature to reach a  $10^\circ\text{C}$  difference between the coffee and its surroundings. Use the  $10^\circ\text{C}$  temperature difference and calculate the time.

$$10 = 65e^{-0.04t}, \quad \frac{10}{65} = e^{-0.04t}, \quad -0.04t = \ln \frac{10}{65}, \quad t = -\frac{1}{0.04} \ln \frac{10}{65} = 47 \text{ minutes}$$

It takes 47 minutes for this cup of coffee to cool to within  $10^\circ\text{C}$  of room temperature.

**9-16** A wildlife manager needs to reach a 10,000 population of mule-eared deer in a certain habitat in 6 years. There are presently no deer in the habitat. The environment is such that the deer can grow without being limited by their environment. This means that the growth of the deer population will be proportional to the population,  $dP/dt = kP$ . In order to determine the growth equation, 100 deer are introduced into the habitat. There are half males and half females, the same ratio as when they reproduce. At the end of the year there are 130 deer. How many deer need to be introduced to the habitat to achieve the 10,000 goal in the remaining 5 years?

**Solution:** The first part of the problem is to determine the growth equation. Starting with

$$\frac{dP}{dt} = kP, \quad \text{the general growth equation is } P = P_0 e^{kt}.$$

The 100 deer population grows to 130 in 1 year so put this data into  $P = P_0 e^{kt}$  and determine  $k$ .

$$130 = 100e^{1k} \quad \text{or} \quad 1.30 = e^{1k} \quad \text{so that on switching,} \quad \ln 1.30 = k \quad \text{or} \quad k = 0.26.$$

The specific growth law for these deer in this habitat is  $P = P_0 e^{0.26t}$ .

Now solve for the initial number  $P_0$  needed to produce the 10,000 population in 5 more years.

$$10,000 = P_0 e^{0.26 \cdot 5} = P_0 e^{1.30} = 3.67P_0 \quad \text{or} \quad P_0 = 2725$$

This number minus the 130 already there, or  $2725 - 130 = 2595$ , deer need to be introduced to achieve the 10,000 goal in the prescribed time.



## The Natural Logarithm

Another and more formal definition of the natural logarithm relates the  $\ln x$  to portions of the area under the curve  $y = 1/t$ .

The natural logarithm of any number  $x$  is

defined by  $\ln x = \int_1^x \frac{dt}{t}$ .

The curve  $y = 1/t$  and the graphical depiction of  $\ln x$  as the area under the curve are shown in Fig. 9-3. The area under the curve between  $x=1$  and  $x=2$  is  $\ln 2$ . This area can be determined by taking as many narrow trapezoids or rectangles approximating this area as necessary to achieve a desired precision.

Look more closely at the piece of the curve between  $t=1$  and  $t=1.1$  (Fig. 9-4). The area under this part of the curve is approximated by the area of the rectangle  $0.10 \times 0.91 = 0.091$  and the (area of the) small triangle  $(1/2)(0.10)(0.09) = 0.0045$ .

The total area of this rectangle and triangle is 0.0955, thus  $\ln 1.1 = 0.0955$ . The logarithm produced in most hand calculators is 0.0953, just a little bit smaller than this number as is expected from the shape of the curve.

For numbers less than 1, the integration in  $dx$  is in the negative direction. This produces the negative numbers for  $\ln$ 's of numbers less than 1.

The function  $y = \ln x$  is shown in Fig. 9-5. Referring to Fig. 9-3 and remembering that the definition of  $\ln x$  is the area under the curve, note the following features of the  $\ln x$  curve.

- Only positive values of  $x$  are allowed.
- $\ln 1$  is zero (no area).
- As  $x$  goes from 1 to zero,  $\ln x$  (the area) goes from 0 to large negative numbers.

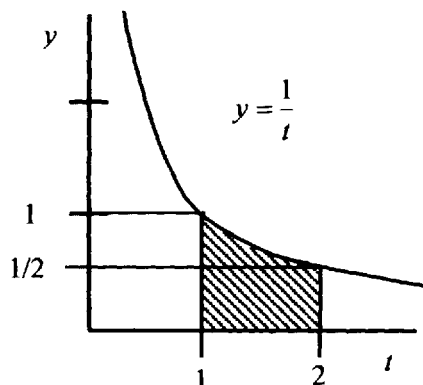


Fig. 9-3

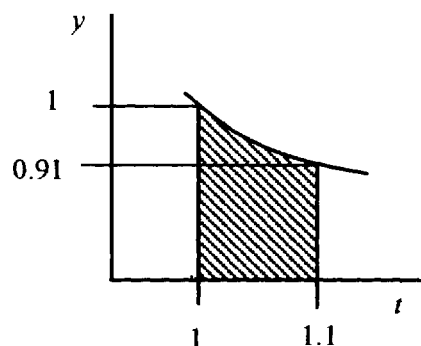


Fig. 9-4

- As  $x$  goes from 1 to large positive numbers,  $\ln x$  increases with the increase less and less as  $x$  goes to large positive numbers.

The connection between this definition of the natural logarithm and the constant  $e$  is amazing! The constant  $e$  raised to the power equal to the area under the curve is equal to the upper limit of the integral.

The precise calculation of the area corresponding to an upper limit for the integral of 1.1 is 0.0953.

$$e^{\text{area}} = \text{upper limit of area calculation}$$

$$\ln(\text{upper limit of area calculation}) = \text{area}$$

Verify for yourself that  $e^{0.0953} = 1.1$ , and that  $\ln 1.1 = 0.0953$ .

Again, a reasonably simple area problem in calculus produces a number that occurs other places in nature.

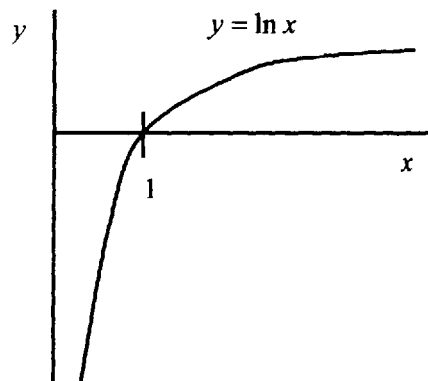


Fig. 9-5

## More Exponential Functions

In many real-life problems growth is limited. Exponential models are used to describe limited growth. The simplest model for limited growth involving exponentials is one in the form  $N = N_o(1 - e^{-kt})$ . This statement is the result of a rate equation, as were the growth and decay equations, but the complexity of these rate equations places them above the level of this book. Therefore, we will discuss limited growth exponentials starting with equations with the form  $N = N_o(1 - e^{-kt})$ .

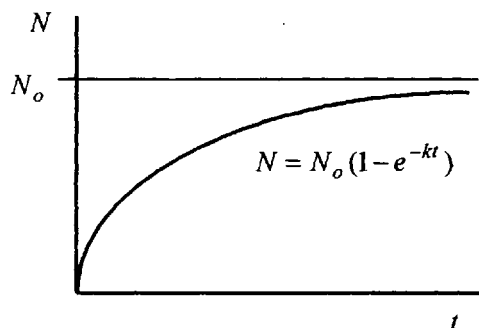


Fig. 9-6

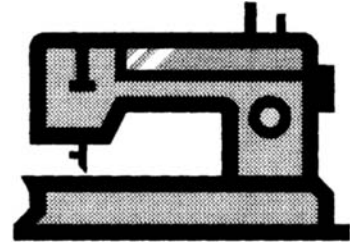
This equation is depicted in Fig. 9-6. Note that the  $N$  starts at zero at time zero. In  $N = N_o(1 - e^{-kt})$  when  $t = 0$ ,  $e^0 = 1$  and  $N = N_o(1 - 1) = 0$ . After a long time,  $e^{-kt}$  or  $1/e^{kt}$  becomes very small so that  $N$  approaches  $N_o$ . The slope of the curve is the derivative, or

$$dN = d(-N_o e^{-kt}) = -N_o d(e^{-kt}) = -N_o e^{-kt} d(-kt) = -N_o (-k) e^{-kt} dt$$

$$\frac{dN}{dt} = N_o k e^{-kt} = N_o k \frac{1}{e^{kt}}$$

At  $t = 0$  the slope is (positive)  $N_o k$  and as time goes on the slope decreases. This type of curve is sometimes called the learning curve because it describes someone learning a skill and eventually reaching a limit in productivity with that skill.

**9-17** Workers hired to assemble sewing machines become more skilled with experience. The most experienced workers can assemble 10 sewing machines per day. The learning curves are different for different workers but they all eventually reach a peak production of 10 sewing machines per day. A newly hired assembler learns to assemble 5 sewing machines per day after 6 working days. How long will it take for this worker to reach 9 sewing machines per day?



**Solution:** The simple learning curve model is most appropriate for this problem.  $N_o = 10$  is the maximum rate of sewing machine assembly. The general equation governing the number of sewing machines assembled per day then is

$$N = 10(1 - e^{-kt}) = 10 - 10e^{-kt}$$

The  $k$  can be determined with the information that after 6 days this particular worker can assemble 5 sewing machines per day. Substitute  $N = 5$  and  $t = 6$  and solve for  $k$ .

$$5 = 10 - 10e^{-6k}, \quad -5 = -10e^{-6k}, \quad e^{-6k} = \frac{1}{2},$$

and switching to logarithms

$$\ln \frac{1}{2} = -6k, \quad k = -\frac{1}{6} \ln \frac{1}{2} = 0.115$$

The specific learning equation for this worker is:

$$N = 10(1 - e^{-0.115t})$$

The time for this worker to achieve a rate of 9 sewing machines per day is obtained by putting in 9 for  $N$  and solving for  $t$ .

$$9 = 10 - 10e^{-0.115t}, \quad -1 = -10e^{-0.115t}, \quad 0.1 = e^{-0.115t}$$

and switching to logarithms

$$-0.115t = \ln 0.1, \quad t = -\frac{1}{0.115} \ln 0.1 = 20 \text{ days}$$

It will take this worker 20 days to be able to assemble 9 sewing machines per day.

Another type of exponential function used to describe limited growth has the form

$$R = \frac{A}{1 + Be^{-kt}}$$

At  $t = 0$ ,  $R = \frac{A}{1+B}$ . This is the present

rate or number, whatever  $R$  represents.

As  $t$  goes to infinity,  $e^{-kt} = 1/e^{kt}$  goes to 0 and  $R$  approaches  $A$ .  $A$  is the maximum rate or number.

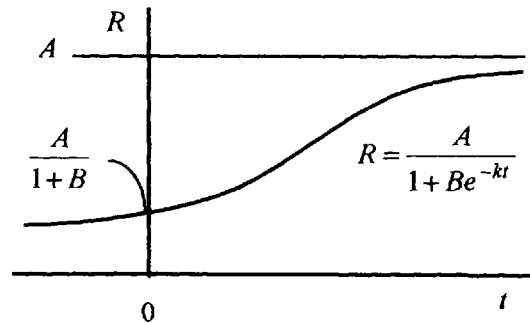


Fig. 9-7

This curve has the general shape shown in Fig. 9-7.

Many industries follow this type of a growth curve. When a new product is introduced there is considerable demand, but as more and more people acquire the product sales drop to a level determined by the number of new people entering the marketplace and replacement of old or outdated product. The automobile industry is an excellent example of this type of growth.

**9-18** A microchip production line has a theoretical maximum output of 400 chips per day. The factory production managers know from experience that new microchip production lines reach maximum production according to  $R = \frac{4}{1+3e^{-0.08t}}$  where  $R$  is in hundreds of chips per day.

Sketch the function and find the production rate on the first day of operation, the tenth day of operation, and finally, the maximum rate of production.

**Solution:** The curve is the standard one shown in Fig. 9-8.

Take  $t=0$  for the first day of production so we have

$$R = \frac{4}{1+3(1)} = \frac{4}{4} = 100 \text{ chips per day.}$$

Take  $t=10$  for the tenth day of production so

$$R = \frac{4}{1+3e^{-0.08(10)}} = \frac{4}{1+3(0.45)} = 170 \text{ chips per day.}$$

As  $t$  goes to infinity, the denominator in the rate equation goes to 1 and the maximum rate goes to 400 chips per day.

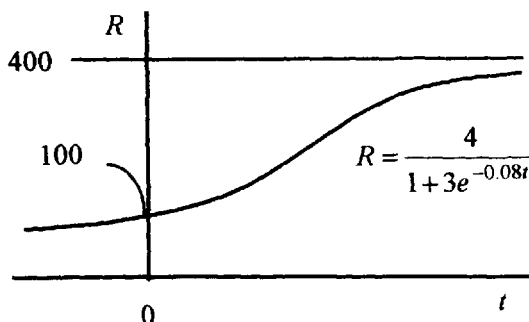
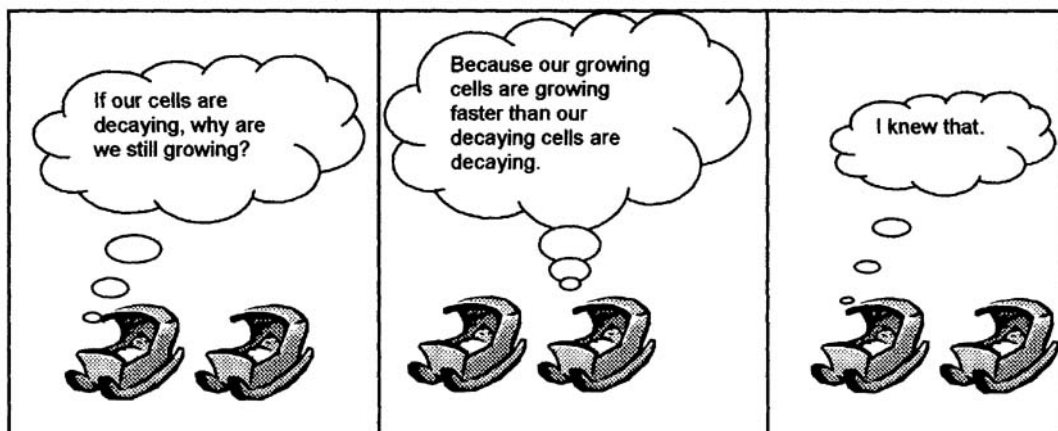


Fig. 9-8



# 10

## MORE INTEGRALS

There is an almost limitless supply of increasingly complex integrals and applications of integrals. Depending on your interests, certain topics and integrals in this chapter may be very interesting to you while others may be completely uninteresting.



If you are looking for help with a particular integral or a particular application, you may want to survey the chapter to find those problems and associated discussions that fit your interest. We have concentrated on four topics: volumes, arc lengths, surface areas, and non-standard integrals that occur often in real-world problems. This latter area is often called techniques of integration, the name suggesting the study of integration techniques that work for a number of different problems. Most texts and extensive integral tables are organized around various categories of integrals. Within the space limitations, we have attempted to pick those integrals and applications that will help the largest number of people. We start with a discussion of volumes.

### Volumes

Finding volumes of non-standard geometric shapes can only be accomplished with calculus. This work is a logical extension of the study of the calculation of areas using calculus. You will find many parallels between area and volume calculations. Finding volumes is also extremely visual. If you can visualize the problem, you can usually do it. We start with some simple problems and work up to the more challenging ones. The first problem uses the method of disks to calculate the volume generated by rotating a parabola of a fixed height about its symmetry axis. Next the problem is done again using the method of cylindrical shells.

**10-1** Find the volume generated by rotating  $y = x^2$  about the  $y$ -axis and bounded by the plane  $y = 4$ .

In two dimensions,  $y = 4$  defines a horizontal line at  $y = 4$ . In three dimensions,  $y = 4$  defines a plane normal to the  $y$ -axis and parallel to the  $x$ - $z$  plane.

**Solution:** This is a three-dimensional picture. Start with the  $y = x^2$  curve from  $y = 0$  up to  $y = 4$  (and  $x = \pm 2$ ). The rotation of this part of the parabola about the  $y$ -axis produces a rounded cone shape (Fig. 10-1).

The volume can be viewed as a collection (integral?) of disks of width  $dy$  and radius dictated by the radius of the cone. The volume of each of these disks is generically  $\pi \times (\text{radius})^2 \times \text{thickness}$ . The radius of the disk is  $x$  so the differential volume of each disk can be written  $\pi x^2 dy$ . The sum of all these disks is an integral over  $y$ .

Start by writing  $\int \pi x^2 dy$ .

The first thing wrong with this integral is the  $x^2$  term. If the integral is over  $dy$ , we can't have  $x$ 's under the integral sign. Replace  $x^2$  by its equivalent,  $y$ .

The next thing that needs to be added to the integral is the limits. There are none. Integration in the  $y$ -direction is from  $y = 0$  to  $y = 4$ . The curve starts at  $y = 0$ , and the problem gives the upper boundary as the plane  $y = 4$ .

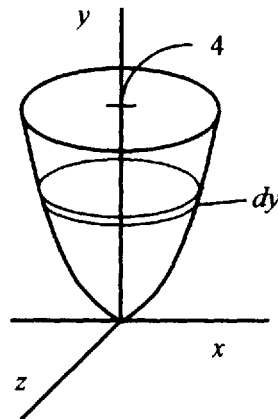


Fig. 10-1

The volume integral is

$$V = \pi \int_0^4 y dy = \pi \left. \frac{y^2}{2} \right|_0^4 = 8\pi$$

**10-2** Find the volume generated by rotating  $y = x^2$  about the  $y$ -axis and bounded by the plane  $y = 4$  using the method of cylindrical shells.

**Solution:** In the previous problem the volume was visualized as a stack of disks of thickness  $dy$ . This is the method of disks.



This problem prescribes using the method of cylindrical shells. Visualize a cylinder, actually a cylindrical shell, of radius  $x$ , height the difference between  $y = 4$  and  $y = x^2$  and width  $dx$ .

The volume of the cylindrical shell, as shown in Fig. 10-2, is  $2\pi$  times the radius times the height of the shell times the thickness of the shell. The  $2\pi$  times the radius effectively wraps the rectangle of height between the curves and width  $dx$  around the  $y$ -axis.

The radius is  $x$ , the height of the rectangle is  $(4 - x^2)$  (the top of the rectangle is at  $y = 4$  and the bottom of the rectangle is on the  $y = x^2$  curve), and the width is  $dx$ , so the differential volume is  $2\pi x(4 - x^2)dx$ . The sum of these cylindrical shells is an integral over  $x$ .

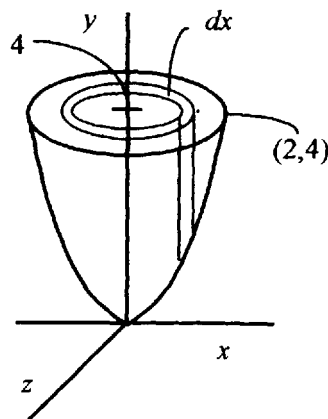


Fig. 10-2

Start by writing an integral:

$$\int 2\pi x(4 - x^2)dx$$

The integral is in  $x$  from 0 to 2 so

$$V = \int_0^2 2\pi x(4 - x^2)dx = 2\pi \int_0^2 (4x - x^3)dx = 2\pi \left[ \frac{4x^2}{2} - \frac{x^4}{4} \right]_0^2 = 2\pi [8 - 4] = 8\pi$$

**10-3** Find the volume generated by rotating the area bounded by  $x^2 + y^2 = 25$ ,  $x = 5$ , and  $y = 4$  about the  $x$ -axis.

**Solution:** Start by finding the area to be rotated. The line  $x = 5$  and the circle intersect at  $x = 5$  on the  $x$ -axis. The line  $y = 4$  intersects the circle when  $y = 4$  ( $x^2 + 4^2 = 25$ ,  $x^2 = 9$ ,  $x = 3$ ). The circle and the line  $y = 4$  intersect at  $(3, 4)$ .

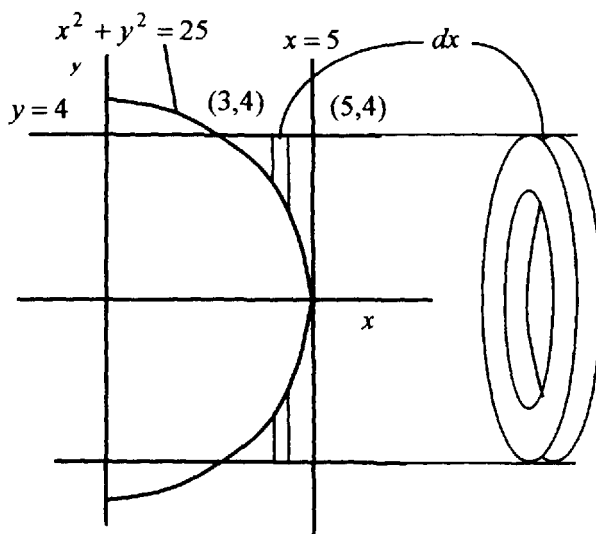


Fig. 10-3

Visualize the volume obtained by rotating this area about the  $x$ -axis as composed of disks with outer radius equal to 4, inner radius on the circle, and width  $dx$ . See Fig. 10-3. The outer radius of the disk is 4 and the inner radius is the solution of  $x^2 + y^2 = 25$  for  $y$  ( $x^2 + y^2 = 25$ ,  $y = \sqrt{25 - x^2}$ ). The differential volume of the disk is  $\pi[(\text{outer radius})^2 \text{ minus } (\text{inner radius})^2] \text{ times } dx$ . The integral in  $dx$  is from 3 to 5, so the volume integral is

$$V = \int_3^5 \pi[4^2 - (25 - x^2)]dx = \pi \int_3^5 (16 - 25 + x^2)dx = \pi \int_3^5 (-9 + x^2)dx = \pi \left[ -9x + \frac{x^3}{3} \right]_3^5$$

$$V = \pi \left\{ \left[ -45 + \frac{125}{3} \right] - \left[ -27 + 9 \right] \right\} = \pi \left\{ -45 + \frac{125}{3} + 27 - 9 \right\} = \pi \left\{ \frac{125}{3} - 27 \right\}$$

$$V = \pi \left\{ \frac{125 - 81}{3} \right\} = \frac{44\pi}{3}$$

**10-4** Find the volume of cement required to build the top of a birdbath. The bottom of the birdbath follows the parabola  $y = 0.1x^2$ . The inside of the birdbath follows  $y = 0.20 + 0.08x^2$ . All the dimensions are in feet. The top edge of the birdbath is bounded by the horizontal line  $y = 0.4$ .

**Solution:** Start with the profile of the birdbath in  $x$ - $y$  as shown in Fig. 10-4.

The bottom parabola,  $y = 0.1x^2$ , starts at  $x = 0$ ,  $y = 0$  and intersects  $y = 0.4$  when  $x = 2$  ( $0.4 = 0.1x^2$ ,  $x^2 = 4$ ,  $x = 2$ ).

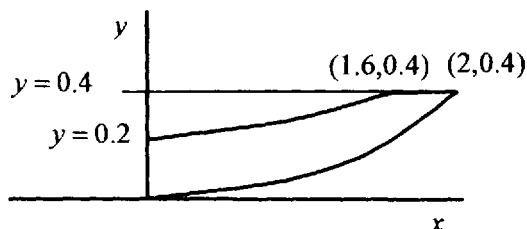


Fig. 10-4

The top parabola starts at  $x = 0$ ,  $y = 0.2$  and intersects  $y = 0.4$  when  $x = 1.6$

( $0.4 = 0.2 + 0.08x^2$ ,  $0.2 = 0.08x^2$ ,  $x = 1.6$ ).

Now rotate the profile around the  $y$ -axis. The volume of the birdbath is the volume inside the bottom parabola up to  $y = 0.4$  minus the volume inside the top parabola up to  $y = 0.4$ . Figure 10-5 shows the birdbath and the disks. The volume of the disks is  $\pi$  times (radius)<sup>2</sup> times thickness.

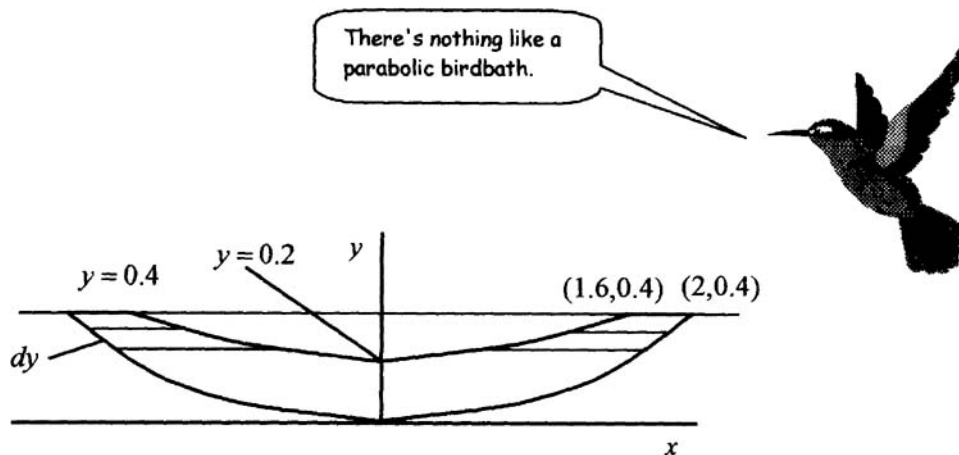


Fig. 10-5

The volume within the bottom parabola is  $\int_0^{0.4} \pi(x_{\text{bottom}})^2 dy = \pi \int_0^{0.4} \frac{y}{0.1} dy$ .

The volume within the inner parabola is  $\int_{0.2}^{0.4} \pi(x_{\text{inner}})^2 dy = \pi \int_{0.2}^{0.4} \frac{y-0.2}{0.08} dy$ .

Be careful of the limits!

The volume of cement in the birdbath is the larger volume minus the smaller volume as shown by the disks in Fig. 10-5. The volume integral is

$$V = \frac{\pi}{0.1} \int_0^{0.4} y dy - \frac{\pi}{0.08} \int_{0.2}^{0.4} (y-0.2) dy = \frac{\pi}{0.1} \left\{ \frac{y^2}{2} \right\}_0^{0.4} - \frac{\pi}{0.08} \left\{ \frac{y^2}{2} - 0.2y \right\}_{0.2}^{0.4}$$

$$V = \frac{\pi}{0.1} \left\{ \frac{0.16}{2} \right\} - \frac{\pi}{0.08} \left\{ \left[ \frac{0.16}{2} - 0.08 \right] - \left[ \frac{0.04}{2} - 0.04 \right] \right\}$$

$$V = \frac{\pi}{0.1} \{0.08\} - \frac{\pi}{0.08} \{[0.08 - 0.08] - [0.02 - 0.04]\} = 0.8\pi - \frac{\pi}{0.08} \{0 + 0.02\}$$

$$V = 0.8\pi - \frac{\pi}{4} = \pi(0.8 - 0.25) = 0.55\pi = 1.73 \text{ ft}^3 \text{ of cement}$$

## Arc Lengths

A small length of a curve in  $x$ - $y$  denoted by  $ds$  can be written in terms of  $dx$  and  $dy$  using the Pythagorean theorem. The geometry of  $ds$ ,  $dx$ , and  $dy$  with  $ds$  as a straight line approximating the curve is shown in Fig. 10-6. The Pythagorean relation is

$$ds^2 = dx^2 + dy^2$$

Any small change in  $s$  can be viewed as a small change in  $x$  and a small change in  $y$ . Solve this equation for  $ds$

$$ds = \sqrt{dx^2 + dy^2}$$

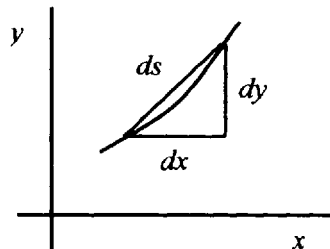


Fig. 10-6

and factor out first a  $dx$ , and then a  $dy$ .

$$ds = \sqrt{1 + \left(\frac{dy}{dx}\right)^2} dx = \sqrt{1 + \left(\frac{dx}{dy}\right)^2} dy$$

This little exercise is sufficiently easy so that you do not have to use precious memory space remembering it, just work it out as needed. The total length of an arc is the integral between the appropriate limits of this differential statement.

$$\int ds = \int \sqrt{1 + \left(\frac{dy}{dx}\right)^2} dx = \int \sqrt{1 + \left(\frac{dx}{dy}\right)^2} dy$$

Because of the square root, and the square of the slope or inverse of the slope, the integrals are usually not easy.

The curve  $y^2 = x^3$  turns out to be one of the easier arc lengths to calculate. Form  $dy/dx$ .

$$2y dy = 3x^2 dx \quad \text{and} \quad \frac{dy}{dx} = \frac{3x^2}{2y} \quad \text{so} \quad \left(\frac{dy}{dx}\right)^2 = \frac{9x^4}{2y^2} = \frac{9x^4}{2x^3} = \frac{9x}{2}$$

The general integral for arc length of this curve is  $s = \int \sqrt{1 + \frac{9x}{2}} dx$ .

Most of the time the integrals are so difficult it is worth looking at both formulas for the arc length in an attempt to find the easiest integral. The other possible integral starts from  $dx/dy$ .

$$\frac{dx}{dy} = \frac{2y}{3x^2} \quad \text{and} \quad \left( \frac{dx}{dy} \right)^2 = \frac{4y^2}{9x^4}$$

The  $x^4$  term in the denominator cannot be conveniently written in terms of  $y$  without getting into fractional powers so the previous integral looks at this point to be the easier.

This type of integral will be taken up later in problem 10-8.

## Surfaces of Revolution

Determining the surface area of non-standard shapes is another uniquely calculus problem. The technique for finding the surface area of a shape produced by rotating a curve about an axis is similar to finding volumes and additionally uses concepts from length of arc calculations.

Start with a parabola,  $y = x^2$ , rotated about the  $y$ -axis and consider the surface of that parabola up to  $y = 4$ . The curve doesn't have to be a parabola. A parabola is just convenient to visualize. The surface area is viewed as a collection of strips wrapped around the parabola. The area of these strips is  $2\pi$  (radius), the length around, times the width,  $ds$ . The differential piece of surface for a curve rotated about the  $y$ -axis is  $2\pi x ds$ . Refer to Fig. 10-7. The total surface area is found by adding up, integrating, all of the  $2\pi x ds$  segments.

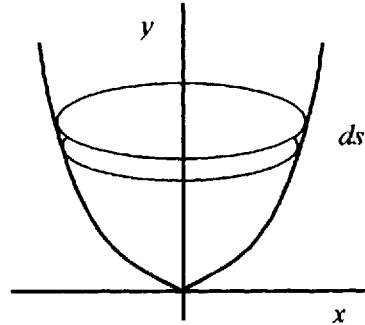


Fig. 10-7

The  $ds$  is either  $\sqrt{1 + \left( \frac{dy}{dx} \right)^2} dx$  or  $\sqrt{1 + \left( \frac{dx}{dy} \right)^2} dy$ .

Since the length of the strip  $ds$  is written  $2\pi x$ , use the  $\sqrt{1 + \left( \frac{dy}{dx} \right)^2} dx$  form for  $ds$ .

Start with  $\frac{dy}{dx} = 2x$  and  $\left( \frac{dy}{dx} \right)^2 = 4x^2$  and  $\sqrt{1 + \left( \frac{dy}{dx} \right)^2} = \sqrt{1 + 4x^2}$ .

The integral for the surface area of the parabola then is

$$A = \int_0^2 2\pi x ds = 2\pi \int_0^2 x \sqrt{1 + 4x^2} dx$$

The integrals encountered in surface area calculations are usually worse than the ones for arc length. This integral is done in problem 10-9 of the next section, Techniques of Integration.

## Techniques of Integration

In this section we show you some techniques for handling particularly difficult looking integrals. Along the way we will do some interesting practical problems that so far have been avoided because of the difficulty of integrating. These techniques of integration are actually general approaches that work for a variety of similarly structured problems. We start with the simpler and work through the more popular, or more often encountered techniques.

**Change of Variable** The change of variable technique is also called the method of substitution. As the names imply, the approach is to define a new variable that will transform the integral to one that is a standard form. The procedure is to define a new variable, take the derivative of that new variable, and then write the integral in terms of the new variable and derivative. There is some skill in picking the new variable but sometimes you just have to try a few. The best first choice for a change of variable is to look for the worst looking part of the integral and make that worst looking part the new variable or at least incorporate it into the new variable. The best way to understand any of these techniques is to jump right in and start doing some problems.

**10-5** Find  $\int x e^{x^2} dx$ .

**Solution:** Make a change of variable. Let  $u = x^2$  so that  $du = 2x dx$ . This transforms the integral. Replace  $x dx$  by  $du/2$  and  $x^2$  with  $u$ .

$$\int x e^{x^2} dx = \frac{1}{2} \int e^u du = \frac{1}{2} e^u = \frac{1}{2} e^{x^2}$$

Remember to translate back to the original variable at the end of the problem.

**10-6** Find  $\int \frac{dx}{x \ln x}$ .

**Solution:** The worst looking part of this integral is the  $\ln x$  so make a substitution  $v = \ln x$  so that  $dv = \frac{1}{x} dx$ . This transforms the integral into a standard form.

$$\int \frac{dx}{x \ln x} = \int \frac{1}{v} dv = \ln v = \ln(\ln x)$$

This is a bit of a strange answer, but then it was a bit of a strange integral.

**10-7** Find  $\int \sqrt{3-2x} dx$ .

**Solution:** A new variable  $3-2x$  would allow the  $\sqrt{3-2x}$  to be written as a power, and integrals of "a variable raised to a power  $d$  (variable)" are standard integrals. Let  $w = 3-2x$  with  $dw = -2dx$ . The integral is transformed and solved.

$$\int \sqrt{3-2x} dx = -\frac{1}{2} \int w^{1/2} dw = -\frac{1}{2} \frac{w^{3/2}}{3/2} = -\frac{1}{3} w^{3/2} = -\frac{1}{3} (3-2x)^{3/2}$$

**10-8** Find the arc length between  $x = 1$  and  $x = 3$  for the curve  $y^2 = x^3$ .

**Solution:** This is the curve used as the example in the discussion of arc lengths (previous section). A rough sketch of the curve is shown in Fig. 10-8. Taking the square root of both sides, the  $y^2 = x^3$  equation becomes  $y = x^{1.5}$ . This is a curve that has a shape somewhere between the shape of  $y = x$ , a straight line, and  $y = x^2$ , a parabola. The curve slopes upward but not as rapidly as the quadratic.

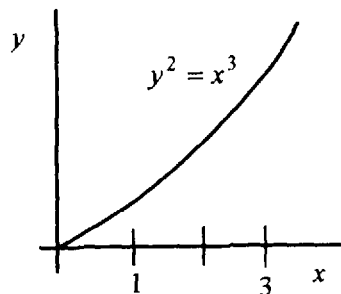


Fig. 10-8

The general formula for the arc length is  $S = \int \sqrt{1 + \left(\frac{dy}{dx}\right)^2} dx$ .

For the curve  $y^2 = x^3$ ,  $\frac{dy}{dx} = \frac{3x^2}{2y}$  and  $\left(\frac{dy}{dx}\right)^2 = \frac{9x^4}{4y^2} = \frac{9x^4}{4x^3} = \frac{9x}{4}$  so the arc length from  $x=1$  to  $x=3$  is

$$S = \int_1^3 \sqrt{1 + \frac{9x}{4}} dx = \int_1^3 \sqrt{\frac{4+9x}{4}} dx = \frac{1}{2} \int_1^3 \sqrt{4+9x} dx$$

The integral has been simplified to the point where a change of variable is in order. Let  $u = 4 + 9x$  so that  $du = 9dx$  and rewrite the integral.

$$S = \frac{1}{2} \int_1^3 \sqrt{4+9x} dx = \frac{1}{2} \frac{1}{9} \int_{x=1}^{x=3} u^{1/2} du = \frac{1}{18} \frac{u^{3/2}}{3/2} \Big|_{x=1}^{x=3} = \frac{1}{27} (4+9x)^{3/2} \Big|_{x=1}^{x=3}$$

$$S = \frac{1}{27} \{ [4+27]^{3/2} - [4+9]^{3/2} \} = \frac{1}{27} [31^{3/2} - 13^{3/2}] = \frac{1}{27} [172.6 - 46.9] = 4.66$$

The limits on the integral can be confusing. The strictly correct way to evaluate the integral is to change the limits when the variable is changed. Looking at the definition of  $u$ ; for  $x=1$ ,  $u = 4 + 9(1) = 13$ , and for  $x=3$ ,  $u = 4 + 9(3) = 31$ . Using this approach, the integrals would read

$$S = \frac{1}{2} \int_1^3 \sqrt{4+9x} dx = \frac{1}{2} \frac{1}{9} \int_{13}^{31} u^{1/2} du = \frac{1}{18} \frac{u^{3/2}}{3/2} \Big|_{13}^{31} = \frac{1}{27} [31^{3/2} - 13^{3/2}] = 4.66$$



However you choose to do the problem, be careful of the limits. If you write  $x = \dots$  or  $u = \dots$  in the limits you will avoid getting confused. There are enough pitfalls in evaluating these integrals without getting tripped up with the limits.

**10-9** Find the surface area of  $y = x^2$  rotated about the  $y$ -axis from  $x=0$  to  $x=2$ .

**Solution:** The surface area is the area generated by rotating the parabola about the  $y$ -axis up to  $y=4$  which corresponds to  $x=2$ . This is the problem used to illustrate the calculation of surface of revolution. The integral is the integral of a strip of surface area with length equal to the circumference,  $2\pi$  (radius), times the differential length along any arc of the surface,  $ds$ .



Figure 10-9 shows the parabola up to  $y=4$  corresponding to  $x=2$  and the differential strip of area. The differential area is

$$dA = 2\pi x ds = 2\pi x \sqrt{1 + \left(\frac{dy}{dx}\right)^2} dx$$

and  $\frac{dy}{dx}$  of  $y = x^2$  is  $2x$  so

$$A = 2\pi \int_0^2 x \sqrt{1 + 4x^2} dx$$

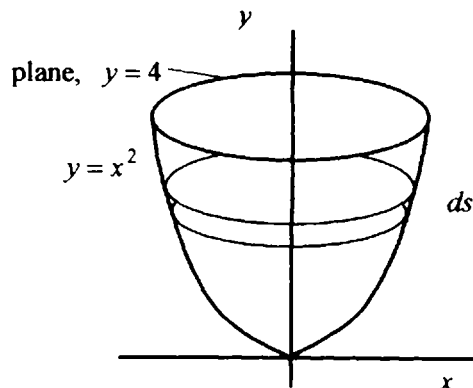


Fig. 10-9

In looking for a change of variable, look for the worst part of the integral which is the  $1 + 4x^2$ . Let  $v = 1 + 4x^2$  with  $dv = 8x dx$ . Replace  $1 + 4x^2$  with  $v$  and  $x dx$  with  $dv/8$ . Change the limits. When  $x = 0$ ,  $v = 1$ , and when  $x = 2$ ,  $v = 17$ .

$$A = 2\pi \int_0^2 x \sqrt{1 + 4x^2} dx = 2\pi \frac{1}{8} \int_1^{17} v^{1/2} dv$$

$$A = \frac{\pi}{4} \left[ \frac{v^{3/2}}{3/2} \right]_1^{17} = \frac{\pi}{6} [17^{3/2} - 1^{3/2}] = \frac{\pi}{6} [70.1 - 1] = 36.2$$

**10-10** Find  $\int \tan(3x - 2) dx$ .

**Solution:** The worst part of this integral is the  $3x - 2$  so let  $w = 3x - 2$  and  $dw = 3 dx$ . The integral transforms to a standard integral.

$$\int \tan(3x - 2) dx = \frac{1}{3} \int \tan w dw = -\frac{1}{3} \ln(\cos w) = -\frac{1}{3} \ln[\cos(3x - 2)]$$

**10-11** The price of a product varies with supply and demand in such a way that  $\frac{dp}{dt} = k(5 - 2p)$ . Find the price as a function of time and graph the price versus time. The price is \$4.50 when  $t = 0$ , and \$4.00 when  $t = 2$ . The  $t$  is in years.

**Solution:** The first step in solving for  $p(t)$  is to write the rate statement in a form that can be integrated.

$$\frac{dp}{5-2p} = kdt \quad \text{or} \quad \int \frac{dp}{5-2p} = \int kdt$$

Deal with this integral in  $p$  as a separate exercise. Make a substitution for  $5-2p$  by letting  $z = 5-2p$  so  $dz = -2dp$  and the integral becomes

$$\int \frac{dp}{5-2p} = -\frac{1}{2} \int \frac{dz}{z} = -\frac{1}{2} \ln z = -\frac{1}{2} \ln(5-2p)$$

With this little side calculation and remembering that integrating  $\int \frac{dp}{5-2p} = \int kdt$  produces a constant of integration, the integration produces

$$-\frac{1}{2} \ln(5-2p) = kt + C$$

Rearranging for convenience in writing as an exponent  $\ln(5-2p) = -2kt - 2C$  and writing as an exponential (This is the only way to get an equation that reads  $p = \dots$ ) we get

$$5-2p = e^{-2kt-2C} = e^{-2kt} e^{-2C}$$

The constant of integration can be carried as long as you like but defining a new constant at this point looks convenient. Make  $e^{-2C}$  equal to  $2D$ .

$$-2p = -5 + 2De^{-2kt}$$

$$p = 2.5 - De^{-2kt}$$

Now apply the condition that  $p = 4.5$  at  $t = 0$ .

$$4.5 = 2.5 - D(1) \quad \text{and} \quad -D = 2 \quad \text{so}$$

$$p = 2.5 + 2e^{-2kt}$$



The second condition that  $p = 4$  when  $t = 2$  will define the constant  $k$ .

$$4 = 2.5 + 2e^{-4k}, \quad 1.5 = 2e^{-4k}, \quad 0.75 = e^{-4k}$$

Switch to logarithms:

$$-4k = \ln 0.75, \quad k = -\frac{1}{4} \ln 0.75 = 0.072, \quad \text{and} \quad 2k = 0.144$$

Finally,

$$p = 2.5 + 2e^{-0.144t}$$

Now graph the function. At  $t = 0$ ,  $p = 4.5$  as given in the problem. As time goes on, the  $2e^{-0.144t}$  term gets smaller and smaller and as  $t \rightarrow \infty$ ,  $p \rightarrow 2.5$ . The line  $p = 2.5$  is an asymptote. The curve is shown in Fig. 10-10.

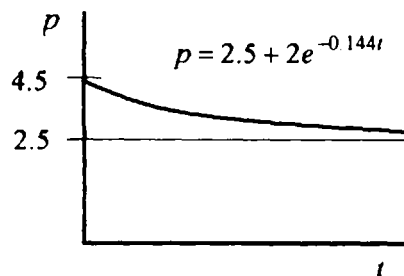


Fig. 10-10

**Trigonometric Integrals** There are a large, large number of trigonometric integrals. Some are relatively easy. Most are relatively difficult. Solving trigonometric integrals involves changes of variables and using trigonometric identities and a good bit of ingenuity, imagination some might call it. The following several problems demonstrate the more popular techniques (did someone say tricks?) for solving trigonometric integrals.

**10-12** Find  $\int \sin^2 x \cos x dx$ .

**Solution:** Recognizing that  $\cos x$  is the derivative of  $\sin x$  suggests a change of variable might make this integral into a standard form. Take  $u = \sin x$  and  $du = \cos x dx$ . Making these substitutions

$$\int \sin^2 x \cos x dx = \int u^2 du = \frac{u^3}{3} = \frac{\sin^3 x}{3}$$

**10-13** Find  $\int (\sin^3 x)(\cos^3 x)dx$ .

**Solution:** This problem is a little harder than the previous one. If we let  $v = \sin x$  and  $dv = \cos x dx$ , the integral becomes

$$\int (\sin^3 x)(\cos^3 x)dx = \int v^3 (\cos^2 x)dv$$

which doesn't seem to be much of an improvement. However, using the identity  $\sin^2 x + \cos^2 x = 1$ ,  $\cos^2 x = 1 - \sin^2 x$  and the integral becomes

$$\int v^3 (1 - v^2)dv = \int (v^3 - v^5)dv = \frac{v^4}{4} - \frac{v^6}{6} = \frac{v^4}{4} \left(1 - \frac{2v^2}{3}\right) = \frac{\sin^4 x}{4} \left(1 - \frac{2\sin^2 x}{3}\right)$$


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**10-14** Find  $\int \sqrt{\sin x} (\cos^3 x)dx$ .

**Solution:** Use the identity  $\sin^2 x + \cos^2 x = 1$  to replace  $\cos^2 x$  so the integral now reads

$$\int \sqrt{\sin x} (1 - \sin^2 x) \cos x dx$$

Now make a change of variable. Let  $w = \sin x$  and  $dw = \cos x dx$  so that the integral now reads

$$\int w^{1/2} (1 - w^2)dw = \int (w^{1/2} - w^{5/2})dw = \frac{w^{3/2}}{3/2} - \frac{w^{7/2}}{7/2} = 2 \left[ \frac{(\sin x)^{3/2}}{3} - \frac{(\sin x)^{7/2}}{7} \right]$$


---

All trigonometric integrals are not this easy. Though there are some patterns to doing trigonometric integrals, as demonstrated in the previous problems, trigonometric integrals can be some of the most difficult you will encounter. Fortunately there are tables of trigonometric integrals that will help you out of most problems.

**Integration by Parts** Integration by parts is somewhat similar to the method of substitution in that the correct association will make a difficult integral into a not so difficult integral. The formula for integration by parts, which we will not derive or even justify, is found in the Mathematical Tables.

$$\int u dv = uv - \int v du$$



The key to successful application of this rule is the correct initial choice of  $u$  and  $dv$ . Sometimes you have to try more than one combination to get one to work well. The purpose of the choice is to make the integral on the right side easier and not harder than the one you started with. The best way to learn this is to go directly to some problems and see how it is done.

**10-15** Find  $\int x e^x dx$ .

**Solution:** Fit the integral to the pattern  $\int u dv = uv - \int v du$ .

A good first identification is to take  $e^x dx$  as  $dv$ , and  $x$  as  $u$ . If this identification is made then  $du = dx$  and  $\int e^x dx = \int dv$  makes  $e^x = v$ . Follow the pattern and write

$$\int u(dv) = uv - \int v du$$

$$\int x(e^x dx) = x e^x - \int e^x dx$$

The integral  $\int e^x dx$  is  $e^x$  so

$$\int x e^x dx = x e^x - e^x = e^x (x - 1)$$

**10-16** Find  $\int x^2 e^x dx$  by integration by parts.

**Solution:** The form of integration by parts is  $\int u(dv) = uv - \int v du$ .

Take  $u = x^2$  and  $dv = e^x dx$ . From these identifications  $du = 2x dx$  and  $\int dv = \int e^x dx$  makes  $v = e^x$ . Write the original integral as an integration by parts.

$$\int x^2 e^x dx = x^2 e^x - 2 \int x e^x dx$$

The  $\int xe^x dx$  can itself be integrated by parts as was done in the previous problem. Use the result of problem 10-16 to write

$$\int x^2 e^x dx = x^2 e^x - 2(xe^x - e^x) = x^2 e^x - 2xe^x + 2e^x = e^x(x^2 - 2x + 2)$$

This problem is an excellent example of the multiple uses of integration by parts. Multiple integrations by parts is typical of complex exponential and trigonometric integrals.

**10-17** The income for a certain company is a combination of steady growth and a cyclic component with the income following  $S = 2t + t \sin(\pi t/2)$  where  $S$  is in tens of thousands of dollars per month and  $t$  is a quarter of a year ( $t = 1$  corresponds to 3 months, or one-quarter). The income for any period is the integral of this income per month function over that period. Find the income for the next 3 quarters.

**Solution:** The income for 3 quarters is the integral of  $S$  over  $t$  from  $t = 0$  to  $t = 3$ .

$$S = \int_0^3 [2t + 3t \sin(\pi t/2)] dt = 2 \int_0^3 t dt + \left(\frac{2}{\pi}\right)^2 \int_{t=0}^{t=3} \left(\frac{\pi t}{2}\right) \sin\left(\frac{\pi t}{2}\right) d\left(\frac{\pi t}{2}\right)$$

Take the first integral as  $S_1$  and the second integral as  $S_2$ .

The first integral  $S_1 = \frac{2t^2}{2} \Big|_{t=0}^{t=3} = 9$  and means that \$90,000 in income was received in the 3 quarters ( $S$  is in tens of thousands of dollars).

Make a change of variable in the second equation. Let  $y = \pi t/2$  so  $dy = d(\pi t/2)$ . The new limits are: for  $t = 0$ ,  $y = 0$  and for  $t = 3$ ,  $y = 3\pi/2$ . The second integral now reads

$$S_2 = \left(\frac{2}{\pi}\right)^2 \int_{y=0}^{y=3\pi/2} y \sin y dy$$

The integration is performed by parts.

$$\int u dv = uv - \int v du$$

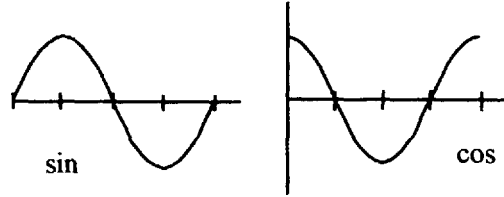
Let  $u = y$  and  $dv = \sin y dy$  producing  $du = dy$  and  $\int dv = \int \sin y dy$  or  $v = -\cos y$ .

$$S_2 = \left(\frac{2}{\pi}\right)^2 \left[ -y \cos y - \int (-\cos y) dy \right]_{y=0}^{y=3\pi/2} = \left(\frac{2}{\pi}\right)^2 \left[ -y \cos y + \sin y \right]_{y=0}^{y=3\pi/2}$$

$$S_2 = \left(\frac{2}{\pi}\right)^2 \left\{ \left[ -\frac{3\pi}{2} \cos\left(\frac{3\pi}{2}\right) + \sin\frac{3\pi}{2} \right] - \left[ -(0) \cos 0 + \sin 0 \right] \right\}$$

The  $\sin 0 = 0$  and  $(0) \cos 0 = 0$  so the second bracket is zero.

The  $\cos \frac{3\pi}{2} = 0$  and  $\sin \frac{3\pi}{2} = -1$  so this integral is



$$S_2 = \left(\frac{2}{\pi}\right)^2 (-1) = -\left(\frac{2}{\pi}\right)^2 = -0.40$$

This means a loss due to this cyclic component of \$4000.

The total income over the 3 quarters is \$90,000 minus \$4000 or \$86,000.

**Partial Fractions** A single complicated fraction can often be written as two fractions, each of which is less complicated than the original. The fraction

$$\frac{x^2 + 2x + 1}{x^3} \text{ can be written as } \frac{x^2}{x^3} + \frac{2x}{x^3} + \frac{1}{x^3} \text{ or as } \frac{1}{x} + \frac{2}{x^2} + \frac{1}{x^3}.$$

If you needed to integrate this fraction it would be much easier to integrate three simpler fractions, than the more complicated single fraction. Making multiple simpler fractions from a single fraction is a logical process that is best learned by working an example.

To use partial fractions the denominator has to be factorable and the numerator has to be one degree less than the denominator.



**10-18** Write  $\frac{2}{x^2 - x - 2}$  in partial fractions.

**Solution:** The denominator of the fraction can be factored  $\frac{2}{(x-2)(x+1)}$  indicating that the fraction can be written as something over the first factor and something else over the second factor. Set up two fractions with undetermined numerators and equal to the original fraction.

$$\frac{2}{(x-2)(x+1)} = \frac{A}{x-2} + \frac{B}{x+1}$$

As with most equations involving fractions multiply both sides by the common denominator to clear the fractions.

$$2 = A(x+1) + B(x-2) = Ax + A + Bx - 2B = (A+B)x + (A-2B)$$

Equating the constants and the coefficients of  $x$  produces two identities:  $A+B=0$  and  $A-2B=2$ . This is sufficient information to determine  $A$  and  $B$ . Subtract the second identity from the first  $[(A+B=0)-(A-2B=2)]$  to eliminate  $A$ . Now  $3B=-2$  or  $B=-2/3$ . If  $B=-2/3$ , then  $A=2/3$  ( $A+B=0$ ) and the original fraction is now written as

$$\frac{2}{(x-2)(x+1)} = \frac{2/3}{x-2} - \frac{2/3}{x+1}$$


---

**10-19** Integrate  $\int \frac{3x^2 + 7x - 4}{x^3 - 4x}$  by partial fractions.

**Solution:** First write the fraction in terms of partial fractions. The denominator is factorable so write

$$\frac{3x^2 + 7x - 4}{x(x+2)(x-2)} = \frac{A}{x} + \frac{B}{x+2} + \frac{C}{x-2}$$

Multiply by the common denominator.

$$3x^2 + 7x - 4 = A(x^2 - 4) + B(x^2 - 2x) + C(x^2 + 2x) = (A+B+C)x^2 + (-2B+2C)x + (-4A)$$



$$A + B + C = 3$$

Write the identities  $-2B + 2C = 7$ . With  $A = 1$ ,  $B + C = 2$   
 $-4A = -4$   $-2B + 2C = 7$

Multiply the first equation by 2 and add the equations to eliminate  $B$  so  $4C = 11$  or  $C = 11/4$ . Substitute in  $B + C = 2$  so  $B = 2 - 11/4 = 8/4 - 11/4 = -3/4$ . The fraction now is written as

$$\frac{3x^2 + 7x - 4}{x^3 - 4x} = \frac{1}{x} - \frac{3/4}{x+2} + \frac{11/4}{x-2}$$

The integral now reads

$$\int \frac{3x^2 + 7x - 4}{x^3 - 4x} = \int \frac{1}{x} dx - \frac{3}{4} \int \frac{1}{x+2} dx + \frac{11}{4} \int \frac{1}{x-2} dx$$

The integral  $\int \frac{1}{x-a} dx$  is a logarithmic derivative. Replace  $x-a$  with  $u$  and  $du = dx$

so  $\int \frac{1}{x-a} dx$  is in the form  $\int \frac{1}{u} du$ . The three integrals can now be written easily.

$$\int \frac{3x^2 + 7x - 4}{x^3 - 4x} = \ln x - \frac{3}{4} \ln(x+2) + \frac{11}{4} \ln(x-2)$$

## Integrals from Tables

One of the best techniques of integration is to use the table of integrals found in most texts. A table of integrals is found in the Mathematical Tables included at the back of this book.

Some instructors do not allow the use of tables on tests. We do not share that view. Why take up precious memory space with formulas that are available in an

inexpensive mathematical table? Regardless of your instructor, you will eventually want to use tables, and these examples will give you an introduction to the process. Most tables are organized by categories: trigonometric, logarithmic, exponential, or those



containing quadratic equations or fractions or whatever. The examples we have chosen are, hopefully, appropriate for what you will encounter.

**10-20** Find  $\int \frac{dx}{x\sqrt{x^2+4}}$ .

**Solution:** An integral in this form is in the tables. It reads

$$\int \frac{du}{u\sqrt{a^2+u^2}} = -\frac{1}{a} \ln \left| \frac{\sqrt{a^2+u^2}+a}{u} \right|$$

Make the identification that  $x=u$  and  $a=2$  and write down the integral.

$$\int \frac{dx}{x\sqrt{x^2+4}} = -\frac{1}{2} \ln \left| \frac{\sqrt{4+x^2}+2}{x} \right|$$


---

**10-21** Find  $\int \frac{dx}{x(1+2x)}$ .

**Solution:** An integral in this form is in the tables. It reads

$$\int \frac{du}{u(a+bu)} = \frac{1}{a} \ln \left| \frac{u}{a+bu} \right|$$

Make the identification that  $x=u$ ,  $a=1$ , and  $b=2$  and write down the integral.

$$\int \frac{dx}{x(1+2x)} = \frac{1}{1} \ln \left| \frac{x}{1+2x} \right| = \ln \left| \frac{x}{1+2x} \right|$$


---

Using the tables is this easy. Go slowly and make sure you are identified with the correct integral and make the substitutions. Remember that some tables include the constant of integration and some do not. If you are working an indefinite integral be sure to include the constant in any calculation.

**Approximate Methods** When all else fails, use numeric integration! For the definite integral

$$y = \int_a^b (\text{some impossible to integrate function of } x) dx$$

the area under the curve of  $y$  versus  $x$  from  $a$  to  $b$  is the value of the integral.

There are several different approximation methods. The general approach to numerical integration will be illustrated by a relatively simple one, the trapezoidal rule or method. As the name implies the area to be determined is divided up into trapezoids. Consider the area under some general curve as shown in Fig. 10-11. Divide the region within the limits into several narrow regions bounded by the vertical lines at  $x_0, x_1, x_2 \dots$  with a fixed width  $\Delta x$  between each line. Corresponding to each of the  $x_0, x_1, x_2 \dots$  values is a value of the function  $f_0, f_1, f_2, \dots$ . The first two regions are shown in exploded view and better illustrate that the curve is approximated by a straight line creating a collection of trapezoids.

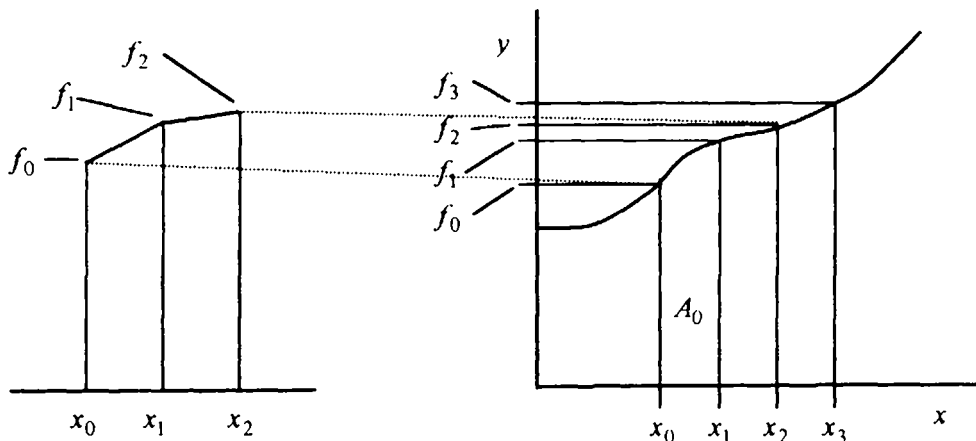


Fig. 10-11

The area of the first region is the large rectangle with dimensions  $\Delta x$  and  $f_0$  plus the small rectangle on top of it with base  $\Delta x$  and height  $f_1 - f_0$ .

The area of the first region is  $A_0 = f_0 \Delta x + \frac{1}{2} [f_1 - f_0] \Delta x$ .

The area of the second region is  $A_1 = f_1 \Delta x + \frac{1}{2} [f_2 - f_1] \Delta x$ .

By analogy the next region is  $A_2 = f_2 \Delta x + \frac{1}{2} [f_3 - f_2] \Delta x$ .

The total area taken over all the intervals is the sum of these individual areas.

$$A = A_0 + A_1 + A_2 + \dots$$

$$A = f_0 \Delta x + \frac{1}{2} [f_1 - f_0] \Delta x + f_1 \Delta x + \frac{1}{2} [f_2 - f_1] \Delta x + f_2 \Delta x + \frac{1}{2} [f_3 - f_2] \Delta x + \dots$$

Multiplying and collecting terms, we calculate

$$A = f_0 \Delta x - f_0 \frac{\Delta x}{2} + f_1 \Delta x + f_1 \frac{\Delta x}{2} - f_1 \frac{\Delta x}{2} + f_2 \Delta x + f_2 \frac{\Delta x}{2} - f_2 \frac{\Delta x}{2} + \dots$$

Certain of these terms combine and the pattern that emerges is

$$A = f_0 \frac{\Delta x}{2} + f_1 \Delta x + f_2 \Delta x + \dots$$

Continuing the pattern, the last area, call it  $n$ , has an associated term  $f_n \frac{\Delta x}{2}$ .

Another way of writing the area sum is

$$A = \frac{\Delta x}{2} [f_0 + 2f_1 + 2f_2 + \dots + 2f_{n-1} + f_n]$$

The  $f_0$  term is the left-most limit and the  $f_n$  term is the right-most limit. The width of each individual region,  $\Delta x$ , is the extent of the limits ( $b - a$ ) divided by  $n$ , the number of intervals.

Apply this technique to a simple and then a not so simple problem.

**10-22** Find the value of the definite integral of the curve  $y^2 = x^{3.4}$  from  $x = 0$  to  $x = 2$  using the trapezoidal rule for the area under the curve.

**Solution:** Take the square root of both sides of the equation to find  $y$  as a function of  $x$ :  $y = x^{1.7}$ . This curve is something less than a quadratic. The integral to be evaluated is  $\int_0^2 x^{1.7} dx$  and it is the area under  $y = x^{1.7}$  from  $x = 0$  to  $x = 2$ . A rough sketch of the curve is shown in Fig. 10-12.

Use 10 intervals so that  $\Delta x = 0.20$  and  $\frac{\Delta x}{2} = 0.10$ .

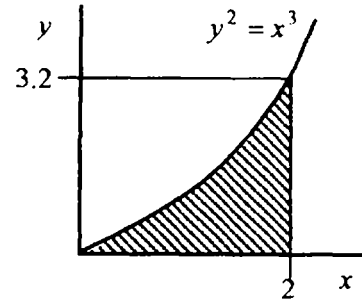
Following the trapezoidal rule for area we write

$$A = \frac{\Delta x}{2} [f_0 + 2f_{0.2} + 2f_{0.4} + \dots + 2f_{1.8} + f_{2.0}]$$

Adding the numbers

$$A = 0.10[0 + 0.13 + 0.42 + 0.84 + 1.37 + 2 + 2.73 + 3.54 + 4.45 + 5.43 + 3.25] = 2.42$$

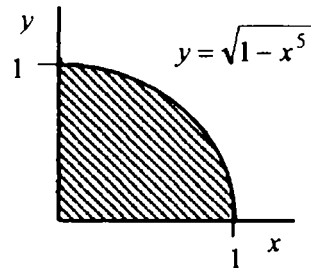
Check this answer by performing the integral and evaluating  $\int_0^2 x^{1.7} dx$ .



**Fig. 10-12**

**10-23** Evaluate  $\int_0^1 \sqrt{1-x^5} dx$  using the trapezoidal rule with 4 intervals.

**Solution:** In the range between  $x=0$  and  $x=1$ , the function  $\sqrt{1-x^5}$  goes from 1 to 0. A detailed curve is not necessary to the calculation. However, a rough sketch is shown in Fig. 10-13. Four intervals means that  $\Delta x = 0.25$  and using the formula for the trapezoidal rule



**Fig. 10-13**

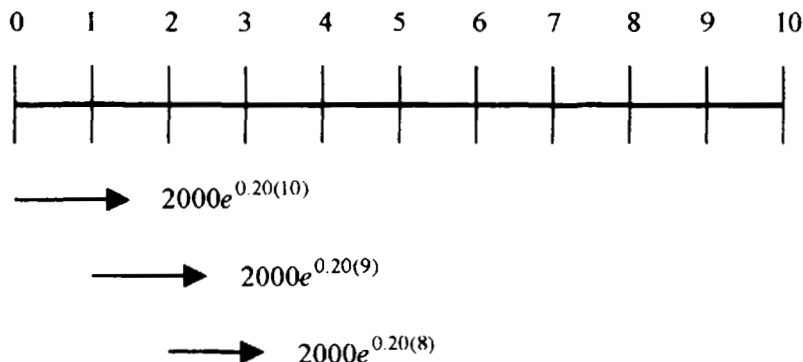
$$A = \frac{\Delta x}{2} [f_0 + 2f_{0.25} + 2f_{0.50} + 2f_{0.75} + f_1]$$

$$A = \frac{0.25}{2} [1 + 2.00 + 1.97 + 1.75 + 0] = 0.84$$

**10-24** Suppose that \$2000 is invested in a fund at the beginning of each of 10 years and that the average rate of return is 20% per year. What is the total value of this fund at the end of the 10 years?

**Solution:** Visualize the process with the aid of the time line. The first \$2000 grows compounded at 20% for 10 years so this is  $2000e^{0.20(10)}$ .

Refer to problem 9-7 for a discussion of the effective rate for continuous compounding. Use the continuous compounding as an approximation, because the \$2000 is deposited at the beginning of each interval rather than in small increments throughout the interval.



The first \$2000 grows compounded at 20% for 10 years to an amount  $2000e^{0.20(10)}$ .

The second \$2000 grows compounded at 20% for 9 years to an amount  $2000e^{0.20(9)}$ .

The third \$2000 grows compounded at 20% for 8 years to an amount  $2000e^{0.20(8)}$ .

And so on through the 10 deposits.

The general expression for the terms is  $2000e^{0.20(10-t)}$  where  $t$  goes from 0 to 9. The total amount at the end of the 10 years is \$2000 times the 10 years plus the interest earned on the different intervals. This can be expressed as a sum:

$$\sum_{n=0}^{n=10} 2000e^{0.20(10-t_n)} \Delta t \quad \text{with } t_0 = 0, t_1, \dots$$

This  $\sum_{n=0}^{n=10}$  is the notation for adding, or summing, all the exponents. If the funds were deposited continuously and the compounding was continuous, then this sum would be an integral. Many programs for placing funds in a compounding account are monthly throughout the year rather than once at the beginning of the year, making those programs closer to the continuous model. For this case the integral is an approximation! In mathematical language, the funds being deposited continuously means that the interval goes to zero, or  $n$ , the number of intervals, goes to infinity. In symbolic language we write

$$\lim_{n \rightarrow \infty} \sum_n 2000e^{0.20(10-t_n)} \Delta t = \int_0^{10} 2000e^{0.20(10-t)} dt$$

The exponent can be reworked to  $e^{0.20(10-t)} = e^2 e^{-0.20t}$  so the integral for the total amount is

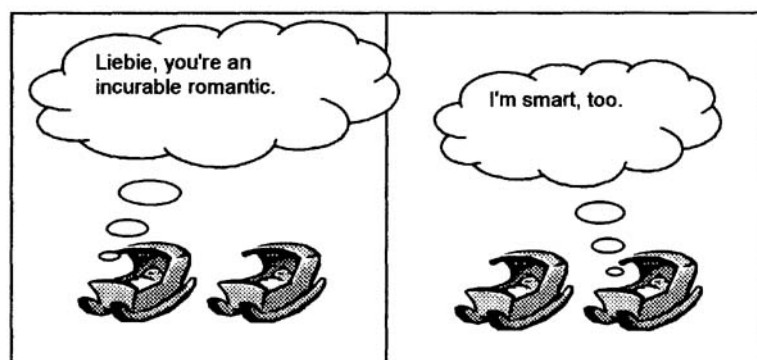
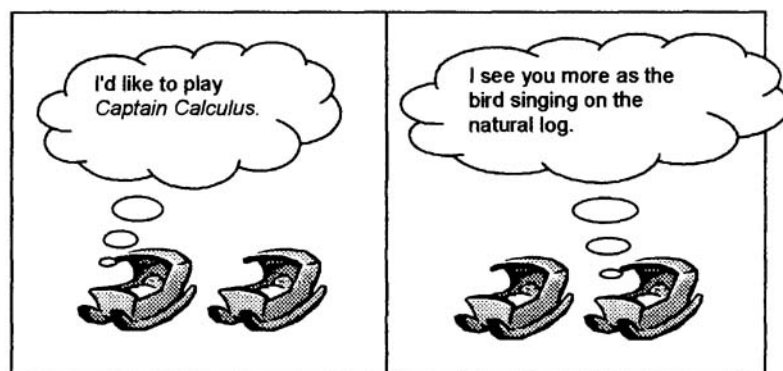
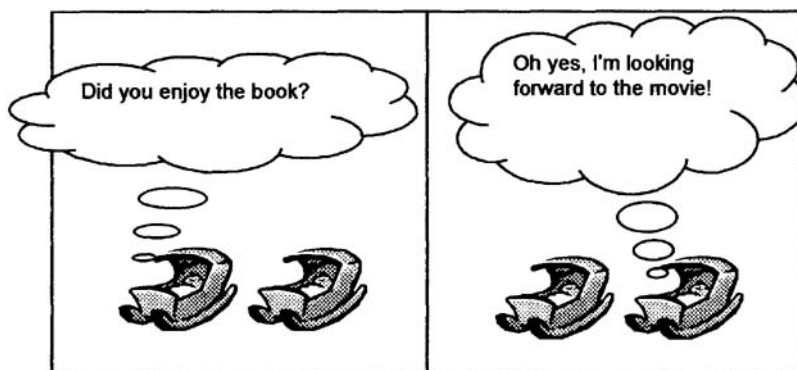
$$A = 2000e^2 \int_0^{10} e^{-0.20t} dt$$

Make a change of variable and integrate.

$$A = \frac{2000}{-0.20} e^2 \int_0^{10} e^{-0.20t} d(-0.20t) = -10,000e^2 \left[ e^{-0.20t} \right]_0^{10}$$

$$A = -10,000e^2 \left[ e^{-2} - 1 \right] = 10,000e^2 \left[ 1 - \frac{1}{e^2} \right] = \$63900$$

This number is lower than the actual amount if the funds were deposited at the beginning of each year. If the funds were placed continuously throughout the year then this number is correct. Programs for making calculations similar to this one are usually found in financial calculators. If you have one, check this answer with the answer from your calculator. Your calculator uses a calculating algorithm similar, if not identical, to the summation notation used earlier in the problem.





# MATHEMATICAL TABLES

## Geometry

$r$  is radius,  $h$  is height,  $a$  and  $b$  are sides

|                             | Perimeter | Area                    | Volume         |
|-----------------------------|-----------|-------------------------|----------------|
| square side $a$             | $4a$      | $a^2$                   |                |
| rectangle sides $a$ and $b$ | $2a + 2b$ | $ab$                    |                |
| circle radius $r$           | $2\pi r$  | $\pi r^2$               |                |
| sphere radius $r$           |           | $4\pi r^2 + 2\pi rh$    | $(4/3)\pi r^3$ |
| cylinder $r$ and $h$        |           | $2\pi r^2$              | $\pi r^2 h$    |
| cone $r$ and $h$            |           | $\pi r\sqrt{r^2 + h^2}$ | $(\pi/3)r^2 h$ |
| trapezoid $a, b, h$         |           | $(1/2)(a+b)h$           |                |
| triangle $b$ and $h$        |           | $(1/2)bh$               |                |

## Algebra

Any quadratic equation of the form  $ax^2 + bx + c = 0$  has solution  $x = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$ .

Factorials  $0! = 1$ ,  $1! = 1$ ,  $2! = 2 \cdot 1! = 2 \cdot 1$ ,  $3! = 3 \cdot 2! = 3 \cdot 2 \cdot 1$ , etc.

Binomial expansion  $(a+b)^n = \frac{a^n}{0!} + \frac{na^{n-1}b}{1!} + \frac{n(n-1)a^{n-2}b^2}{2!} + \dots$  for  $b^2 < a^2$ .

## Conics

parabola  $y = ax^2 + bx + c$

circle  $x^2 + y^2 = r^2$

ellipse  $ax^2 + by^2 = c^2$  or  $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$

hyperbola  $\pm ax^2 \mp by^2 = c^2$  or  $\pm \frac{x^2}{a^2} \mp \frac{y^2}{b^2} = 1$

## Trigonometry

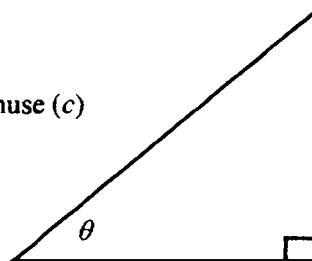
$$\sin \theta = \frac{\text{Opposite}}{\text{Hypotenuse}} = \frac{b}{c}$$

$$\cos \theta = \frac{\text{Adjacent}}{\text{Hypotenuse}} = \frac{a}{c}$$

$$\tan \theta = \frac{\text{Opposite}}{\text{Adjacent}} = \frac{b}{a}$$

Hypotenuse ( $c$ )

Opposite ( $b$ )



Adjacent ( $a$ )

$$\operatorname{cosec} \theta = \frac{1}{\sin \theta}$$

$$\sec \theta = \frac{1}{\cos \theta}$$

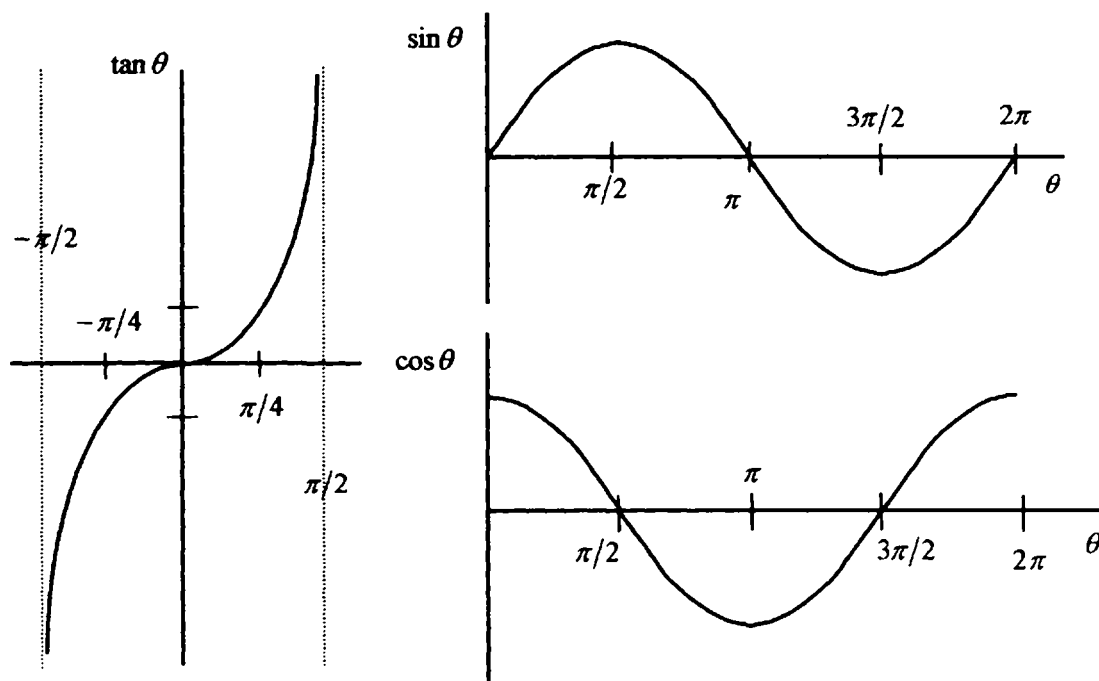
$$\cot \theta = \frac{1}{\tan \theta}$$

Law of sines  $\frac{a}{\sin A} = \frac{b}{\sin B} = \frac{c}{\sin C}$

Law of cosines  $c^2 = a^2 + b^2 - 2ab \cos C$

$$360^\circ = 2\pi \text{ radians}$$

# Trigonometric Functions



## Trigonometric Identities

$$\sin^2 \theta + \cos^2 \theta = 1$$

$$a^2 + b^2 = c^2$$

$$\sin \theta = \cos(90^\circ - \theta) \quad \cos \theta = \sin(90^\circ - \theta) \quad \tan \theta = \cot(90^\circ - \theta)$$

$$\sin(\alpha \pm \beta) = \sin \alpha \cos \beta \pm \cos \alpha \sin \beta \quad \cos(\alpha \pm \beta) = \cos \alpha \cos \beta \mp \sin \alpha \sin \beta$$

$$\sin 2\alpha = 2 \sin \alpha \cos \alpha \quad \cos 2\alpha = \cos^2 \alpha - \sin^2 \alpha \quad \tan(\alpha \pm \beta) = \frac{\tan \alpha \pm \tan \beta}{1 \mp \tan \alpha \tan \beta}$$

## Exponents and Logarithms

$$a^m \cdot a^n = a^{m+n} \quad \frac{a^m}{a^n} = a^{m-n} \quad (a^m)^n = a^{m \cdot n} \quad a^{-m} = \frac{1}{a^m}$$

$$\log_a u + \log_a v = \log_a(uv) \quad \log_a u - \log_a v = \log_a \frac{u}{v} \quad \log_a u^n = n \log_a u$$

## Differential and Integral Formulas

$$d(ax) = adx$$

$$\int adx = ax$$

$$d(u+v) = du + dv$$

$$\int (du + dv) = u + v$$

$$d(uv) = u dv + v du$$

$$\int u dv = u \int dv - \int v du = uv - \int v du$$

$$d \frac{u}{v} = \frac{v du - u dv}{v^2}$$

$$\int u \frac{dv}{dx} dx = uv - \int v \frac{du}{dx} dx$$

$$dx^n = nx^{n-1} dx$$

$$\int x^n dx = \frac{x^{n+1}}{n+1}$$

$$de^x = e^x dx$$

$$\int e^x dx = e^x$$

$$d \ln x = \frac{1}{x} dx$$

$$\int \ln x dx = x \ln x - x$$

$$d \sin x = \cos x dx$$

$$\int \sin x dx = -\cos x$$

$$d \cos x = -\sin x dx$$

$$\int \cos x dx = \sin x$$

$$d \tan x = \sec^2 x dx$$

$$\int \tan x dx = -\ln(\cos x)$$

$$d \sec x = \tan x \sec x dx$$

$$\int \sec x dx = \ln(\sec x + \tan x)$$

$$d \csc x = -\cot x \csc x dx$$

$$\int \csc x dx = \ln(\csc x - \cot x)$$

$$d \cot x = -\csc^2 x dx$$

$$\int \cot x dx = \ln(\sin x)$$

## Integral Formulas

$$\int \frac{dx}{\sin x} = \ln(\csc x - \cot x)$$

$$\int \frac{dx}{\cos x} = \ln(\sec x + \tan x)$$

$$\int x \sin x dx = \sin x - x \cos x$$

$$\int x \cos x dx = \cos x + x \sin x$$

$$\int x \ln x dx = \frac{x^2}{2} \ln x - \frac{x^2}{4}$$

$$\int e^{ax} dx = \frac{e^{ax}}{a}$$

$$\int x e^{ax} dx = \frac{e^{ax}}{a^2} (ax - 1)$$

$$\int \frac{dx}{x^2 + a^2} = \frac{1}{a} \tan^{-1} \frac{x}{a}$$

$$\int \frac{dx}{a^2 - x^2} = \frac{1}{2a} \ln \frac{a+x}{a-x}$$

$$\int \frac{dx}{x^2 - a^2} = \frac{1}{2a} \ln \frac{x-a}{x+a}$$

$$\int (a + bx)^n dx = \frac{(a + bx)^{n+1}}{(n+1)b} \text{ except } n = -1$$

$$\int \frac{dx}{a + bx} = \frac{1}{b} \ln(a + bx)$$

$$\int \frac{x dx}{a+bx} = \frac{1}{b^2} [a+bx - a \ln(a+bx)]$$

$$\int \frac{dx}{a+bx^2} = \frac{1}{\sqrt{ab}} \tan^{-1} \frac{x\sqrt{ab}}{a}$$

$$\int \frac{x dx}{a+bx^2} = \frac{1}{2b} \ln \left( x^2 + \frac{a}{b} \right)$$

$$\int \sqrt{a+bx} dx = \frac{2}{3b} \sqrt{(a+bx)^3}$$

$$\int x \sqrt{a+bx} dx = -\frac{2(2a-3bx)\sqrt{(a+bx)^3}}{15b^2}$$

$$\int \sqrt{x^2 \pm a^2} dx = \frac{1}{2} \left[ x\sqrt{x^2 \pm a^2} \pm a^2 \ln(x + \sqrt{x^2 \pm a^2}) \right]$$

$$\int \frac{dx}{\sqrt{x^2 \pm a^2}} = \ln(x + \sqrt{x^2 \pm a^2})$$

$$\int \sqrt{a^2 - x^2} dx = \frac{1}{2} \left[ x\sqrt{a^2 - x^2} + a^2 \sin^{-1} \left( \frac{x}{a} \right) \right]$$

$$\int \frac{dx}{\sqrt{a^2 - x^2}} = \sin^{-1} \left( \frac{x}{a} \right)$$

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